

**TENTATIVE AGENDA & MEETING NOTICE  
BOARD OF COUNTY COMMISSIONERS**

**TUESDAY, OCTOBER 15, 2024  
5:30 P.M.**

**WATAUGA COUNTY ADMINISTRATION BUILDING  
COMMISSIONERS' BOARD ROOM**

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5:30	1	CALL REGULAR MEETING TO ORDER		
	2	APPROVAL OF MINUTES: September 17, 2024, Regular Meeting		1
	3	APPROVAL OF THE OCTOBER 15, 2024, AGENDA		11
5:35	4	PUBLIC COMMENT – Will last up to 1-hour dependent on number of speakers	CHAIRMAN TURNBOW	13
5:40	5	PUBLIC HEARING TO ALLOW CITIZEN COMMENT ON PROPOSED AMENDMENTS TO THE PERSONNEL ORDINANCE	MR. DERON GEOUQUE	15
5:45	6	NORTH CAROLINA DEPARTMENT OF JUSTICE PRESENTATION	MS. HOLLY JONES	111
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5:55	8	WATAUGA COUNTY SCHOOLS LOTTERY REQUESTS	DR. CHRIS BLANTON	143
	9	VALLE CRUCIS ELEMENTARY SCHOOL CHANGE ORDER REQUEST	MR. CHAD ROBERSON	145
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6:10	12	TAX MATTERS A. Monthly Collections Report B. Refunds & Releases	MR. LARRY WARREN	173 175
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6:20	14	PARKS AND RECREATION OUT-OF-STATE TRAVEL REQUEST	MR. CRAIG LANDS	225
6:25	15	MISCELLANEOUS ADMINISTRATIVE MATTERS A. Hurricane Helene Disaster Relief Grant Project Ordinance B. Recommended Contract Award for Employee Medical, Dental, Vision, and Life Insurance C. November Meeting Schedule D. Announcements	MR. DERON GEOUQUE	231 233 237 238
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**AGENDA ITEM 2:**

**APPROVAL OF MINUTES:**

September 17, 2024, Regular Meeting

**DRAFT****MINUTES****WATAUGA COUNTY BOARD OF COMMISSIONERS  
TUESDAY, SEPTEMBER 17, 2024**

The Watauga County Board of Commissioners held a regular meeting, as scheduled, on Tuesday, September 17, 2024, at 5:30 P.M. in the Commissioners' Board Room located in the Watauga County Administration Building, Boone, North Carolina.

Chairman Turnbow called the meeting to order at 5:35 P.M. The following were present:

**PRESENT:** Larry Turnbow, Chairman  
 Charlie Wallin, Vice-Chairman  
 Todd Castle, Commissioner  
 Braxton Eggers, Commissioner  
 Ray Russell, Commissioner  
 Andrea Capua, County Attorney  
 Deron Geouque, County Manager  
 Anita J. Fogle, Clerk to the Board

Commissioner Eggers opened with a prayer and Vice-Chairman Wallin, led the Pledge of Allegiance.

**APPROVAL OF MINUTES**

Chairman Turnbow called for additions and/or corrections to the September 3, 2024, regular minutes and closed session minutes.

Commissioner Russell, seconded by Vice-Chairman Wallin, moved to approve the September 3, 2024, regular meeting minutes as presented.

VOTE: Aye-5  
 Nay-0

Commissioner Russell, seconded by Vice-Chairman Wallin, moved to approve the September 3, 2024, closed session minutes as presented.

VOTE: Aye-5  
 Nay-0

**APPROVAL OF AGENDA**

Chairman Turnbow called for additions and/or corrections to the September 17, 2024, agenda.

County Manager Geouque requested to remove both the Vaya and NCDOJ presentations from the agenda and reschedule both to the October 1, 2024, meeting.

Vice-Chairman Wallin, seconded by Commissioner Eggers, moved to approve the September 17, 2024, agenda as amended.

VOTE: Aye-5  
Nay-0

**PUBLIC COMMENT**

There was no public comment.

**PROPOSED LETTER OF SUPPORT FOR APPHEALTHCARE GRANT APPLICATION**

On behalf of Ms. Jennifer Greene, Ms. Kelly Walsh with AppHealthCare, presented a proposed letter of support for AppHealthCare in their application to Health Resources and Service Administration (HRSA) to expand primary care, substance use disorder treatment, and behavioral health care in Watauga County.

Commissioner Castle, seconded by Commissioner Russell, moved to approve the letter of support as presented.

VOTE: Aye-5  
Nay-0

**BID AWARD REQUEST FOR HOWARD KNOB PARK PROJECT**

Mr. Wright Tilley, Executive Director of Watauga County Tourism Development Authority (TDA), and Mr. Case Neal, Destination by Design, presented an update on the Howard Knob Park project. Mr. Neal stated that plans included updating the entry signage and fencing, expanding the parking lot to add additional spaces, use native landscaping and natural boulder walls, enhancing the shelter, enclosing the portable restrooms, providing accessible parking, picnic, and sidewalk continuous, as well as the addition of an overlook, 80 feet in diameter, inspired by the Grand Canyon Skywalk.

The following bids were received:

Bidders Name (GC)	MBI	Bar Construction	Greene Construction
Base Bid	\$2,106,708.00	\$2,724,000.00	\$3,716,727.00
Rank	1	2	3
<b>Add Alternatives</b>			
Standing Seam Corten Roof	\$26,435.00	\$66,000.00	23,282.00\$
Exposed Fastener Corten Roof	\$22,913.00	\$57,000.00	\$19,956.00
Additional Parking	\$11,586.00	\$18,000.00	\$17,400.00
Rock Removal	\$400.00	\$450.00	\$438.62
Soil Removal	\$40.00	\$60.00	\$54.83
Select Fill	\$40.00	\$60.00	\$54.83

Alternative Subtotal	\$60,934.00	\$141,000.00	\$60,638.00
Base Bid + Alternatives	\$2,167,642.00	\$2,865,000.00	\$3,777,365.00

Mr. Neal stated that, due to the unknown about of rock removal needed, the contingency amount for this project has been set at 20%. Mr. Neal requested approval of MBI Builders, LLC as the lowest responsive bidder for the Howard Knob Park project, including the alternates. The project required no County funding as it would be paid by the TDA and grant funding. Mr. Tilley stated that the park would be a premier anchor point of the Northern Peaks Trail.

Commissioner Russell, seconded by Vice-Chairman Wallin, moved to accept the bid from MBI Builders, LLC for the Howard Knob Park project as presented.

VOTE: Aye-5  
Nay-0

### **REQUEST TO USE HUMAN SERVICES GRASSY AREA NEAR KING STREET FOR LOCAL CLOTHING MARKETS**

Mr. Trevor Shue, owner of Lucky Dog Vintage Market, requested to utilize the grassy area at the Human Services Complex on Poplar Grove Connector to host a local clothing market. Mr. Shue recently held a successful market on September 7, 2024, and was hoping to hold additional markets on November 16, 2024, February 15, 2025, March 22, 2025, and May 10, 2025. Set up would be from 9:00 to 10:30 A.M. with the market beginning at 11:00 A.M. and running until 6:00 P.M. (or as daylight allowed). An hour after the close of the market would be needed for breakdown and clean up, which would be no later than 7:00 P.M. The terms would be similar as before requiring a signed license agreement and a \$200 fee each time. County Attorney Capua asked questions related to the finalizing of the agreement.

Commissioner Castle, seconded by Commissioner Eggers, moved to approve the use of the space as requested contingent upon an executed license agreement as prepared by the County Attorney.

VOTE: Aye-5  
Nay-0

### **RURAL OPERATING ASSISTANCE (ROAP) GRANT APPLICATION REQUEST**

Mr. Craig Hughes, AppalCART Director, gave a brief status update beginning with the news that they were projecting the use of \$494,000 from their fund balance to cover expenses in Fiscal Year (FY) 2025. Mr. Hughes stated that they were working to bring costs down. Mr. Hughes shared how AppalCART benefits those who ride as well as those who commute in local traffic. Ridership in FY 2024 was 1,516,410. Mr. Hughes stated that the double decker bus should arrive in January 2025.

Mr. Hughes presented the Rural Operating Assistance Program (ROAP) grant application for FY 2025. Watauga County was awarded \$185,401 which did not require a local match. This was a

small decrease from the past year. The decrease was due to changes in the census as the amounts were determined by population. The funding total would be split into three categories.

The Elderly and Disabled Transportation Assistance Program (EDTAP) allocation, in the amount of \$73,258, which was split among the Department of Social Services (DSS) and Project on Aging (POA), AppalCART, and Watauga Opportunities. The Employment (EMPL) allocation, in the amount of \$14,667, to Watauga Opportunities to provide transportation for their clients to employment and job training. The Rural General Public (RGP) allocation, in the amount of \$97,476, used primarily to provide rural trips in the mornings and afternoon to and from Boone.

Vice-Chairman Wallin, seconded by Commissioner Russell, moved to approve the Rural Operating Assistance Program (ROAP) grant application for FY 2025 as presented by Mr. Hughes.

VOTE: Aye-5  
Nay-0

### **WATAUGA COUNTY ARTS COUNCIL**

Ms. Amber Bateman, Watauga Arts Council Director, stated that three years ago a pathway to public arts in the community project began and the work continues with the Blue Ridge Conservancy on the Middle Fork Greenway. A mural was planned for the tunnel under Hwy 321 near Mystery Hill and an encroachment agreement was needed with the North Carolina Department of Transportation (NCDOT). Ms. Bateman presented a proposed resolution stating that the County was in support of the project. If adopted, NCDOT would draft the encroachment agreement which would be between the Blue Ridge Conservancy, Watauga County Arts Council, and the NCDOT. Ms. Bateman shared that the mural would contain a QR code of which the video content would be controlled by the Arts Council.

Commissioner Russell, seconded by Commissioner Eggers, moved to approve the resolution as presented and authorize NCDOT to draft an encroachment agreement.

VOTE: Aye-5  
Nay-0

### **VALLE CRUCIS HISTORICAL PRESERVATION COMMITTEE PRESENTATION**

Mr. Walter Browning, Chairman of the Valle Crucis Historical Preservation Committee, introduced Ms. Julie Gates, with the Valle Crucis Community Council, and Mr. Gardner Hoover, with Valle Crucis Community Park, who discussed the status of the current Valle Crucis Elementary School including the County-owned Valle Landing property. Multiple letters were presented with some requesting to keep portions of the facility and others requesting the Board maximize the efforts for flood mitigation.

Ms. Gates stated that there was a total of 16 acres and the area was a tourist draw and hub and home to residents. It was on the historic registry and wanted it to stay what the community loves. Ms. Gates stated that the old school building needed engineer review to determine if it was

reasonable for preservation, improvement, or repurpose. Ms. Gates stated that the review wouldn't happen until the kids were out of the school and asked the County to give the Community Council time to review the structure and submit a plan prior to deciding on what to do with the property (including the structure). Ms. Gates asked for a non-binding Memorandum of Understanding (MOU) showing good faith. Ms. Gates stated that the Council supports long-term flood mitigation as well as Andy Hill with Mountain True.

Mr. Hoover stated that the Valle Crucis Park had grown to its current 28 acres over the years and stated that by conveying the property to the Park, Dutch Creek flooding could be mitigated and would be available for Park and public use with the Valle Landing portion being returned to its state of nature.

Ms. Gates stated that there were two requests being made. The first was for the 16 acres, excluding the structure, be conveyed to Valle Crucis Park. The second was approve a MOU with the Community Council allowing time to properly evaluate the school building and have time to put together a plan prior to the County deciding on what to do with the structure.

After lengthy discussion, Chairman Turnbow asked for a committee to be formed including himself, Commissioner Eggers, the County Attorney, County Manager, and School Board representatives to have an in-depth discussion regarding the current (soon to be old) Valle Crucis School property and facility. Mr. Turnbow stated that he hoped the committee could report back to the Board by the October 15 meeting or in November.

## **TAX MATTERS**

### ***A. Monthly Collections Report***

Mr. Larry Warren, Tax Administrator, presented the Tax Collections Report for the month of August 2024. The report was presented for information only and, therefore, no action was required.

### ***B. Refunds and Releases***

Mr. Larry Warren, Tax Administrator, presented the Refunds and Releases Report for August 2024 for Board approval:

TO BE TYPED IN MINUTE BOOK

Commissioner Eggers, seconded by Vice-Chairman Wallin, moved to approve the Refunds and Releases Report for August 2024 as presented.

VOTE: Aye-5  
Nay-0

## **DSS MOU**

Mr. Tom Hughes, Social Services Director, presented the State's Memorandum of Understanding (MOU) with the County pertaining to the Social Services Department. Mr. Hughes stated that he



did not feel the State was upholding their end, in part, by not offering training. Mr. Hughes stated that his office would continue to do their jobs and stated that they did well on their audits. A big issue was that the MOU was written in a one-size-fits all manner and, unfortunately, it would result in Watauga County falling below 95% with just one error. County Attorney Capua stated that this MOU was binding and would be considered contractual. Mr. Hughes stated that one-third of the state had not signed it. Mr. Hughes stated that the sanctions were scary. If the County didn't meet the State standards, the State would hold funding.

After lengthy discussion, no action was taken due to lack of a motion.

## **EMERGENCY SERVICES MATTERS**

### ***A. Request for CRS Recorder AIS Upgrade***

Mr. Will Holt, Emergency Services Director, requested approval for the expenditure of \$24,980 for the upgrade of the AIS recording system with Carolina Recording Systems for recorders at the Primary and Back-up PSAPs. Adequate E-911 funds were available in FY 2024-2025 to cover the expense.

Commissioner Russell, seconded by Commissioner Castle, moved to approve the Carolina Recording Systems AIS recording systems upgrade in the amount of \$24,980.

VOTE: Aye-5  
Nay-0

### ***B. Request for Tower Shelters***

Mr. Will Holt requested approval of the bid from VFP (state contract) in the amount of \$995,640 for 4 tower shelters for the VIPER Simulcast Radio System project. Engineered Tower Solutions (ETS) would provide the civil construction on-site, which included the 3 "build on-site" shelters and the erection of those buildings. Adequate funds were budgeted to cover the expense.

Vice-Chairman Wallin, seconded by Commissioner Russell, moved to authorize the expenditure of \$995,640 for 4 tower shelters from VFP.

VOTE: Aye-5  
Nay-0

### ***C. Request to Surplus Fire Marshal Vehicle***

Mr. Will Holt requested the 2018 Ford F-150 assigned to the Fire Marshal be declared surplus. The vehicle had reached the end of its service life due to a major, mechanical issues that was too costly to repair. A replacement vehicle has already been secured.

Commissioner Eggers, seconded by Vice-Chairman Wallin, moved to surplus the 2018 Ford F-150 assigned to the Fire Marshal.

VOTE: Aye-5  
Nay-0

## **PARKS AND RECREATION OUT-OF-STATE TRAVEL REQUEST**

Ms. Keron Poteat, Parks and Recreation Director, requested approval to travel out-of-state to Hilton Head, South Carolina, for the 2024 Special Olympics North America Tennis & Pickleball Championships. No County funds would be spent with the exception of Ms. Poteat's time.

Commissioner Castle, seconded by Vice-Chairman Wallin, moved to approve the out-of-state travel.

VOTE: Aye-5  
Nay-0

## **MISCELLANEOUS ADMINISTRATIVE MATTERS**

### ***A. Watauga County School Resource Officers***

County Manager Geouque stated that the school system had reapplied for the grants to reduce the cost of providing School Resource Officers (SRO) in Watauga County. The current cost to the County, providing SRO's in the school system, was \$692,886.41 with grant funds offsetting the cost by \$206,000.65. If awarded, the new application would provide an additional \$102,502.68 in grant funding, lowering the County's cost. The savings would allow the County to place an SRO in the Blowing Rock Elementary School and allow the Town of Blowing Rock to no longer fund the SRO position. The recent resignation of the Blowing Rock Elementary School's SRO presented a good time to transition the position from the Town to the County.

The grant application was not guaranteed; however, the Town of Blowing Rock has agreed to fund a Sheriff's Office SRO position until the end of FY 2024-2025. The County would provide the funding going forward. Should grant funds be awarded during the FY 2024-2025 time period, the County would reduce the Town of Blowing Rock's portion by the grant allocation for that one position. At the end of FY 2024-2025 the full cost would be borne by the County. During the transition period of the County hiring an SRO, the Town of Blowing Rock, with assistance from the Sheriff's Office, would continue to provide an officer at the school. Funds to cover the hiring of the Sheriff's Office SRO would come from the grant and Town of Blowing Rock for the remainder of FY 2024-2025.

Commissioner Russell, seconded by Commissioner Castle, moved to approve the Sheriff's Office hiring an additional SRO position which would be for the Blowing Rock Elementary School with the agreed upon terms as presented.

VOTE: Aye-5  
Nay-0

### ***B. Request for Declaration of Surplus and Sale – WCSO K-9***

County Manager Geouque, on behalf of Major Kelly Redmon, Watauga County Sheriff's Office, requested to have "Maverick" a K-9 police dog declared as surplus and to authorize to sell to its handler, Sergeant Aaron Watson. Major Redmon has indicated that due to Maverick's health issues, the K-9 must be retired for use by the Sheriff's Office. General Statutes allow for the dog

to be sold for a negotiated price by order of the Board of Commissioners. For staff to carry out the order, the Board must adopt a resolution and advertise the sale for ten (10) days prior to the sale. Major Redmon requested the dog be declared surplus and sold for a fee of \$1.00 to its handler. Since the K-9 has been trained for duty, it would be best for an experienced handler to have ownership. Upon completion of the ten-day notice, Maverick will be presented to Sergeant Watson who will bare all future expenses related to the medical, care, and housing of Maverick.

Vice-Chairman Wallin, seconded by Commissioner Eggers, moved to declare the K-9 police dog, Maverick, surplus, adopt the resolution, and direct staff to advertise for ten (10) days prior to the sale.

VOTE: Aye-5

Nay-0

***C. Request to Schedule a Public Hearing to Allow Citizen Comment on Proposed Amendments to the Personnel Ordinance***

County Manager Geouque presented proposed amendments to the Watauga County Personnel Ordinance and requested a public hearing be scheduled for the October 1, 2024, meeting to allow citizen comments on the amendments.

Commissioner Eggers, seconded by Commissioner Castle, moved to schedule a public hearing on Tuesday, October 1, 2024, at 5:30 P.M. to allow citizen comment on proposed amendments to the Watauga County Personnel Ordinance.

VOTE: Aye-5

Nay-0

***D. Boards and Commissions***

County Manager Geouque presented the following:

**Watauga County Library Board**

The Watauga County Library Board has recommended the appointment of Ms. Cheryl Angel to replace Ms. Sandi Basil who resigned. Since Ms. Basil's term expired in August 2024, if appointed, Ms. Angel would begin her first term when appointed and it would expire in 2027.

Commissioner Eggers, seconded by Commissioner Russell, moved to waive the second reading and appoint Ms. Cheryl Angel to the Watauga County Library Board with a term to expire in 2027.

VOTE: Aye-5

Nay-0

**Recreation Commission**

Mr. Bob Pudney, Beech Mountain Town Manager, has recommended the appointment of Mr. Sean Royall as the Town's representative on the Watauga County Recreation Commission. If appointed, Mr. Royall's term would end in 2027.

Chairman Turnbow, seconded by Commissioner Eggers, moved to waive the second reading and appoint Mr. Sean Royall as the Town of Beech Mountain's representative on the Watauga County Recreation Commission with a term to expire in 2027.

VOTE: Aye-5  
Nay-0

***E. Announcements***

County Manager Geouque announced the following:

- The High Country Council of Governments' 49<sup>th</sup> Annual Banquet is scheduled for Friday, October 4, 2024, from 6:00 to 9:00 P.M. in the Grandview Ballroom at The Northwest End Zone, Appalachian State University.
- The North Carolina Association of County Commissioners (NCACC) sent a reminder encouraging all Commissioners to Vote on NCACC Legislative Goals During Upcoming Steering Committee Meetings. Please see the information included in the packet. The email was also forwarded to the Board so you have access to all of the links.

**ADJOURN**

Commissioner Castle, seconded by Vice-Chairman Wallin, moved to adjourn the meeting at 8:07 P.M.

VOTE: Aye-5  
Nay-0

Larry Turnbow, Chairman

ATTEST: Anita J. Fogle, Clerk to the Board

**AGENDA ITEM 3:**

**APPROVAL OF THE OCTOBER 15, 2024, AGENDA**

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**AGENDA ITEM 4:**

**PUBLIC COMMENT**

**MANAGER'S COMMENTS:**

Public Comment will last up to 1-hour dependent upon the number of speakers.

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**AGENDA ITEM 5:**

**PUBLIC HEARING TO ALLOW CITIZEN COMMENT ON PROPOSED AMENDMENTS TO THE PERSONNEL ORDINANCE**

**MANAGER'S COMMENTS:**

A public hearing has been scheduled on the proposed amendments to the Watauga County Personnel Ordinance. Mr. Geouque will review the proposed changes to the current ordinance. At the conclusion of the public hearing, Board approval is requested to adopt the amendments as presented.

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## ARTICLE I. DEFINITIONS

1. Appointing Authority. Any board, elected official or position with legal or delegated authority to make hiring decisions.
2. Class. A position or group of positions having similar duties and responsibilities requiring similar qualifications, which can be properly designated by one title indicative of the nature of the work performed, and which carry the same salary grade.
3. Classified Employee. An employee occupying a position that is subject to the position classification pay plan.
4. Compensatory Time. Time earned at a rate of time and one-half by a non-exempt employee for hours worked in excess of 40 hours in a workweek or in the case of law enforcement in excess of 171 hours in a 28-day cycle.
5. Competitive Service Employee. An employee of the Department of Social Services subject to the State Personnel Act.
6. Demotion. The reassignment of a classified employee for disciplinary **or performance related** reasons, **or as a result of restructuring within a County Department**, to a position or classification having a lower salary grade than the employee's current position or classification.
7. Department Head. The highest level of supervision or top administrative official of a department of county government.
8. Disciplinary Action. An action which may include written warnings, suspension, demotion and dismissal taken only for cause against employees based on personal misconduct or unsatisfactory work performance.
9. Exempt Employee. Full and part-time employees who are exempt from the overtime and minimum wage provisions of the Fair Labor Standards Act.
10. General County Employee. A County employee assigned to a department not subject to the State Personnel Act.
11. Grievance. A claim or complaint based upon an event or condition allegedly caused by misinterpretation, unfair application, or employment conditions. A grievance may involve alleged safety or health hazards, unsatisfactory physical facilities, surroundings, materials or equipment, unfair or discriminatory supervisory or disciplinary practices, unjust treatment by fellow workers, unreasonable work requirements, or any other inequity relating to conditions of employment.
12. Hiring Rate. The salary paid an employee when hired into County service, normally the beginning rate of a grade and below midpoint.
13. Internal Hiring. The re-assignment of an employee to an existing position after following the internal hiring process. For internal hiring, the vacant position is posted three (3) days throughout all of the County departments prior to any external advertisement.
14. Maximum Salary Rate. The maximum salary authorized by the pay plan for an employee within an assigned salary grade.

15. Non-Exempt Employee. Full- and part-time employees who have been classified as “Non-Exempt” from the overtime provisions of the Fair Labor Standards Act and who must be paid for overtime hours worked or given compensatory time off in accordance with guidelines in this Ordinance. Overtime is paid or compensatory time off given to non-exempt employees for hours worked in excess of 40 hours per week. **Exception** - The official work period for sworn law enforcement and detention officers is 168 hours over a period of 28 consecutive days.
16. Non-Regular Employee. An employee hired under special circumstances in a General County Department, usually outside the normal or routine functions of the County. Length of employment can be short term or an indefinite period of time. Employee is paid on an hourly rate or a sum approved by the County Manager or his/her designee. This category of employee is not eligible for employee benefits.
17. Pay Range Revision. The raising and lowering of the salary range for one or more specific classes of positions within the classification plan.
18. Pay for Performance Increase. An increase in salary within the same salary grade, based on meritorious service and on performance of duties for the assigned position.
19. Performance Appraisal System. A system designed to document each employee’s work performance as it relates specifically to work objectives for each position as generally defined in the position’s job description.
20. Position. A group of current duties and responsibilities, assigned by competent authority, requiring the full or part-time employment of one person, but the existence of a position or its identity does not depend upon its being occupied by an employee.
21. Position Classification Pay Plan. A plan approved by the Board of County Commissioners that assigns classes (positions) to the appropriate salary grades.
22. Position Classification Pay Plan Revision. The uniform raising and lowering of the salary grade for every grade within the Position Classification Pay Plan.
23. Probationary Employee. A classified employee appointed to a regular position, who serves a probationary period.
24. Promotion. A change in employment status to a higher class that involves more complex or difficult duties and responsibilities or greater accountability and is assigned a higher salary grade.
25. Reclassification. The reassignment of an existing position from one class to another based on changes in job content such as duties, difficulty, required skills, responsibility of the work performed or change in market conditions.
26. Reduction in Force (RIF). The elimination of or reduction of a position or some portion of a position based on the needs of the organization, workload, or availability of funding.
27. Regular Employee. A classified employee who has satisfactorily completed a 6-month probationary period, trainee progression or reinstatement of qualified employee, and has been approved for regular status by his Department Head and County Manager or his/her designee. (a) Full-Time - An employee appointed to a classified position that is regularly scheduled to work at least 30 hours a week. (b) Part-Time Employee - An employee appointed to a classified position who is regularly scheduled to work at least 20 hours but less than 30 hours per work week. Regular employees in all

departments and agencies must be dismissed for cause and are informed in writing of their appeal rights.

28. Salary Grade. A range of minimum, midpoint, and maximum pay rates assigned to all classified positions. For the purpose of this definition, the words “grade,” “salary range,” and “range” are used interchangeably.
29. Separation. The resignation, reduction in force, disability, death, retirement or dismissal of an employee.
30. Temporary Employee. An employee appointed to a temporary position.
31. Time Off Earned (TOE). Employees who are exempt from the Fair Labor Standards Act may accrue time off earned for time worked in excess of 40 hours per week as approved by their supervisor. Time off earned shall accrue at the rate of one hour for each hour worked in excess of 40 hours during a normal workweek.
32. Trainee. A Department of Social Services Competitive Service employee appointed to a regular position in any class for which the Office of State Personnel has authorized "trainee" appointments. The trainee must be appointed to the regular position when they gain the acceptable training and experience.
33. Transfer. The reassignment of a classified employee from one position or department to another. A classified employee under this status would not be exempt from Article IX.
34. Voluntary Demotion. Willingly accepting or choosing to move to a position or classification having a lower salary grade than the employee's current position or classification.
35. Work-Against. In departments whose employees are subject to the State Personnel Act, the appointing authority may appoint an employee in a work against situation. When qualified applicants are unavailable and there is no trainee provision for the classification of the vacancy, the appointing authority may appoint an employee below the level of the regular classification in a “work against” appointment. This appointment is for the purpose of allowing the employee to gain the qualifications needed for the full class through on the job experience. A work against appointment may not be made when applicants are available who meet the training and experience requirements for the full class in the position being recruited. During the duration of the work against appointment, the employee is on probationary status.

## ARTICLE II. ORGANIZATION OF PERSONNEL SYSTEM

### SECTION 1. PURPOSE

The purpose of this ordinance is to establish a personnel system that will promote a fair and effective means of employee recruitment and selection, develop and maintain an effective and responsible work force, and provide the means for removal of unsatisfactory employees. This ordinance is established under the authority of G.S. 153A, Article 5, and G.S. 126 of the General Statutes of North Carolina.

### SECTION 2. COVERAGE

This personnel ordinance and all rules and regulations adopted pursuant thereto shall be binding on all County employees, except as provided in this section. An employee violating any of the provisions of this ordinance shall be subject to appropriate disciplinary action, as well as prosecution under civil or criminal laws which have been violated.

- A. All employees in the County's service shall be subject to this policy, unless specifically identified below.
- B. The following officials and employees are exempt:
- Board of County Commissioners
  - Advisory, Special Boards and Commissions
  - Elected Officials
  - County Manager
  - County Attorney
  - Consultants
  - Non-Regular Employees
  - Temporary employees
  - Volunteers
- C. The following employees shall be subject to this policy, except as specifically identified below:
1. Employees of the North Carolina Cooperative Extension Service shall be subject to Article II, Section 3, Article V, Sections 8 and 14, Article VI, and Article VII, Section 1.
  2. Employees of the Register of Deeds are exempt from Article IV, Sections 2-8; Article VIII and Article IX.
  3. Employees of the Sheriff's Office are exempt from Article IV, Sections 2-8; Article VII, Section 4; Article VIII and Article IX.
  4. The Supervisor of Elections is exempt from Article IV, Sections 2-8; Article VIII and Article IX.
  - ~~5. Competitive Service Employees shall be covered by all articles and sections except where noted. In the event that the Ordinance conflicts with the State Personnel Act, the State Personnel Act shall prevail. ~~The Social Services Director shall be responsible to the County Board of Social Services.~~~~
  6. The Tax Administrator's appointment shall be governed by G.S. 105-294.



### SECTION 3. MERIT PRINCIPLE

All appointments and personnel actions hereunder shall be made solely on the basis of merit and fitness without regard to an individual's race, color, sex, **gender**, age, disability, national origin, political affiliation or religious affiliation. All regular positions requiring the performance of the same duties and fulfillment of the same responsibilities shall be assigned to the same class and the same salary grade.

### SECTION 4. RESPONSIBILITY OF BOARD OF COMMISSIONERS

The Board of County Commissioners shall establish personnel policies and rules, including the Position Classification Pay Plan and shall make and confirm appointments when so specified by law. The Board may change the ordinances and benefits as deemed necessary.

### SECTION 5. RESPONSIBILITY OF THE COUNTY MANAGER

The County Manager shall be responsible to the Board of Commissioners for the administration of the personnel program. Department Head level positions including the ~~Deputy County Manager~~ **Department of Social Services Director, Emergency Services Director, Finance Director, Human Resources Director, Information Technologies Director, Maintenance Director Supervisor, Operation Services Director, Parks and Recreation Director, Planning and Inspections Director, Project on Aging Director, Tax Administrator, and Veteran's Services Officer;** shall be appointed, suspended or removed by the County Manager with the approval of the Board of County Commissioners. All other positions in departments under the Commissioner's general control shall be appointed, suspended or removed by the County Manager in accordance with the terms of this ordinance and in accordance with G.S. 153A-82. An official copy of the Personnel Ordinance and rules shall be available in the County Manager's Office. Any questions on the applicability or interpretation of the Ordinance's guidelines or rules shall be directed to the County Manager or his/her designee before proceeding.

### SECTION 6. RESPONSIBILITY OF THE ~~PERSONNEL OFFICER~~ **HUMAN RESOURCES DIRECTOR**

The **Human Resources Director** ~~may designate a Personnel Officer who~~ shall assist the **County Manager** in the preparation and maintenance of the Position Classification Pay Plan and perform such other duties in connection with a modern personnel program, as the Manager shall require. The County Manager or his/her designee shall perform all of the following duties and responsibilities:

- A. Apply, interpret and carry out this policy and the policies adopted hereunder, and any situations not covered herein.
- B. Establish and maintain records of all County employees' service to include name, grade, title of position, salary, employment status, history and other relevant employment data.
- C. Develop and administer such recruiting programs as may be necessary to obtain an adequate supply of competent applicants to meet the needs of the County.
- D. Encourage and exercise leadership in the development of effective personnel administration within the various County departments, and to make available the facilities of the personnel office to this end.

- E. Investigate, when necessary, the operation and effect of this policy and of the policies made there under, and report their findings and recommendations to the Board of Commissioners.
- F. Make recommendations to the Board of Commissioners regarding the personnel functions, as well as revisions to the personnel system, as they may consider appropriate.
- G. Issue and publish administrative directives, supplements, interpretations and necessary prescribed forms and reports for any personnel matters for the proper functioning, maintenance and documentation of the procedures established by and in accordance with this policy.

All matters dealing with personnel shall be routed through the County Manager or his/her designee, who shall maintain a complete system of personnel files and records.

## ARTICLE III. POSITION CLASSIFICATION PAY PLAN

### SECTION 1. ADOPTION

The Board of County Commissioners hereby adopts the Watauga County Position Classification Pay Plan. Please refer to Appendix A, which may be amended from time to time by the Board of County Commissioners.

### SECTION 2. PURPOSE

The position classification plan provides a complete inventory of all authorized and regular positions in ~~the~~ County service, and an accurate description and specification for each class of employment. The plan standardizes job titles, each of which is indicative of a definite range of duties and responsibilities. All positions covered by the ~~Personnel Ordinance policy~~ are to be classified according to the assigned duties, responsibilities, qualifications needed, and modern classification factors. In order to insure its continuing value as a personnel management tool, the position classification plan will be maintained to reflect the current work assignments and other conditions and requirements, which are factors in proper classification and allocation of regular positions.

The N.C. Office of State Personnel in compliance with the rules and regulations under the State Personnel Act will classify positions in the Department of Social Services.

### SECTION 3. COMPOSITION OF THE POSITION CLASSIFICATION PLAN

The classification plan shall consist of:

- A. A grouping of positions into classes of work which are approximately equal in difficulty and responsibility which call for the same general qualifications, and which can be equitably compensated within the same range of pay under similar working conditions;
- B. Class titles descriptive of the work of the class;
- C. Written specifications for each class of positions; and
- D. An allocation list showing the class title of each position in the classified service.

### SECTION 4. USE OF THE POSITION CLASSIFICATION PLAN

The classification plan provides the County a uniform job classification system and terminology and is used:

- A. As a guide in recruiting and examining applicants for employment;
- B. In determining lines of promotion and in developing employee training programs;
- C. In determining the appropriate salary range to be paid for various types of work; and
- D. In determining personnel service cost in department budgets.

## **SECTION 5. REQUEST FOR RECLASSIFICATION**

Any employee who considers the position in which classified to be improper shall submit a request in writing for reclassification to such employee's immediate supervisor, who shall immediately transmit the request to the Department Head. Upon receipt of such request, the Department Head shall study the request, determine the merit of the reclassification, and make a recommendation to the County Manager or his/her designee in order to maintain a fair and accurate classification plan. **With the exception of time sensitive circumstances, a request for reclassification of a position should presented to the County Manager during the annual budget process to prevent any type of shortfall within the department's budgeted salaries.**

## **SECTION 6. ADMINISTRATION OF THE POSITION CLASSIFICATION PAY PLAN**

The Position Classification Pay Plan shall be administered in a fair and systematic manner in accordance with work performed. The pay structure shall be externally competitive, shall maintain proper internal relationships among all positions based on relative duties and responsibilities, and shall recognize performance as the basis for pay increases within the established pay range. The Position Classification Pay Plan shall meet the requirements of the State Competitive Service System for local government employees, while maintaining a countywide plan. The County Manager or his/her designee shall perform all of the following duties and responsibilities:

- A. The County Manager or his/her designee shall be responsible for the administration and maintenance of the Position Classification Pay Plan to accurately reflect the duties performed by employees in the classes to which their positions are allocated. Department Heads shall be responsible for bringing to the attention of the County Manager or his/her designee the need for new positions and material changes in the nature of duties, responsibilities, or working conditions affecting the classification of a position.
- B. New positions shall be established upon recommendation of the County Manager or his/her designee with the approval of the Board of County Commissioners. The County Manager or his/her designee may allocate the new position to the appropriate class within the existing classification plan; or recommend that the Board of Commissioners amend the Position Classification Pay Plan to establish a new class to which the new position may be allocated.
- C. When the County Manager or his/her designee finds that a substantial change has occurred in the nature or level of duties and responsibilities of an existing position, the County Manager or his/her designee shall
  1. Direct that the existing class specifications be revised;
  2. Recommend that the Board of County Commissioners reallocate the position to the appropriate class within the existing classification plan; or
  3. Recommend that the Board of Commissioners amend the position classification plan to establish a new class to which the position may be allocated.

## **SECTION 7. MAINTENANCE OF THE POSITION CLASSIFICATION PAY PLAN**

The County Manager or his/her designee shall be responsible for the administration and maintenance of the Position Classification Pay Plan. The Position Classification Pay Plan is intended to provide equitable

compensation for all positions when considered in relation to each other, general rates of pay for similar employment in the private and public sector, changes in the cost of living, financial conditions of the County, and other factors. The County Manager or his/her designee shall, from time to time, order comparative studies of all factors affecting the level of salary grade and shall recommend to the Board of County Commissioners such changes in salary grades as are warranted.

#### **SECTION 8. HIRING RATE/STARTING SALARY**

Employees will be hired at the minimum rate of their assigned salary grade. Appointments above the minimum rate may be made by the County Manager or his/her designee when deemed necessary to the best interests of the County, based on such factors as superior qualifications of the applicant, a shortage of qualified applicants available at the hiring rate, or the refusal of qualified applicants to accept employment at the minimum rate. The Board of County Commissioners must approve any appointment above the midpoint of the salary rate. Approval of all personnel actions is subject to the availability of funds. No action can be taken by the County Manager, which would expend funds in excess of those appropriated for the current fiscal year.

#### **SECTION 9. PAYMENT AT A RATE WITHIN THE SALARY GRADE**

Classified employees covered by the Position Classification Pay Plan shall be paid at a rate within the salary grade established for their respective job classes.

When a classified employee attains the maximum rate of a salary grade for their position, no salary increases shall be received unless (1) the position is reclassified to a higher salary grade, (2) the classified employee is promoted to a higher salary grade, or (3) the salary grade for the position is increased. If a pay for performance increase or cost of living adjustment causes an employee's salary to exceed the maximum range for their position, the difference between their new salary and the maximum range shall be paid in a lump sum. Employees already at the maximum of their salary grade shall receive a lump sum payment.

#### **SECTION 10. PAY RATES IN PROMOTION, DEMOTION, TRANSFER, AND RECLASSIFICATION**

When a classified employee is promoted, demoted, transferred, or reclassified the rate of pay for the new position shall be established as follows:

- A. When a promotion occurs, if the employee's current salary is below the new minimum, the employee's salary shall be increased to the greater of, the minimum rate of the salary grade assigned to the class to which they are promoted to or a 5% increase. If an employee's current salary is already above the new minimum salary rate, their salary shall be adjusted approximately 5% upward based on funds available or in unusual circumstances may be left unchanged at the discretion of the County Manager or his/her designee, provided that the adjusted salary does not exceed the maximum of the assigned salary range.
- B. If the employee's salary grade is lowered as a result of a reclassification or a transfer, and the employee's current salary falls above the maximum of the range for the lower class, the employee's salary will remain the same until any adjustments are made to the Position Classification Pay Plan.
- C. When a reclassification occurs and an employee's position is reclassified to a class having a higher salary range, the employee's salary shall be increased to the minimum of the new pay range. If the employee's current salary is already above the minimum salary rate, their salary may be adjusted

upward but not to exceed the maximum of the assigned salary range ~~or left unchanged~~ at the discretion of the County Manager or his/her designee. ~~If the position is reclassified to a lower pay range, the employee's salary shall remain the same. If the employee's salary is above the maximum established for the new range, the salary of that employee shall be maintained at the current level until the range is increased above the employee's salary.~~

- D. If an employee is demoted because of a disciplinary action ~~or takes a voluntary demotion~~, the employee's salary will be reduced ~~using a formula that calculates the new salary based on the lower salary range for the position the employee is moving into and in consideration of said employee's salary point within the range of their position prior to the demotion~~, considering factors such as; fair and equitable treatment with other employees in the same classification based on training and experience, performance levels, and salary ~~increases previously received~~. The reduction in salary shall not fall below the minimum salary rate of that range. When the demotion is not the result of disciplinary action, the salary shall be set at a rate within the lower pay range, which provides a salary commensurate with the employee's qualification to perform the job, ~~using the above described formula~~. If the current salary is within the new range, the employee's salary may be retained at the previous rate, ~~at the discretion of the County Manger~~.
- E. If an employee transfers to a different position within the same salary grade, the employee's salary will remain the same until any adjustments are made to the Position Classification Pay Plan.

#### **SECTION 11. PAY FOR PERFORMANCE INCREASES**

Upward movement within the established Position Classification Pay Plan for an employee is not automatic but based on specific performance-related reasons. Employees may be considered for advancement within the established salary range based on the quality of their overall performance. Procedures for determining performance levels and pay for performance increases shall be established ~~in procedures~~ ~~with criteria~~ approved by the County Manager or his/her designee.

Except in unusual circumstances, pay for performance increases will not be awarded more often than once every twelve (12) months.

#### **SECTION 12. PAY FOR PERFORMANCE AT MAXIMUM OF SALARY RANGE**

Employees who are at the maximum of the salary range for their position classification are eligible to be considered for a pay for performance bonus which if awarded does not become part of the employee's salary and shall be paid in a lump sum.

If a pay for performance increase causes an employee's salary to exceed the maximum range for their position, the difference between their new salary and the maximum range shall be paid in a lump sum. Employees already at the maximum of their salary grade shall receive a lump sum payment.

#### **SECTION 13. PAY FOR PART-TIME WORK**

The Position Classification Pay Plan established by this manual is for regular employees. An employee appointed to a regular part-time position shall be paid at a rate determined by converting the established annual salary ~~within the correct pay range for the position~~, to the appropriate hourly rate. When a comparable full-time position classification does not exist, the County Manager or his/her designee ~~or Human Resources Director~~ upon recommendation of the Department Head shall establish an hourly rate. Temporary service pay and non-regular pay shall be based on market conditions as recommended by the Department Head subject to the County Manager's approval.

#### **SECTION 14. SALARY OF DSS COMPETITIVE SERVICE TRAINEE**

Competitive Service Employees subject to the State Personnel Act will be designated “trainees” in accordance with rules and regulations established by the Office of State Personnel. Applicants being considered for Competitive Service Employment or Competitive Service Employees who do not meet all of the requirements for the position for which they are being considered may upon recommendation by the Office of the State Personnel be hired, promoted, demoted, or transferred by the DSS Director with the approval of the County Manager or his/her designee, to a “trainee” or “work against” status. An employee in a trainee status shall continue to receive reduced pay until the Office of State Personnel and the DSS Director, with approval of the County Manager, determine that the trainee is qualified to assume the full responsibilities of the position.

Trainee salaries may be one to three grades below the minimum salary established for the position for which the person is being trained. Assignment of two grades below is appropriate for more than six months but less than two years. Note: Positions subject to the State Personnel Act may be assigned no more than two grades below for trainee purposes.

In the trainee or work against status, a plan for training and meeting the minimum qualification for the job classification, including a time schedule, must be prepared by the supervisor. If the training is not successfully completed the employee shall be transferred, demoted or dismissed. If the training is successfully completed, the employee shall be paid at least the minimum rate for the position for which the employee is being trained.

#### **SECTION 15. OVERTIME**

Employees of the County can be requested and may be required to work overtime hours as necessitated by the needs of the County and determined by the Department Head.

Regular Overtime – It shall be the policy of Watauga County to avoid overtime work whenever possible. Unless special authorization has been approved, Department Heads are expected to keep each employee’s hours within 40 hours per week. Except in cases of emergency, employees are not to perform work at any time they are not scheduled to work, unless they receive prior approval from their Department Head. An emergency exists if a condition arises that could reasonably result in damage to property or persons or which requires immediate attention of the employee. Employees who work excess hours due to an emergency shall advise their Department Head of the overtime worked as soon as ~~practical~~ **practicable** following completion of the work. In emergencies, the Department Head may approve reasonable periods of overtime work to meet operational needs. Such overtime work shall be reported to the County Manager or his/her designee within five (5) workdays. In non-emergency situations, the County Manager or his/her designee must approve periods of overtime work.

Whenever practicable, departments will schedule time off on an hour for hour basis within the applicable work period for nonexempt employees, instead of paying overtime. When time off within the work period cannot be granted, overtime worked will be given in the form of compensatory time off.

The County abides by all applicable sections of the Fair Labor Standards Act and the Fair Labor Standard Amendments (FLSA) of 1986, 2004 **and 2024, or those guidelines that have most recently been adopted or approved.** The County Manager or his/her designee shall determine which jobs are “Non-Exempt” and are therefore subject to the FLSA in areas such as hours of work and work periods, rates of overtime compensation, and other provisions. The County will properly record all applicable overtime accrual for each

covered employee. This overtime policy is applicable only to employees of Watauga County who are non-exempt under the Fair Labor Standards Act.

Employees classified, as non-exempt from the Fair Labor Standards Act shall be compensated at the rate of one and one-half hours for each hour worked in excess of the regular 40-hour week. The computation of overtime shall be based on time worked after completing 40 full hours of work within a given week. This 40-hour period shall be actual hours worked not including any paid leave, **holiday time** or otherwise. Employees in sworn law enforcement may earn overtime based on a 28-day time period (hours in excess of 171 hours). Except where specifically provided for, it shall be the policy of the County to use compensatory time as payment for overtime worked, instead of overtime pay.

Non-exempt employees who are in sworn law enforcement may accrue a maximum of 480 hours of compensatory time for overtime hours worked. Other non-exempt employees may accrue a maximum of 240 hours of compensatory time.

Compensatory time off leave shall be designated by the employee's Department Head normally to occur during periods when workloads are light and would not unduly disrupt operations. Compensatory time must be designated and taken within three (3) months of the overtime **when practicable**. If the employee fails to take earned compensatory time off at the time designated by the Department Head, the employee is subject to disciplinary actions.

Employees who are exempt from the Fair Labor Standards Act may accrue time off earned for time worked in excess of 40 hours per week as approved by their supervisor. Time off earned shall accrue at the rate of one hour for each hour worked in excess of 40 hours during a normal workweek. The computation of time off earned shall be based on time worked after completing 40 full hours of work within a given week. This 40-hour period shall be actual hours worked not including any leave paid or otherwise. When there is a holiday in the work week, time off earned is calculated by adding total hours worked plus holiday time, hours over 40 are accrued. Time off earned may not be transferred to any other type of leave. Time off earned is not required by the Fair Labor Standards Act and therefore, not a guaranteed County benefit. Exempt employees will not be compensated for time off earned when separated from the County. Overtime compensation is not required in top-level supervisory, administrative and professional positions.

**Compensatory time off for employees classified as non-exempt and time off earned for exempt employees will be used during any period of absence from work before other accrued paid leave.**

#### **SECTION 16. ON-CALL/CALL BACK COMPENSATION**

Even though on call compensation may not be required by the Fair Labor Standards Act, the County recognizes that such callback usually results in added travel expense and inconvenience for the employee. Therefore, it is the policy of Watauga County to provide additional compensation for employees who are on-call or respond to a "callback" in order to perform necessary work at a time other than during the employee's regularly scheduled hours of work.

Therefore, certain classified employees of Animal Care and Control, Emergency Management, the Department of Social Services (Social Workers I, II and III) and Maintenance Department are eligible for on-call compensation when the employee is routinely required to be on call and report for work upon contact **from their supervisor** via ~~pager or~~ telephone. The Department Head is responsible for designating the individuals **which positions** are to be placed on call and submit a list of them, **including a standard rotation schedule to be followed**, to the County Manager or his/her designee for approval.



Watauga County On-Call Compensation:	
<b>\$20/day</b>	Monday thru Friday
<b>\$50/day</b>	Saturday thru Sunday
<b>\$80/day</b>	Holiday

On-call time is not considered actual hours worked for overtime purposes and is compensated on the above schedule.

Time on callback is determined from the time the employee leaves home and until the employee returns home, **but not less than one (1) hour for each call-back**. Callback time is considered actual hours worked for overtime purposes.

### SECTION 17. K-9 PAY

K-9 Officers shall be compensated at a flat rate of one-half hour per day (based on the individual officer's hourly rate) which will be paid biweekly for the care and upkeep of their canine.

### SECTION 18. PAYROLL DEDUCTIONS

Federal and state income taxes, Social Security tax, and retirement contributions shall be deducted as authorized by law and the Board of County Commissioners. The County Manager must approve any other payroll deduction.

### SECTION 19. PAYROLL PROCEDURE

All employees shall be paid on a biweekly basis. Payday will be every other Friday. **When necessary, if payday falls on a recognized holiday, payroll may be processed early. All employees of the County are required to provide the necessary bank account information for mandatory direct deposit.**

### SECTION 20. IMPROPER OR UNLAWFUL PAYROLL DEDUCTIONS

Every effort is made to ensure that compensation and paychecks are properly computed and calculated. It is against County policy for any employee's wages to have improper or unlawful deductions. If you believe that your pay is incorrect or that an improper or unlawful deduction was made to your wages or salary, contact the Finance Department immediately. Our payroll department and/or HR department will investigate the matter, make corrections as appropriate, and make prompt reimbursement as required.

The salaries of employees exempt under the Fair Labor Standards Act, 29 CFR Part 541, may be reduced or be subject to deduction for the following conditions ONLY:

- For one or more full days of absence for personal reasons other than sickness or disability and the employee has no leave to cover the absence.
- For sickness or disability (including work place injury) if the employee has not qualified for the County's leave benefit, has not earned sufficient leave to cover the absence, or has exhausted all leave and has no earned leave remaining to cover the absence. If the employee has exhausted all leave benefits **or Voluntary Shared Leave donations** that would cover an FMLA absence, the employee's salary may be reduced in hourly increments while on FMLA leave.
- Deductions for penalties imposed for violations of safety rules of major significance, including those relating to the prevention of serious danger in our workplace or to other employees.
- Deductions resulting from suspensions without pay for serious violations of our workplace misconduct rules.
- In the initial or final workweek of employment, deductions may be made for the days of the workweek not worked. For example, in the first or last workweek of work, if the employee only works two of the five days, the employee will receive 2/5 (two fifths) of their weekly salary.

Pursuant to Federal Regulations 29 Part 541.710, salaries of exempt salaried employees may be reduced under the following conditions in that all agency employees are employed under the rules of public accountability:

- For absences of less than a day for personal reasons, illness, or injury when accrued leave is not used because:
  1. Permission for the absence/leave has not been sought or it has been requested by the employee and was denied;
  2. Accrued leave has been exhausted;
  3. The employee requests ~~or chooses~~ and is approved to use leave without pay.
- Deductions for a "budget-required furlough" implemented by agency management or the governing board/body. During such week, and only in such week, the Part 541 exemption is lost and the employee is entitled to overtime compensation in the week of the furlough if the employee works more than 40 hours (or other standard which is employed in law enforcement or fire-fighting) despite being in furlough status.

Deductions from salaries of employees exempt under the Fair Labor Standards Act, 29 CFR Part 541, are NOT permitted by the regulation for the following conditions:

- On an hourly basis except for unpaid FMLA leave and as provided in the special rules above.
- When the office, facility, building or department is officially closed due to inclement weather such as snow or ice. Exempt salaried workers cannot be required to use earned leave for such closings unless it is announced that the office, facility, building or department remains open for salaried exempt employees and they are given the option of reporting to work or using leave.
- For penalties or rules violations such as performance issues, attendance issues, minor safety rules, cash shortages, losses, rules of evidence violations or damages to equipment or property, including insurance deductibles when damage has occurred.

Employees can report improper or unlawful deductions from their wages without fear of discrimination or reprisal. Upon receiving notification of an improper or unlawful deduction from pay, the Human Resource Director, or designee, in consultation with the Payroll Manager, will investigate the matter and issue a finding before the next pay period entry date. If the investigation confirms the deduction was improper or unlawful the employee(s) shall be reimbursed the amount of the deduction with the next paycheck.

It shall be the policy of Watauga County to round all time in increments of 8 minutes to the next quarter of an hour.

## ARTICLE IV. RECRUITMENT AND EMPLOYMENT

### SECTION 1. STATEMENT OF EQUAL EMPLOYMENT OPPORTUNITY POLICY

It is the policy of the County to maintain a systematic, consistent recruitment program, to promote equal employment opportunity and to identify and attract the most qualified applicants for all present and future vacancies with Watauga County. This is achieved through consistency in announcing all positions, evaluating all applicants on the same criteria, and by utilizing the services of the local Employment Security Commission. Selection decisions shall be made without regard to race, color, religion, age, **gender**, sex, national origin, political affiliation or non-disqualifying disability.

### SECTION 2. JOB ADVERTISEMENTS

All open regular position vacancy announcements shall be posted internally for a minimum of three (3) working days. After three (3) days of internal posting, outside recruitment may be sought. All positions posted externally shall be advertised for a minimum of seven (7) days at the County ~~Personnel Administration~~ Office and the ~~NC Works Career Center Employment Security Commission~~. Additional advertisements may be carried out through the media as appropriate. Vacancy announcements shall include information pertinent to the position/work involved, including at a minimum, the title, grade, starting salary, key duties, knowledge and skill requirements, minimum education and experience standard, special certification or licensing requirements and application closing date. In addition, assurance of Equal Employment Opportunity shall be contained therein. **When appropriate positions may be posted internally and externally concurrently, and may be posted as "Open Until Filled."**

### SECTION 3. APPLICATION FOR EMPLOYMENT

All employees (including temporary and non-regular) are eligible to apply for any position vacancy during an advertisement period. Employees interested in being considered for a specific opening must submit an updated County application to ~~Human Resources the Personnel Office~~ **Human Resources** by the application closing date.

All persons expressing interest in an advertised position with Watauga County shall be given the opportunity to file an application for employment. The Watauga County application shall be the standard application accepted for any and all position listings. Competitive Service departments will accept North Carolina State applications. All persons expressing interest in an externally advertised position must submit a County application to the ~~Employment Security Commission~~ **NC Works Career Center** by the application closing date.

All applications received by the Employment Security Commission shall be retained by ~~Human Resources the Personnel Office~~ **Human Resources** for a period of **not less than** two years, in accordance with Federal and State requirements. Department Heads must return all original applications and documents to the Personnel Office.

### SECTION 4. APPLICATION TRACKING

~~The Personnel Office~~ **Human Resources** shall be responsible for maintenance of records of all job vacancy announcements, including posting and closing dates, and all optional referral sources utilized during the recruitment process and the pool of applicants considered for each vacancy.

## SECTION 5. SELECTION

All applicants considered for employment or promotion shall meet the employment standards established by the class specifications relating to the position to which the appointment is being made. Department Heads (in cooperation with **Human Resources** ~~the Personnel Office~~) shall develop, utilize and document, on a consistent and routine basis, a selection process, which best suits the needs in filling positions within each agency/department.

## SECTION 6. EMPLOYMENT

Prior to an offer of employment, the Department Head shall forward to the County Manager or his/her designee a Personnel Action Form (PAF) along with the **employment** application ~~indicating for~~ the Department Head's recommendation for employment. ~~The required information, authorization and acknowledgment forms must also be submitted to complete a pre-employment background check on all employment candidates.~~ The County Manager or his/her designee may approve or reject the recommendation and will determine if the starting salary is appropriate, subject to the following conditions:

- A. If the duties of the position involve operation of a County owned vehicle or the use of a personal vehicle for County business, the applicant will provide a driving record, which will become a part of their personnel file.
- ~~B. If the duties of the position involve the collection, supervision, or processing of County funds or is mandated by Federal or State law, prior to appointment, the County shall conduct a criminal background check which will become a part of the personnel file.~~
- C. The Sheriff, Register of Deeds and the Board of Elections shall have ~~the~~ authority over the appointment **of employees** in their respective departments, with the County Manager or his/her designee approving the **salary pay** grade and salary.
- D. By the authority of Chapter 153A-103 of the N.C. General Statutes, the Board of Commissioners must approve the appointment by the Sheriff or Register of Deeds of a relative by blood, marriage or nearer kinship than first cousin or a person who has been convicted of a crime involving moral turpitude.
- E. By the authority of Chapters 108A and 126 of the N.C. General Statutes, the Department Head will have authority over appointments in the Competitive Service departments. The State Office of Personnel will determine the classification, and the County Manager will approve the salary grade and salary.

## SECTION 7. PROMOTION, TRANSFER AND DEMOTION

- A. Promotion/Internal Hiring – Candidates for promotion or internal hiring shall be chosen on the basis of their qualifications and their work records without regard to age, sex, race, color, creed, religion, political affiliation, national origin or handicap. In order to encourage job advancement and employee development, all County employees are eligible to apply for vacant job openings. This will not apply to those situations where State Personnel policy requires outside recruitment before considering a lower qualified person in a trainee situation.

If a county employee is chosen for promotion or internal hiring, the Department Head shall forward the request to the County Manager or his/her designee with recommendations for salary along with reasons for selecting the employee over other applicants. After considering the Department Head's recommendation, the County Manager or his/her designee may approve or reject the appointment and, if appointed, determine the starting salary.

- B. Transfer – A classified employee, who wishes to accept a position with less complex duties and reduced responsibilities, may request a transfer to another position for reasons other than unsatisfactory work performance or failure in personal conduct. A voluntary transfer may result in a lower salary.

If a vacant advertised position exists and a classified employee wishes to be considered for the position, an application must be forwarded to the Human Resources Department or ~~his~~ designee during the recruitment period for the position. After considering the Department Head's recommendation, the County Manager or his/her designee may approve the transfer and determine the starting salary of the employee. Transfers will not be considered unless the classified employee is qualified for an advertised vacant position.

- C. Demotion – A demotion is the reassignment of a classified employee for disciplinary reasons to a position or classification having a lower salary grade than the employee's current position or classification. An employee whose work performance in their present position is unsatisfactory or whose personal conduct is unsatisfactory may be demoted provided the employee shows promise of becoming a satisfactory employee in another position. Such a demotion shall be preceded by the warning procedures outlined in Article VIII. Representative causes for demotion because of failure in work performance and failure in personal conduct are listed in Article VIII, Sections 8 B and C. The employee shall be provided with written notice citing the recommended effective date, reasons for the demotion, and appeal rights available to the employee as stated in Article VIII of the policy. In the case of a demotion, after considering the Department Head's recommendation, the County Manager or his/her designee shall determine the salary and assign the appropriate classification.

## **SECTION 8. PROBATIONARY PERIOD– EMPLOYMENT, TRANSFER, PROMOTION, AND DEMOTION**

- A. Probationary Period – Employment (New Hire) – A newly hired classified employee shall serve a probationary period of no less than six (6) months. Sworn law enforcement officers shall serve a twelve-month probation in accordance with State Criminal Justice Standards. At the recommendation of the Department Head and the approval of the County Manager or his/her designee, an employee's probationary period may be extended for one three (3) month period. Employees in trainee or "work against" appointments will have specific time frames established for the probationary period. A newly hired classified employee serving a probationary period may be dismissed, without cause or explanation, at any time during the probationary period.

A newly hired classified employee serving a probationary period will receive all benefits provided in accordance with this ordinance, with the following exceptions:

1. A probationary employee may accumulate vacation leave. Vacation leave may not be taken during this period without prior written approval of the Department Head. ~~and County Manager or his/her designee.~~ A limited amount of vacation leave will be granted during an employee's probationary period to avoid leave without pay, until an adequate amount of paid leave time can be accrued.

2. Probationary employees have no right of grievances during this period and may be transferred, demoted or dismissed at any time without further notice and without the right of grievance, except when the employee alleges unlawful harassment or discrimination based on race, color, religion, age, sex, national origin, political affiliation or non-disqualifying condition.
3. Before a classified employee completes the probationary period, the Department Head shall conduct a written performance ~~appraisal~~ **evaluation** to be forwarded with a personnel action form to the County Manager or his/her designee indicating:

That the employee has been advised of their progress (accomplishments, strengths and weaknesses);

Whether the employee is performing satisfactorily or should have their probationary period extended; or

Whether the employee should be transferred, demoted, or dismissed from County service.

Following successful completion of the probationary period, the employee shall be considered a regular employee and can only be separated as provided in Article VIII of this ordinance.

- B. Probationary Period – Transfer, Promotion and Demotion – The classified employee transferred, promoted or demoted shall successfully complete a six (6) month probationary period in their new position. A classified employee serving a probationary period may be transferred, demoted or dismissed if unable to satisfactorily perform assigned duties of the new position and will be afforded all rights as specified in Article VIII of this ordinance.

Before a classified employee completes the probationary period, the Department Head shall conduct a written performance ~~appraisal~~ **evaluation** to be forwarded with a personnel action form to the County Manager or his/her designee indicating:

That the employee has been advised of their progress (accomplishments, strengths and weaknesses);

Whether the employee is performing satisfactorily or should have their probationary period extended; or

Whether the employee should be transferred, demoted, or dismissed from County service.

## SECTION 9. AMERICANS WITH DISABILITY ACT (ADA)

It is the policy of the County to comply with the relevant and applicable provisions of the Americans With Disability Act (ADA). The County will not discriminate against any qualified employee or applicant with respect to any terms, privileges or conditions of employment because of a person's physical or mental disability. The County will also make reasonable accommodations wherever necessary for employees or applicants with disabilities, provided that the individual is otherwise qualified to safely perform the duties and assignments connected with the job and provided that any accommodations made do not require significant difficulty or expense.

Employees or applicants, who may need an accommodation, whether temporary or permanent, shall contact the ~~personnel director~~ **Human Resources Director** for assistance.

## ARTICLE V. CONDITIONS OF EMPLOYMENT

### SECTION 1. WORKWEEK

The official workweek for all County employees is 40 hours over a seven (7) day work period. The standard workweek shall be from 12:01 a.m. on Monday through 12:00 p.m. on Sunday. The standard workday shall be from 8:00 a.m. to 5:00 p.m. An alternate workweek or day may be used only if approved by the County Manager or his/her designee.

Department Heads, supervisors and otherwise exempt employees under FLSA shall work those hours necessary to ensure satisfactory performance of their departments, but not less than 40 hours per week. Department Heads shall schedule staff to ensure the public is assisted during regular office hours. When the activities of a particular department require some other schedule to meet work needs, the County Manager or his/her designee may authorize a deviation from the normal schedule.

The exception is the official work period for sworn law enforcement officers.

It shall be the policy of Watauga County to round all time in increments of 8 minutes to the next quarter of an hour and time should be recorded on all employees' timesheets accordingly.

### SECTION 2. GIFTS AND FAVORS

- A. No official or employee of the County shall accept any gift, whether in the form of a service, loan, a thing of value, or a promise from any person, firm or corporation, who in the employee's knowledge, is interested directly or indirectly in any manner whatsoever in business dealings with the County.
- B. No official or employee shall accept any gift, favor or thing of value that may tend to influence that employee in the discharge of duties.
- C. No official or employee shall grant in the discharge of duties any improper favor, service, or thing of value.
- D. All County employees shall refuse gratuities in the form of money.

### SECTION 3. CONFLICT OF INTEREST POLICY

- A. It shall be the duty of all Watauga County employees to recognize and report to the County Manager or his/her designee, any and all part-time employment opportunities or formal intent in any outside undertaking that may be considered a conflict of interest in their employment with Watauga County Government.
  - 1. All requests for employment opportunities outside of employees' normal County jobs, or any financial interest or relationship an employee may have in any outside venture, shall be approved in advance by the County Manager or his/her designee, and/or **Human Resources Director** or corresponding appointing authority.
  - 2. Other incompatible activities include, but are not limited to, acceptance of a favor, pay, gift, payment or expenses, or any other thing of monetary value under circumstances in which acceptance may result in or create the appearance of conflicts of interest.



- B. It shall be the policy of Watauga County that no employee may use their position, or the knowledge gained therein, in such a manner that a conflict between Watauga County's interests and their personal interests should arise. Both the fact and the appearance of the conflicting interests are to be avoided.
1. An employee is prohibited from having a direct or indirect formal interest that conflicts substantially with their Government duties and responsibilities; or, from joining in, directly or indirectly, a formal transaction as a result of, or primarily relying upon, information obtained through their Government employment.
  2. Employees shall refrain from transmitting any knowledge of County considerations or decision, or any other information, which might be prejudicial to the interest of the County, to any person other than in connection with the discharge of their official responsibilities.
- C. Adherence to this policy is a condition of employment.

#### **SECTION 4. POLITICAL ACTIVITY RESTRICTIONS**

Any employee may join or affiliate with civic organizations of a partisan or political nature, may attend political meetings, may advocate and support the principles of civic or political organizations in accordance with the Constitution and laws of the United States and the Constitution and laws of the State of North Carolina. However, no employee shall:

- A. Engage in any political or partisan activity while on duty (including campaign buttons or attire that communicates such).
- B. Use official authority or influence for the purpose of interfering with or affecting the result of an election or a nomination for office.
- C. Be required as a duty of the office or employment or as a condition for employment, promotion, or tenure of office to contribute funds for political or partisan purposes.
- D. Coerce, solicit, or compel contributions for political or partisan purposes by any other employee of the County.
- E. Use any funds, supplies or equipment of the County for political or partisan purposes.
- F. Use County Seal, uniforms, vehicles, signs, emblems, or insignias in any political or commercial way or manner.
- G. Be a candidate for nomination or election to the office of Watauga County Commissioner.

Employees subject to the State Personnel Act and employees in certain federally aided programs are subject to the Hatch Act as amended in 1975. This Federal act, in addition to prohibiting B, C, and D above, also prohibits candidacy for elective office in a partisan election. Prior to filing, any employee of Watauga County who wishes to run for political office shall obtain permission in writing from the Office of Special Counsel (1.800.854.2824) and forward this information to the County Manager.

## **SECTION 5. OUTSIDE EMPLOYMENT**

The work of the County shall take precedence over other occupational interests of employees. All outside employment for salaries, wages or commission and all self-employment must be reported to the employee's supervisor and the County Manager or his/her designee, who will review such employment to determine the County's liability. Outside employment shall not be so demanding in time and energy that the employee is unable to maintain an acceptable level of performance in the County's service. Documentation of the approval of outside employment will be maintained in the employee's personnel file.

## **SECTION 6. LIMITATION ON EMPLOYMENT OF RELATIVES**

- A. No two members of an immediate family shall be employed within the same department if such employment will result in one supervising a member of his or her immediate family, or where one member occupies a position that has influence over the other's employment, promotion, salary administration, and other related personnel considerations.
- B. The term "immediate family" means an employee's wife, husband, mother, father, guardian, son, daughter, brother, sister, grandchild and grandparent, as well as the various combinations of half, step, in-law, and adopted relationships that can be derived from those family members named herein.
- C. The provisions of this section shall not be retroactive, and no action will be taken concerning those members of the same family employed in conflict with Subsection A, above, prior to the adoption of this policy.
- D. The Board of County Commissioners shall approve the appointment by the Sheriff or the Register of Deeds of a relative by blood or marriage of nearer kinship than first cousin.

## **SECTION 7. TRAVEL POLICY**

- A. Purpose – It is the intent of this policy to provide County departments and agencies a comprehensive reference for uniform interpretation of payment or reimbursement of necessary expenses for official County travel.
- B. Employee Responsibility – An employee traveling on official County business is expected to exercise the same care in incurring expenses that a prudent person would exercise if traveling on personal business and expending personal funds.

Excess costs, circuitous routes, luxury accommodations, and services unnecessary or unjustified in the performance of official County business are not acceptable under this standard. Employees will be responsible for unauthorized costs and any additional expenses incurred for personal preference or convenience.

Willful violations of this policy will result in disciplinary actions per the County Personnel Ordinance.

C. Definitions –

1. Authorizing Party – Department Heads are authorized by this policy to approve or deny requests for travel, travel advances, travel reimbursements for their staff. The County Manager or his/her designee shall authorize the requests by Department Heads.
2. Employee's Duty Station –The job location at which the employee spends the majority of his or her working hours. For an employee in travel status, the duty station shall be the point where work begins the majority of the time (home or office).
3. Requesting Party – The person who will be advanced funds and/or reimbursed for travel costs incurred while conducting County business.
4. Mileage Reimbursement – If a County vehicle is not available for authorized travel and an employee is required to use their personal vehicle for the travel, reimbursement for mileage shall be the rate/mile established by the Board of Commissioners.
5. Subsistence (Meal & Lodging) – Adequate lodging and meals during travel period. Meals paid for based on a per diem allowances. The Board of Commissioners establishes meal per diem amounts. Payment of or reimbursement for lodging and meals is only applicable for overnight travel and does not apply to day trips (travel beginning and ending within the same day).
6. Transportation – Transportation expenses include taxi, bus, train, airplane, motor pool charges, auto rentals, tolls, and parking fees. All transportation expenses must be incurred by and for employees, board members or other eligible travelers while conducting official County business. Employees are expected to observe and follow all applicable transportation related laws and regulations. Moving violations and parking tickets received during work related travel are the responsibility of the employee in violation and will not be paid for by Watauga County.
7. Travel – Travel is defined as going from normal job location to a non-County location to conduct County business. This does not include daily to and from work miles.
8. Registration Fees – Cost for participation in conferences and or training programs associated with County business. Registration fees should be charged to the “Employee Training” expenditure line. Payment of registration fees requires documentation of expenses.
9. Reimbursements – A Travel Expense Report form shall be submitted for approval and/or payment within ten working days after the travel period ends. “Travel Period” is defined as the day(s), weeks, etc., during which the travel occurred. ~~Reimbursement requests submitted by the 10<sup>th</sup> of the month will be paid on the 25<sup>th</sup> of the month. Reimbursement requests submitted by the 25<sup>th</sup> of the month will be paid on the 10<sup>th</sup> of the next month. Failure to submit adequate documentation may delay payment.~~ Reimbursement requests submitted by 5:00 p.m. on Friday will be paid on the following Friday, or with the next regularly scheduled accounts payable batch processed. Failure to submit adequate documentation may delay payment.

D. Travel Authorization – Attendance at conventions and conferences that are not training oriented will be limited to two requesting parties in addition to any requesting parties involved in the convention or conference program.

Requests for travel shall be submitted on a Travel Authorization Form and will be considered based upon need and the cost/benefit of the travel as determined by the authorizing party. The travel

authorization form shall be submitted to the authorizing party for their consideration with sufficient documentation to determine the dates and time of travel, the estimated cost, etc. The authorizing party should arrange transportation by County vehicles when possible.

The requesting party is encouraged to use their County purchasing card for lodging and registration fees. When a purchasing card cannot be used for these expenses a travel advance for lodging and registration should be requested. A County purchasing card cannot be used for meal per diems, so a travel advance should be requested for meals and mileage expenses. The requesting party shall travel with other employees and officials of the County and representatives of other government units when possible.

If the requesting party does not arrange for a travel advance or pay in advance with a purchasing card, the requesting party will pay all travel costs. The requesting party will be reimbursed for actual costs incurred, subject to the limitations established in this policy. Reimbursement will be made to requesting party according to the **Reimbursement** policy as stated in **Definitions and General Guidelines**. Airline tickets and registrations may occasionally be paid directly by the County or billed by invoice later.

~~County Purchasing Credit Cards are encouraged to be used for lodging and reservations only.~~

- E. In State Travel – For all in-state travel, the Department Head is responsible for determining if sufficient funds are available in the department’s travel line item to cover all expected travel costs. A travel authorization form will be submitted by the requesting party to the Department Head for authorization of travel at least ten working days prior to the date upon which travel will begin. If a Department Head is the requesting party, the travel authorization form will be submitted to the County Manager or his/her designee.
- F. Out of State Travel – Out of state travel status begins when the employee leaves the state and remains in effect until the employee returns to the State. Out of state travel requests for employees and Department Heads will be reviewed by the County Manager or his/her designee and must be approved by the Board.

The completed travel authorization form shall be submitted to the authorizing party with sufficient documentation (agenda, registration form, etc.). The authorizing authority will forward all information pertaining to the requested travel to the County Manager to be scheduled for consideration by the Board of Commissioners.

- G. Travel Advances – The requesting party ~~is encouraged to~~ may request a travel advance for lodging, meal per diem, registration, etc. (Funds will not be advanced for personal vehicle mileage). If the requesting party does not arrange for a travel advance, reimbursement will be made to the requesting party according to the **Reimbursement** policy as stated in **Definitions and General Guidelines**.

The Finance Office will receive a copy of all approved travel advance requests. The Finance Office is responsible for determining if sufficient funds are available in the appropriate expenditure line to cover the estimated cost of the approved travel requests. The authorizing party shall receive a copy of the travel advance confirming sufficient funds from the Finance Office. Approved travel advance and voucher must be submitted to finance by 5:00 p.m. on Friday to be paid on the following Friday, or with the next regularly scheduled accounts payable batch processed. ~~the 10<sup>th</sup> of each month to receive payment by the 25<sup>th</sup> of the same month, or by the 25<sup>th</sup> of the month to receive payment by the 10<sup>th</sup> of the following month.~~

Travel advance requests will be submitted to the authorizing party no later than ten working days prior to the date travel will begin. Travel advance requests must include documentation (conference or training agenda with dates and time and indicating any meals or lodging included in registration fees) to support estimated travel cost. Travel advances will not be issued if an individual has not completed a travel expense report for a previous trip.

#### H. Transportation – **Specific Guidelines Relating to Transportation Costs**

Reimbursement for transportation will be based on the most economical mode of travel.

1. Unit Vehicles – The authorizing party should arrange transportation in a County vehicle when possible. Use of a county vehicle must be approved by the County Manager or his/her designee or the appropriate Department Head no later than ten working days prior to the date of authorized travel. An authorizing official may approve on a shorter notice if the situation dictates. County vehicles shall be used in conducting County business only. A minimal amount of personal use, such as driving the vehicle to and from dinner, may be allowed for out of County travel. The requesting party must obey all laws of the jurisdiction in which the vehicle is being operated. Non-county employees may accompany County employees in a County vehicle if they have a business interest in the travel.
2. Personal Vehicle – An employee will be reimbursed for the use of a personal vehicle based on the rate per mile established by the Board of Commissioners. Requests for mileage reimbursement shall include vehicle odometer readings **or accurate Map Quest driving directions including mileage from duty station to destination. If a personal vehicle is used for travel related to official County business, when a County vehicle is available, the requesting party will only be eligible for one-half of the qualified mileage reimbursement.**
3. Actual Mileage – Reimbursable mileage shall include odometer readings and is measured from the duty station to the destination (and return). An employee may leave from his or her home if reporting to duty station would create extra miles. **Map Quest driving directions including mileage from duty station to destination are also acceptable to be used in the place of odometer readings.**
4. Parking Fees & Tolls– are reimbursable. Receipts are required.
5. Rental Vehicle – A receipt is necessary for reimbursement. Rental vehicles are to be used as a last resort. **Use of a rental vehicle must be approved in advance.**
6. Taxis - other than trips to and from the airport – The actual cost of taxi fares is reimbursable when required for travel on official County business. Receipts are required for reimbursement.
7. Common Carrier – Actual coach fare on a plane, train, or bus- (substantiated by receipt) will be reimbursed. First class travel should be used only in extraordinary circumstances. Penalties and charges resulting from the cancellation of airline reservations (or other travel reservations) shall be the County's obligation if the employee's travel has been approved in advance and the cancellation or change is made at the directions of and for the convenience of the County. If the cancellation is made for the personal benefit of the employee, it shall be the employee's obligation to pay the penalties and charges, except, in the event of accidents, serious illness or death within the employee's immediate family or other critical circumstances beyond the control of the employee.

- 8. Travel to/from airport: at employee’s destination – Reimbursement for travel to and from the airline terminal at the employee’s or board member’s destination may be made as listed below:
  - a. Airport limousine – one round trip limousine fare;
  - b. Bus – one round trip bus fare;
  - c. Taxi – when limousine service is not available, actual fare to and from airline terminal;
  - d. Rental vehicles – may be used as a last resort (receipt required).

Receipts are required.

- I. Subsistence – The following schedule shall be used to determine eligibility for per diem subsistence expenses incurred while traveling on official County business. No receipts are required for per diem allowances. The meal per diem amounts are established by the Board of Commissioners for meal expenses incurred while traveling on County business and based on the State meal per diem rates effective July 1<sup>st</sup> of the current year, coinciding with start of each fiscal year, and will not change again until the approval of the budget for the following fiscal year. Meals included in registration or conference fees will reduce the per diem allowance accordingly.
- J. Reimbursement – Each employee is responsible for his or her own request for reimbursement. Each meal reimbursement amount must be listed on the reimbursement request. Date and time of departure and arrival must be listed on the travel expense report. Meal per diem allowances will be determined based on beginning and ending time of training, and adequate travel time to and from training site.

Breakfast	Depart prior to 7:00 a.m.
Lunch	Depart prior to 11:00 a.m. (day of departure). Return after 2:00 p.m. (day of return).
Dinner	Return after 7:00 p.m.

- K. Reimbursement for Meals – Required Employee Attendance – A County employee may be reimbursed for meals when the job requires his/her attendance at the meeting of a board, commission, committee or council in his/her official capacity and the meal is preplanned as part of the meeting for the entire board, commission, committee, or council.

A County employee may be reimbursed for meals when the meal is included as an integral part of a meeting. Such meetings should involve the active participation of persons other than the employees of the County; the employee’s attendance is required for the performance of his/her duties, but must not be part of the employee’s normal day-to-day business activities; the meeting must be planned with a formal agenda; and the meeting must provide written notice or an invitation to participants. An employee attending a meeting in the County shall not be reimbursed for a meal if a meal is not included.

Employees claiming reimbursement under this provision are limited to the per diem meal allowances unless attendance is required for County officials and employees. Upon approval of the County Manager the actual cost may be reimbursable.

- L. Lodging –
  - 1. Authorization – Written approval by the Department Head or appropriate authorizing official must be obtained in order to qualify for reimbursement for overnight stays. Supervisory

personnel certifying the reimbursement request as necessary must require documentation from the traveler to substantiate that the overnight lodging was necessary. Single room rates will be reimbursed. County employees are expected to check rates in the area of their travel and make arrangements for the most economical and safe lodging available.

2. Reimbursement – Each employee is responsible for his or her own request for reimbursement. Requests for reimbursement must be filed within 10 working days after the travel period ends for which the reimbursement is being requested. Specific dates of lodging must be listed on the reimbursement request, and substantiated by a detailed receipt. Other entertainment expenses, such as the cost of movies, that are included with the bill for lodging will not be reimbursed.

M. ~~Other Costs – Telephone Calls~~

- ~~1. Local Calls – Overnight Stays – No local calls will be reimbursed unless they are business associated. Business numbers called must be identified on reimbursement or lodging receipt.~~
- ~~2. Long Distance – Employees are allowed to charge one long distance safe arrival phone call (up to \$5) to the County per trip.~~
- ~~3. Reimbursement – Official phone calls are reimbursable under “other expenses.”~~
- ~~4. Collect calls – Collect calls should be avoided if at all possible.~~

- N. Travel Reimbursement from Other Agencies – Some training and/or travel expenses may be reimbursed by other agencies. If the County has advanced or paid any costs associated with this training and/or travel any reimbursement from other agencies must be made payable to Watauga County and forwarded to the Finance Office for deposit to the appropriate travel line item.

Any reimbursements received from an outside agency shall not duplicate any Watauga County travel reimbursement or advance. If duplicate reimbursement occurs then duplicate payment must be made to the Watauga County Finance Department and documented on the travel advance form.

O. Authorization for Reimbursement Procedures –

1. Submitting Travel Expense Reports – Requesting party will complete a reimbursement form, attach required receipts for expenses and submit it to the authorizing party no later than 10 working days after returning from travel. Advances will be deducted from reimbursable costs. Excess travel advances will be repaid to the County no later than ten working days after the completion of the approved travel.

Requesting party submitting a falsified travel expense report may be subject to disciplinary action and criminal prosecution. Violations of the County travel policy may result in disciplinary action per County Personnel Ordinance and/or criminal prosecution.

2. Approval and Processing of Reimbursement Requests – Travel expense reports shall be submitted to the authorizing party for approval. After approval by the authorizing party, the form should be forwarded to the Finance Office. All applicable documentation must be submitted to finance by 5:00 p.m. on Friday to be paid on the following Friday, or with the next regularly scheduled accounts payable batch processed. ~~by the 10<sup>th</sup> of each month to receive payment by the 25<sup>th</sup> of the same month, or by the 25<sup>th</sup> of the month to receive payment by the 10<sup>th</sup> of the following month.~~

Finance Office staff will determine that the reimbursement form has been properly approved, that it is mathematically correct, and that requested reimbursements agree to submitted receipts and are within the limits set by this policy. If an error in the reimbursement request is found, the requesting party will be informed and the error will be corrected before payment is made.

The Finance Office will determine that sufficient funds are available in the travel expense budget line item to pay the request. The Finance Officer will promptly inform the authorizing party and requesting party if payment cannot be made.

## **SECTION 8. EMPLOYEE HEALTH AND SAFETY**

Watauga County Government considers the safety of its employees a major responsibility. Constant endeavor shall be made to provide safe work habits and conditions for all employees. It is recognized that accident control can only be successful when adequate support comes from top management, adequate coordination and advice comes from staff members, supervisors accept safety responsibilities and when employees participate in the elimination of unnecessary safety hazards. The formulation of a functioning, pro-active safety program is thus necessary to implement this philosophy.

Watauga County government will therefore place safe operations above operational expediency and will comply with the Occupational Safety and Health Act (OSHA) and other applicable state laws.

The keys to our safety program are:

- A. The designation of a Safety ~~Officer~~ ~~director~~ whose duties shall include:
  1. Chair Safety ~~Committee~~ meetings as required.
  2. Review monthly inspection reports of County buildings and work areas for safety hazards. (Inspection done by County Maintenance Department).
  3. Schedule and coordinate necessary employee safety training **in collaboration with Human Resources.**
  4. Assist Department Heads in maintaining and updating safety operational procedures manuals.
  5. Establish an employee safety input and suggestion system to allow all employees access to the safety program.
  6. Attend necessary training to keep abreast of current safety related laws, safety trends and methods, and loss control techniques.
  7. Maintain communication with the County liability insurance carrier(s) to **ensure** that compliance with their standards is adequate **in coordination with the Finance Office staff responsible for processing property and liability insurance claims.**
- B. Department Heads
  1. The Department Heads shall be responsible for carrying out the safety policies in his or her department, making safety an important priority in establishing department goals.



2. Maintain and periodically upgrade their department standard operational procedures manual to insure a safe workplace and update safety policies.
3. ~~Ensure~~ ~~insure~~ that all employees are aware of proper safety procedures and trained in the use of safety equipment associated with a particular task.
4. Instruct new employees in policies for accident prevention and make them aware of and provide access to the Standard Operating Procedures manual for their department.
5. Provide safety equipment and protection for tasks requiring such equipment. Ensure employees are aware of the requirement to utilize such equipment (i.e. gloves, masks, hard hats, safety glasses, safety vests, hearing protection, etc.)
6. Ensure that all injuries and accidents are reported promptly, investigated, and treatment provided when necessary. All accidents and injuries should be reported to the immediate supervisor or Department Head promptly, and information forwarded to Human Resources for proper processing. ~~reported promptly. (Personal~~ (Employee accidents and injuries should be reported on the Workers Compensation Information form and shall be submitted to the Safety Officer ~~Director~~ and Human Resources. Equipment and property damage incidents shall be ~~distributed~~ submitted to the Safety Officer ~~Director~~ and to the County Finance Department.) Incident Reports and Workers Compensation Information forms will be made available to all County Departments through Human Resources or by the Safety Officer.

C. Employee (s)

1. The county employees are expected to place safe work practices and identification of unsafe conditions as the highest priority while performing daily tasks.
2. Use the safety equipment needed for daily work assignments.
3. Do not operate equipment for which training or orientation has not been received.
4. Warn co-workers of unsafe conditions or practices.
5. Report defective equipment or unsafe conditions to a supervisor or Department Head.
6. Report all injuries and accidents regardless of severity.

It is the responsibility of supervisors to enforce safety rules among employees. Supervisory personnel are responsible for instructing employees about any hazards of their assigned job. This includes health risks associated with chemicals that he/she may be exposed to in the workplace.

At all times, employees will be responsible for safe practices while performing all assigned job duties.

## **SECTION 9. HARASSMENT IN THE WORKPLACE**

- A. Purpose – The purpose of this policy is to establish that Watauga County prohibits workplace harassment of County employees and ensures that County Departments are free from workplace harassment. This policy also prohibits retaliation against employees.

- B. Policy – The policy of Watauga County is that no County employee may engage in conduct that falls under the definition of workplace harassment as indicated below. All employees are guaranteed the right to work in an environment free from workplace harassment and retaliation.
- C. Definitions
1. Workplace Harassment is unwelcome or unsolicited speech or conduct based upon age, race, sex (including sexual harassment), religious belief, political affiliation, national origin, or disabling condition that creates a hostile work environment or circumstances involving quid pro quo.
  2. Hostile Work Environment is one that both a reasonable person would find hostile or abusive and one that the particular person who is the object of the harassment perceives to be hostile or abusive. Hostile work environment is determined by looking at all of the circumstances, including the frequency of the alleged harassing conduct, its severity, whether it is physically threatening or humiliating, and whether it unreasonably interferes with an employee’s well-being or work performance.
  3. Quid Pro Quo harassment consists of unwelcome sexual advances, requests for sexual favors, or other verbal or physical conduct when (1) submission to such conduct is made either explicitly or implicitly a term or condition of an individual’s employment, or (2) submission to or rejection of such conduct by an individual is used as the basis for employment decisions affecting such individual.
  4. Retaliation is adverse treatment, which occurs because of opposition to workplace harassment.
- D. Confidentiality – Considering the sensitive nature of harassment complaints, every effort will be made to keep the complaint and the name of the employee confidential and on a need-to-know basis. However, due to the nature of conducting an investigation of a complaint, confidentiality cannot be guaranteed. Reports, including the final report, will be distributed only to persons having a need or right to know. The reports will be maintained in a confidential file.
- E. Reporting Procedures – An employee who believes he or she may have a complaint of workplace harassment may pursue any of the following actions:
1. The employee should tell the person who is offending him/her that the behavior is offensive and should stop. (Because offensive behavior often is not intended as harassment, letting the individual know the behavior is offensive and instructing him/her to stop often resolves the problem.)
  2. The employee should notify the Department Head of the situation. The Department Head will conduct an investigation into the allegation of workplace harassment and advise the employee and appropriate management officials of the outcome of the investigation. If the allegation is against the Department Head the complaint should be filed with the **Deputy** County Manager or **his/her designee**, who will conduct the investigation.
  3. If the allegation of harassment is against the County Manager or an elected official, the complaint should be filed with the County Attorney, who shall personally conduct a confidential investigation into the allegation, or designate a representative to conduct the investigation and advise the employee and Board of County Commissioners on the outcome of the investigation.

The report into an allegation of workplace harassment shall include:

- The specific conduct or complaint
- Who committed the alleged conduct
- When and where the conduct occurred
- Names of witnesses
- Number of alleged occurrences
- Any prior requests to cease conduct

- F. Investigation of Alleged Workplace Harassment – If a confidential investigation is undertaken it shall include, but not necessarily be limited to, interviews with individuals who are believed to have knowledge of the matter including the alleged victim and the alleged harasser.

Employees named in reports and/or complaints will be given sufficient information about the allegation to provide them a reasonable opportunity to respond.

Employees shall cooperate fully in any investigation or may be subject to disciplinary **action** for failure to cooperate.

Upon receipt of a complaint, the County will take all appropriate steps to prevent the alleged conduct from continuing, pending completion of the investigation.

Upon completion of the investigation, the investigating party will issue a report of the investigation and findings, which will include the facts ascertained in the investigation.

- G. Making False Accusations – Equally serious are false accusations of workplace harassment. Unwarranted accusations are demoralizing and slanderous. Such accusations can expose the accuser and Watauga County to litigation and damages. Furthermore, false accusations undermine the purpose of this policy. False accusations will result in disciplinary actions up to and including dismissal.
- H. Disciplinary Action – Disciplinary actions may take any of the forms listed in Article VIII, Section 8, of the Watauga County Personnel Ordinance, from a written warning to dismissal.

## SECTION 10. VIOLENCE IN THE WORKPLACE

- A. Purpose –Watauga County is committed to providing a safe work environment. For this reason, the County has adopted a zero-tolerance policy for workplace violence. Consistent with this policy, acts or threats of physical violence, including intimidation, harassment, and/or coercion, which involve or affect the County of Watauga or which occur on County property will not be tolerated. Violations of this policy will result in disciplinary action up to and including dismissal and may result in criminal charges.
- B. Definitions
1. Workplace Violence includes, but is not limited to, intimidation, threats, physical attack, domestic violence, or property damage and includes acts of violence committed by County employees, clients, customers, relatives, acquaintances or strangers against County employees in the workplace.
  2. Intimidation is engaging in actions that includes but is not limited to stalking or behavior intended to frighten, coerce, or induce duress.

3. Threat is the expression of intent to cause physical or mental harm. An expression constitutes a threat without regard to whether the party communicating the threat has the present ability to carry it out and without regard to whether the expression is contingent, conditional or future.
  4. Physical Attack is unwanted or hostile physical contact such as hitting, fighting, pushing, shoving or throwing objects.
  5. Domestic Violence is the use of abusive or violent behavior, including threats and intimidation, between people who have an ongoing or prior intimate relationship. This could include people who are married, live together or date or who have been married, lived together or dated.
  6. Property Damage is intentional damage to property and includes property owned by the County, employees, visitors or vendors.
- C. Application – Watauga County’s zero tolerance policy for workplace violence applies to all persons involved in the County’s operation. Violations of this policy by any individual on County property, or by any individual action against a County employee when the actions affect the public interest or the County’s business interests will be followed by legal action. Violation by an employee of any provision of this policy will lead to disciplinary action up to and including dismissal.
- D. Prohibited Actions – Specific examples of conduct that may be considered “threats or acts of violence” prohibited under this policy include, but are not limited to, the following:
1. Hitting or shoving an individual.
  2. Threatening to harm an individual or their family, friends, associates, or their property.
  3. The intentional destruction or threat of destruction of property owned, operated or controlled by Watauga County.
  4. Making harassing or threatening statements by telephone, letter or other forms of written or electronic communication.
  5. Intimidating or attempting to coerce an employee to do wrongful acts that would affect the business interests of the County.
  6. Harassing surveillance, also known as “stalking”, the willful, malicious and repeated following of another person and making a credible threat with intent to place the other person in reasonable fear of his or her safety.
  7. Unauthorized possession or inappropriate use of firearms, weapons, or any other dangerous devices on County property. Employees who are required to possess a weapon as a part of their job duties are exempt from this provision; however, they may not use their weapons in violation of this policy. **Employees who possess concealed carry permits are disallowed from bringing guns into facilities where they are prohibited, such as the Watauga County Courthouse.**
- E. Retaliation – This policy prohibits retaliation against any employee who, in good faith, reports a violation of this policy. Every effort will be made to protect the safety and anonymity of anyone who comes forward with concerns about a threat or act of violence.

- F. Employee Responsibility – Each employee of the County and every person on County property are encouraged to report incidents of threats or acts of physical violence of which they are aware. All reports of violence will be handled in a confidential manner, with information released only on a need-to-know basis. In cases where the reporting individual is not a County employee, the report shall be made to the Sheriff's Office. In cases where the individual is a County employee, the report shall be made to the reporting individual's immediate supervisor, a management level supervisory employee if the immediate supervisor is not available, or to the **Human Resources Director Personnel Officer**. Each supervising employee shall promptly refer any such incident to the appropriate management level supervisor, who shall take corrective action in accordance with the County's Personnel Ordinance.
- G. Discipline – A violation of this policy shall be considered unacceptable personal conduct as provided in the Watauga County Personnel Ordinance, Article VIII: Separation, Disciplinary Action and Reinstatement. Acts of violence, as defined herein, will be grounds for disciplinary action, up to and including dismissal. In an effort to comply with this policy, the County reserves the right to search County vehicles, County workspaces and other County property.

#### **SECTION 11. ALCOHOL AND CONTROLLED SUBSTANCE ABUSE-USE POLICY**

- A. Purpose – In order to provide the best level of service to our citizens and to provide a safer workplace, Watauga County employees must refrain from the abuse of alcohol, verified controlled substances, prescription and certain over-the-counter medications. Additionally, the Department of Transportation has enacted alcohol and drug testing requirements for all employees who hold a Commercial Driver's License (CDL) and drive a commercial vehicle for the County, called County CDL Drivers. This procedure will explain the process for assuring compliance with these regulations, and the procedures for maintaining a safe work environment free of alcohol and drugs.
- B. Policy – All County employees are absolutely prohibited from unlawfully manufacturing, distributing, dispensing, possessing or using controlled substances in a County of Watauga workplace. This policy includes alcohol, controlled substances and prescription medications except for those prescribed medications taken within limits and duration as prescribed by a qualified physician.
- C. Coverage – All County employees, including interns and volunteers, with the exception of personnel of the Watauga County Sheriff's Office, are subject to this policy. Employees of the Watauga County Sheriff's Office shall be covered by the policies and procedures established by the North Carolina Sheriff's Education and Training Standards Commission and the Watauga County Sheriff.

As the Sheriff and the Register of Deeds are elected officials, those individual Department Heads will have discretionary authority on disciplinary actions within their respective departments. The Director of Social Services shall also have discretionary authority on disciplinary actions within the Social Services Department as granted by North Carolina General Statutes. In addition, for those County employees that fall under the North Carolina State Personnel Act as competitive service employees, failing a controlled substance test or refusing a controlled substance test will be considered as personal misconduct within the meaning of the State Personnel Act and may subject the employee to disciplinary procedures outlined within the State Personnel Act.

- D. Definitions
1. Accident – Incident involving a motor vehicle that results in a fatality; bodily injury to self or others resulting in medical treatment from a healthcare professional, or damage to a motor

- vehicle. An employee involved in any accident shall notify their supervisor immediately or as soon as reasonably possible.
2. Alcohol Test – An assessment for the presence of alcohol in the body as determined through the use of a breath alcohol test, evidential breathalyzer test, or blood screening.
  3. Commercial Motor Vehicle – A motor vehicle or combination of motor vehicles used in commerce to transport passengers or property if the motor vehicle:
    - a. has a gross combination of weight rating of 26,001 or more pounds inclusive of a towed unit with a gross vehicle weight rating of more than 10,000 pounds; or
    - b. has a gross vehicle weight rating of 26,001 or more pounds; or
    - c. is designed to transport 16 or more passengers, including the driver; or,
    - d. is of any size and is used in the transportation of materials found to be hazardous for the purposes of the Hazardous Material Transportation Act and requires the motor vehicle to be placarded under the Hazardous Materials Regulations.
  4. Confirmation Test – For alcohol, a confirmation test means a second test, following a screening test with the result of 0.02 or greater that provides quantitative data of alcohol concentration. Confirmation test for controlled substances means a second analytical procedure to identify the presence of a specific controlled substance or metabolite that is independent of the screen test and that uses a different technique and chemical principle from that of the screen test in order to ensure reliability and accuracy. Gas chromatography/mass spectrometry (GC/MS) is the only authorized confirmation method for the five SAMHSA drugs.
  5. Conviction – A finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of federal or state criminal drug statutes.
  6. Controlled Substance – A substance as listed in Schedules I through V of Section 202 of the Controlled Substances Act (21 USC 812) or Chapter 90, Section 87(5) of the North Carolina General Statutes or a metabolite thereof.
  7. Controlled Substance Test or Screening – An assessment, including providing the necessary sample of body fluid by the employee to be tested, for the presence of any of the following controlled substance or drug metabolites in the urine or blood of an employee:
    - a. Amphetamines
    - b. Barbiturates
    - c. Benzodiazepines
    - d. Cannabinoids
    - e. Cocaine
    - f. Methaqualone
    - g. Opiates
    - h. Phencyclidine
    - i. Propoxyphene
    - j. other drugs that may be determined to reduce work safety or work efficiency.
  8. Employee Assistance Program – A program of counseling services for participants including assessment, evaluation, referral for treatment, and follow-up procedures. Watauga County pays for 6 visits per calendar year.

9. Injury – Incident in which an employee is involved in an accident involving injury to self or others, which meets the criteria listed below. An employee involved in any accident shall notify their supervisor immediately or as soon as reasonably possible.
  - a. The employee sustained a job-related injury that was serious enough to require medical attention by a health care professional and it is apparent that the employee was at fault or contributed to the accident.
  - b. Or, the employee caused or contributed to a job-related injury of another individual, whether fellow employee or citizen, which required medical attention by a health care professional. This could result from a vehicle or other type of accident.
10. Job Applicant – Any person who applies to become a part-time or full-time employee in a position requiring a commercial driver’s license, ~~maintenance, of a commercial vehicle, or the supervising of employees~~ who operate commercial vehicles for Watauga County.
11. Medical Review Officer – A ~~North Carolina~~-licensed physician with specific training in the area of substance abuse. The medical review officer not only has knowledge of substance-abuse disorder, but also has been trained to interpret and evaluate laboratory test results in conjunction with an employee’s medical history. The medical review officer verifies a positive controlled substance test result by reviewing a laboratory report and an employee’s unique medical history to determine whether the result was caused by the use of a prohibited controlled substance or by an employee’s medical condition.
12. Negative Controlled Substance Test – means a test result that does not show the presence of drugs at a level specified to be a positive test.
13. Negative Alcohol Test – means a test that indicates a breath alcohol concentration of less than 0.02.
14. On Call or Standby Duty – When an employee is subject to receiving a call to report immediately to work for the County.
15. On Duty – When an employee is at the workplace, in a County vehicle or otherwise performing duties associated with his or her position with the County.
16. Other Substance – Any substance that has the potential to appreciably impair the mental or physical function of a person.
17. Pass a Controlled Substance Test – The result of the controlled substance test is negative. The test either:
  - a. showed no evidence or insufficient evidence of a prohibited controlled substance or drug metabolite;
  - b. showed evidence of a prohibited controlled substance or drug metabolite, but there was a legitimate medical explanation for the result as determined by a medical review officer.
18. Pass an alcohol test – A negative alcohol test less than .02. The test showed no evidence or insufficient evidence of a prohibited level of alcohol.
19. Positive Controlled Substance Test –A laboratory finding of the presence of a controlled substance or a drug metabolite in the urine or blood of an employee at the levels identified by the Substance Abuse and Mental Health Services Administration (SAMHSA).

20. Positive Alcohol Test – A breathalyzer or blood test which confirms the presence of alcohol at a level of 0.02 or greater.
21. Prescription Controlled Substances – Any employee with prior knowledge that the use of a prescribed medication under the doctor’s direction or over-the-counter medication that could alter the employee’s ability to perform the duties and responsibilities of the position must notify their supervisor. The employee is responsible for ascertaining from a health care professional the effects of any prescribed controlled substance being taken. Failure to obtain such information may result in disciplinary actions.
22. Random Testing – Testing conducted on an employee assigned to a safety-sensitive position that is chosen by a method that provides an equal probability that any employee from a group of employees will be selected.
23. Reasonable Suspicion – A belief, based upon specific objective facts and rational inferences drawn from those facts that an employee has consumed or is under the influence of alcohol or illegal controlled substances. Circumstances that constitute a basis for determining reasonable suspicion may include but are not limited to any of the following:
- a. presence of the physical symptoms of controlled substance or alcohol use (for example, glassy or bloodshot eyes, alcohol odor on breath, slurred speech, poor coordination and/or reflexes)
  - b. a pattern of unusual or erratic behavior (for example, confusion, disorientation, lack of coordination, marked personality changes, irrational behavior, physical altercation, verbal altercation)
  - c. a pattern of excessive absenteeism, tardiness, or deterioration of work performance
  - d. an accident resulting in damage to property or personal injury
  - e. a pattern of unexplained accidents and/or information based on specific objective facts that an employee has caused or contributed to an accident at work while under the influence of a controlled substance or alcohol
  - f. direct observation of controlled substance or alcohol use
  - g. possession of controlled substances
  - h. verifiable information obtained from other employees based upon their observations
  - i. arrests, citations, and deferred prosecutions associated with controlled substances or alcohol.
  - j. evidence that an employee is involved in the unauthorized possession, sale, solicitation, or transfer of controlled substances or alcohol while working or while on the County’s premises or operating a County vehicle, machinery, or equipment
24. Refuse to Submit – An employee: (1) fails to provide adequate breath for testing without a valid medical explanation after he or she has received notice of the requirement for breath testing; (2) fails to provide adequate urine for controlled substances testing without a valid medical explanation after he or she has received notice of the requirement for urine testing; (3) refuses to sign the breath alcohol confirmation test certification; (4) refuses to sign the ~~Controlled Substance And Alcohol and Controlled Substance Use Policy Screening For Reasonable Suspicion Authorization And~~ Acknowledgment form; or (5) engages in conduct that clearly obstructs the testing process.
25. Safety Sensitive Position – The duties of the position create, or are accompanied by, such a great risk of injury to other persons or to property that even a momentary lapse of attention, judgment, or dexterity could have fateful consequences. Examples of these positions include:



- a. Full- or part-time positions requiring a commercial driver's license (CDL), performing maintenance on a commercial vehicle, and supervisors responsible for controlling the movement of commercial vehicles for Watauga County.
  - b. Full- or part-time positions requiring the handling of hazardous materials, the mishandling of which may place the employee, fellow employees, or the general public at risk of serious injury, or the nature of which could create a security risk in the workplace.
  - c. Other positions as determined on a case-by-case basis.
26. Supervisor – Any employee who has the authority to direct the job activities of one or more employees. With respect to a particular employee, the term means the employee's immediate supervisor, Department Head, the County Manager or his/her designee, or any other person having indirect supervisory authority over the employee.
27. Substance Abuse Professional – A licensed physician or a licensed or certified psychologist, social worker, employee assistance professional, or addiction counselor (certified by the National Association of Alcoholism and Drug Abuse Counselors Certification Commission) with knowledge of clinical experience in the diagnosis and treatment of both controlled substance- and alcohol-related disorders.
28. Workplace – The location or facility where an employee may be expected to perform any task related to the requirements of his or her job. This includes, but is not necessarily limited to, County buildings and facilities, break rooms and restrooms, outdoor worksites, County or personal vehicles (while the personal vehicle is being used for County business), computer work stations, conference rooms, hallways, private offices, open or partitioned work areas, public contact or customer service areas, and parking lots.
- E. Education – All County employees have the right to know the dangers of controlled substance abuse in the workplace, the County policy about controlled substance abuse and the assistance available to combat controlled substance abuse dangers. To assist the employees, the County offers the following rehabilitative help:
- 1. Limited medical benefits for substance abuse treatment, as defined by the County's current medical insurance carrier, and
  - 2. Employee Assistance Program- Watauga County pays for 6 visits per calendar year. Any other visits mandatory or voluntary will be the responsibility of the employee. **The County Manager may, in the exercise of his/her discretion, approve additional EAP visits for employees, at the expense of Watauga County, in exceptional circumstances related to traumatic events occurring in the regular discharge of duties as a County Employee.**
- F. Reasons for Controlled Substance or Alcohol Tests:
- 1. Mandatory Testing and Random Testing of Employees – The County uses Mandatory Testing and Random Testing only as required by law. All CDL job applicants, as defined in this policy, shall be required to undergo a controlled substance test upon an offer of employment and prior to their final appointment.

To comply with U.S. Department of Transportation requirements, random unannounced tests will be given to a predetermined percentage of County CDL Drivers. These employees are

selected in a statistically sound random selection process from a pool of all eligible County CDL Drivers.

Random alcohol tests shall be given annually to at least 25 percent of the average number of County CDL Drivers.

Random controlled substance testing shall be given to at least 50 percent of the average number of County CDL Driver positions. In the event a driver who is selected for a random test is on vacation or extended leave, the County can either select another County CDL Driver for testing or keep the original selection confidential until the driver returns. A supervisor can tell an employee to go for a random controlled substance test at any time during the workday, as long as the employee can get to the testing site in time for the test to be performed. Once an employee has been told that he/she has been selected for a random test, the employee must immediately report to the testing site. The employee cannot continue to perform a safety sensitive function once notified. The employee can return to work the same day of the random controlled substance test and resume safety sensitive duties.

2. Post-Accident -

Alcohol or controlled substance testing is mandatory for all County employees involved in an accident (motor vehicles/equipment or injury, meeting any of the requirements below) while in the performance of their job duties, even if there is no reasonable suspicion that an employee was under the influence of alcohol or a controlled substance.

An employee involved in any accident shall notify their supervisor immediately or as soon as reasonably possible. An employee involved in an accident in which he or she may be required to undergo alcohol testing, must refrain from drinking alcohol for **8** hours, or until he/she undergoes a Post-Accident test, whichever comes first.

Motor Vehicle – An alcohol or controlled substance test will be given to County employees driving a County vehicle or their own personal vehicle while in the performance of their job duties following an accident if one of the following situations happens;

- a. someone was killed, or
- b. the driver has been cited by the police for a moving violation and either the vehicle was towed or someone received medical treatment away from the scene of the accident, or
- c. it is apparent to the police officer or the supervisor that the employee driving was at fault or contributed to the accident where there is property damage, or someone received medical treatment away from the scene of the accident.

Injury to self or others – If an employee is involved in an accident involving injury to self or others, which meets the criteria listed below, the employee will be required to undergo alcohol and controlled substance testing. An employee involved in any accident shall notify their supervisor immediately or as soon as reasonably possible.

- a. The employee sustained a job-related injury that was serious enough to require medical attention by a health care professional and it is apparent that the employee was at fault or contributed to the accident.

- b. Or, the employee caused or contributed to a job-related injury of another individual, whether fellow employee or citizen, which required medical attention by a health care professional. This could result from a vehicle or other type of accident.
3. Reasonable Suspicion – The County requires testing of any employee upon observable signs sufficient to cause reasonable suspicion that he/she has violated this policy. Supervisors must document reasonable suspicion using the *Observed Behavior - Reasonable Suspicion* form (Appendix B). In accordance with 49 CFR 391.99 (d) *the Observed Behavior - Reasonable Suspicion* form must be prepared and signed by the witnesses within 24 hours of the observed behavior or before the results of the test are released, whichever is earlier. The County Manager or his/her designee shall be notified whenever possible before testing an employee for reasonable suspicion or as soon as possible thereafter.

The decision to test will be based on specific, simultaneous observations by a supervisor concerning the appearance, behavior, speech or body odors of the employee such as directly observing controlled substance or alcohol use and/or the physical signs of being under the influence of a controlled substance (slurred speech, alcohol smell on breath, incoherence, loss of coordination, etc.).

Employees tested on the basis of Reasonable Suspicion will normally be placed on non-disciplinary suspension while awaiting results.

4. Return to Duty Test – If a County employee is allowed to return to duty after having a positive controlled substance or alcohol test, or otherwise engaging in prohibited conduct related to substance abuse, then the employee shall undergo a Return to Duty alcohol or a substance abuse test as appropriate. The result of any alcohol test must be less than 0.02. The result of any controlled substance test must be negative. The employee must be evaluated by ~~the~~ **an approved** Employee Assistance ~~provider counselor~~, cooperatively participate in any program prescribed by the EAP, and be recommended by the EAP to be returned to work. The employee must sign a *Reinstatement Agreement* form (Appendix D).
5. Follow-up Test – Under Department of Transportation regulations, if a County CDL Driver is allowed to return to duty as a commercial driver, following referral, evaluation, and treatment, a minimum of 6 unannounced alcohol and/or controlled substance tests will be required during the next 12 months of employment, as well as continued follow-up testing for up to 60 months. Any employee returning to a non-CDL job assignment is subject to follow-up testing as deemed necessary by the County. Follow-up testing may continue for up to 60 months following return to duty at the County's discretion.
6. Pre-employment – Final candidates for all CDL positions must consent to and participate in controlled substance testing prior to employment. Such tests may include urine and/or breathalyzer analysis. Verified Positive Controlled Substances Test Results that cannot be substantiated by medical evidence of legitimate prescribed controlled substance use will be cause for disqualification. Alcohol testing will also be conducted for all positions which require a CDL if the Department of Transportation requires such testing. The applicant will test at a level below 0.02, or the applicant will be considered unqualified for a County CDL Driver job. Applicants will be advised of any disqualification under this policy. If an employee is initially hired without a CDL controlled substance test, then obtains a CDL learners permit or license, he or she must get a CDL controlled substance test, and must be included in the Random test pool.

7. Other: Admission, Confirmed Report, positive CDL test from other employment, recommendation of health care professional, or other deemed appropriate. – Management has the right to send the employee for alcohol and / or controlled substance testing;
- If an employee admits that he/she has used a controlled substance,
  - If there is a confirmed report of observed use of alcohol or confirmed report of observed use of any controlled substance which may violate this procedure,
  - If employed as a CDL Driver for the County and any other employer, and the employee has a positive controlled substance or alcohol test resulting from a DOT controlled substance testing program from the other employer.
  - Other reasons deemed reasonable and legal by management.

Employees tested as a result of any of the above will normally be placed on non-disciplinary suspension while awaiting results.

No employee shall report to the work place impaired by drugs prescribed by a physician or over-the-counter medications. It is the employee's responsibility to inquire from a health care professional the effects of the particular medication being taken. Failure to notify supervisor and/or testing facility of the use of a prescription-controlled substance will be treated as a positive test result.

#### G. Procedures for Alcohol and Controlled Substance Testing

- Failure to Conduct Testing – An alcohol or controlled substance test is required for Post-Accident or Reasonable Suspicion. Supervisors are responsible to make all reasonable efforts to assure that the employee undergoes Post-Accident/Injury or Reasonable Suspicion testing. **If not administered within 2 hours of the incident, the supervisor will prepare and maintain on file a record stating the reasons the test was not administered. Supervisors should continue to attempt to get the employee tested. If the alcohol test is not administered within 8 hours, or the controlled substance test is not performed within 32 hours, the County will cease to administer the test, and the supervisor will explain why the test was not administered and send documentation to the Human Resources Personnel-Department. If the supervisor fails to give ample justification as to why the test was not administered, the supervisor will face disciplinary actions.**
- Escorting Employees to Testing Site – Employees selected for random controlled substance testing for CDLs will be notified by the County's testing agency, and will not be escorted by a County employee. In the case of Reasonable Suspicion testing, or if the supervisor believes that the employee is impaired and the employee is scheduled for testing for another reason, the supervisor should arrange for escort and transportation of the employee to the testing facility. A supervisor who suspects that an employee is impaired, will make arrangements to get the employee safely from the testing site back to the work site, home, or to another appropriate location. Do not allow the employee to drive themselves to the test or leave the employee at the testing site.
- Alcohol Testing Procedures –In most cases **only** an **alcohol saliva test** or breath sample will be performed. However, if the situation warrants it, a blood sample might be required. If an employee refuses to undergo the alcohol test, the employee will be subject to disciplinary action up to and including dismissal.

Alcohol testing will be performed in an area, which gives privacy to the employee. A Breath Alcohol Technician (BAT) **will perform the test, or other qualified medical professional will conduct the alcohol saliva test** following procedures accepted under the Department of Transportation.

If the result is less than 0.02, no further testing is authorized, and the results will be sent in a confidential manner to the County and stored by the County in a confidential manner. If a result is 0.02 or greater, a confirmation test must be performed to verify the initial test. If the results differ, the confirmation test results will be considered the valid test. Both the employee and the technician will sign and date the test results.

If the employee does not provide an adequate amount of breath, the County will direct the employee to seek a medical evaluation at the employee's expense as soon as possible from a licensed physician who is acceptable to the County. The physician will state in writing if there is a medical condition, which prevents the employee from providing the necessary breath. If there was a medical reason, the County will reimburse the employee for his or her expenses. If the physician states there are no medical reasons, the employee will be considered to have refused the test, and will be subject to disciplinary actions up to and including dismissal.

4. Controlled Substances Testing Procedures – The County will contract with medical facilities who are certified by the Department of Health and Human Services, and who follow the procedures established by them. The County will contract with health care professionals for collecting specimens and conducting analysis. Chain of custody procedures for sample collection and testing will be used to ensure proper record keeping, handling, labeling and identification of samples. The County will only use a laboratory for analysis, which has received appropriate certification(s). Appropriate measures shall be taken to ensure confidentiality and accuracy of results. In most cases, only a urine sample will be taken. However, if the situation warrants, a blood sample may be required.

The specimen will be split into two bottles, so that the second specimen may be analyzed if a positive result is obtained from the first test. The employee will have 72 hours from being notified of a positive test result to request and pay for the analysis of the second specimen. Refusal to cooperate with the requests of the collection site person will be considered refusal of testing and will result in disciplinary action up to and including dismissal. If the collection site employee believes tampering of the specimen has occurred, the collection site will follow the procedures it has established to deal with this situation.

- a. Status Pending Test Results – Employees tested on the basis of Reasonable Suspicion or tested because of admission or a confirmed report will normally be placed on non-disciplinary suspension while awaiting results.
- b. Medical Review Officer - The laboratory will report positive test results of County CDL drivers and other employees to a Medical Review Officer (MRO). The MRO will contact the employee to give the employee the opportunity to discuss the test result. It is in the best interest of the employee, and is required by the County, to cooperate fully with the MRO by returning phone calls immediately and providing whatever information the MRO requests. If the MRO cannot reach the employee directly, a designated County official will be called and told to contact the employee, who will be ordered to contact the MRO. The MRO may deem it necessary to discuss issues with the employee's private physician or pharmacist to determine if there is a basis for the positive test result.

Under the split sample collection procedures, the County CDL Driver and other employees have 72 hours following notification of a positive result to request the secondary sample be analyzed at his or her expense. If a split sample was not taken, or was not adequate quality, the original test would be voided and the driver would not be subject to a retest.

After the Medical Review Officer makes a determination the County will receive a report in a confidential manner.

H. Consequences of positive tests, insubordination, and challenging results:

1. Consequences –

- a. Positive controlled substance or alcohol test greater than 0.02 - Any employee with a verified positive controlled substance test result and/or alcohol breath test with a confirmed result of 0.02 or greater or any employee found possessing or using controlled substances or alcohol at the workplace shall be subject to one or more of the following:

- will be directed to seek assistance from the Employee Assistance Program (EAP). The employee will be placed on Leave Without Pay from the day following notification from the MRO telling management of the verified positive controlled substance test until the day of the first EAP appointment. If the employee has accrued leave, the employee will be allowed to use leave from the day of the first EAP appointment until the employee is released to return to work. The employee must sign the Authorization to Release Confidential Information form between the County and the EAP provider (Appendix C), or
- will not be allowed to drive a County vehicle for one year, or
- will be subject to disciplinary action up to and including dismissal at management's discretion.

An employee permitted to continue employment after a positive controlled substance or alcohol test or after voluntarily identifying himself/herself as a controlled substance user will be required to cooperate fully with the provisions of a recommended rehabilitation program as prescribed by the Employee Assistance Program or comply with any long-term treatment programs which are recommended. Participation in the Employee Assistance Program is mandatory. Disciplinary action is not automatically suspended by an employee's participating in the Employee Assistance Program and may be taken against employees for performance issues or conduct violations. Those employees participating in the Employee Assistance Program will be subject, during participation, to unannounced and random controlled substance tests in accordance with the aforementioned testing procedures. Upon a positive controlled substance test result, the employee will be subject to dismissal. Those employees participating in the Employee Assistance Program who satisfactorily complete the program prescribed will be subject to unannounced and random controlled substance tests for a period of two years after completion of the said program. Satisfactory completion will be determined by the County Manager or his/her designee with the guidance of the staff of the Employee Assistance Program. Failure to enter or complete the prescribed program shall be considered by the County as voluntary termination of employment initiated by the employee.

The County will pay for the first six (6) visits of an employee to the Employee Assistance Program during a calendar year. All other visits, voluntary or mandatory, will be the responsibility of the employee.

An employee permitted to continue employment after a positive controlled substance or alcohol test must have a negative controlled substance or alcohol test before being reinstated to any position in the County.

- b. If an employee driving a County vehicle is involved in a fatal accident, and tests positive in a verified controlled substance or alcohol test, then the driver will be dismissed.

2. Insubordination: Refusing to Undergo Testing, Refusing to Cooperate, or Tampering

An employee who tells a supervisor that he or she refuses to be tested or refuses to sign the appropriate paperwork will be advised that such behavior is considered insubordination and that continued refusal will result in discipline up to and including dismissal. In cases not involving a fatal accident, if the employee refuses to cooperate after being told of the consequences, the supervisor must document the refusal. An employee is required to submit to an alcohol test immediately, but no later than 8 hours in a post-accident situation.

If the employee was involved in a fatal accident and refuses to take the test, then he or she will be dismissed.

Failure to provide a specimen or second specimen if there is a question about the first, failure to cooperate with the collection site personnel, or tampering with test procedures or results will lead to disciplinary action up to including dismissal.

3. Challenging Results

An employee or applicant has 72 hours from being notified of a verified positive controlled substances test result to request that the split specimen be tested, at the employee's or job applicant's expense.

The employee may appeal pursuant to the Grievance Procedure as outlined in the County Personnel Ordinance.

Temporary and non-regular employees who test positive may be released without recourse or appeal.

4. Consequences to Job Applicants

A job applicant will not be considered for employment with the County for at least one year in any of the following scenarios;

- a. A job applicant has a verified positive controlled substances test result, or
- b. If there is a change to federal or state law in the future requiring applicant alcohol tests, if the applicant has an alcohol breath test with a confirmed result of 0.02 or greater, or
- c. The job applicant admits drug or alcohol use that violates this policy.

Any job applicant, who applies for another position with the County after one year but within two years, must provide a letter from a substance abuse professional stating that the individual has successfully completed a rehabilitation program and that the individual is able to return to the workforce. This letter should be marked confidential and directed to the Personnel Department.

Job applicants who refuse a test will no longer be considered for any position.

I. Confidentiality of Records

The ~~Human Resources Personnel~~ Department shall maintain the confidentiality of test results, EAP referrals and employment status. Access to these records by ~~Human Resources Personnel~~ Department employees shall be controlled and limited to employees designated by the Human Resources Director. The reports or test results may be disclosed to management on a strictly need-to-know basis and to the tested employee upon written request. Information will be released only as required by law or as expressly authorized. Disclosures may also occur when:

- a. The information is needed by medical personnel for the diagnosis or treatment of the patient who is unable to authorize disclosure.
- b. The information is compelled by law or by judicial or administrative process.
- c. The County must allow any DOT authorized agency access to facilities and records in connection with the County's alcohol and controlled substance abuse policy.
- d. When requested, the County will disclose post-accident testing information to the National Transportation Safety Board as part of an accident investigation.
- e. The County will make records available to a subsequent employer upon receipt of a written request from the employee.
- f. The County may disclose information to the employee or to the decision-maker in a lawsuit, grievance or other proceedings initiated by or on behalf of the individual. This may include worker's compensation, unemployment compensation, or other proceeding relating to a benefit sought by the employee.
- g. With employee consent, if the information is to be used in administering an employee benefit plan.

J. Notification of Charges

An employee charged with an alcohol or controlled substance related criminal offense shall notify the Department Head no later than five (5) days after such charge or conviction. Failure to notify one's Department Head shall be grounds for dismissal.

**SECTION 12. EMPLOYEE ASSISTANCE PROGRAM**

- A. Purpose – To provide confidential counseling for classified employees and their dependents in overcoming such persistent problems that may tend to jeopardize their health and continued employment.
- B. Policy – It is the policy of Watauga County to assist, in a confidential manner, employees who may be having personal problems which may be affecting work performance or causing excessive absenteeism. These problems may be a result of stress, substance abuse, emotional or financial problems, family matters, or other concerns. This policy recognizes that these conditions are hopefully of a temporary nature and the County encourages employees to seek professional assistance.

This service will be available to all regular County employees and their spouse or ~~minor~~ children. Each regular employee or family member is eligible for a combined total of six (6) free visits annually. Any additional visits shall be the financial responsibility of the employee.



Eligible County employees may utilize this service at their own initiative without their supervisor's permission. Time off from work must be approved through the normal procedures.

- C. Supervisor Responsibility – It is the responsibility of the immediate supervisor to identify and document poor job performance, attendance or behavioral problems, whether they are continuous or intermittent. The supervisor is not responsible for diagnosing the cause for such problems but will advise the employee of the employee assistance program when it is apparent that normal supervision has not resolved the performance, attendance or behavioral problem.
1. When an employee's performance, attendance or behavior is unsatisfactory, it will be called to their attention by their supervisor.
  2. If performance, attendance or behavioral problems continue, the supervisor will discuss the problem privately with the employee.
  3. When a problem is identified, the supervisor will not delve into the employee's personal life to try to find causes. If it appears that the employee cannot or will not improve their performance, attendance or behavior, the employee will be advised of the employee assistance program.
  4. If the employee accepts the offer of help and their job performance, attendance or behavioral problems improve to a satisfactory level, no further action will be taken.
  5. Whether the employee refuses or accepts the offer of help, **if and** job performance, ~~if~~ attendance or behavioral problems continue, the regular discipline procedures of Watauga County will apply.
- D. Program Administrator Responsibility – The County Manger or his designee is the Program Administrator who will be responsible for the program and in working with the professional provider(s).
- E. Confidentiality, Documentation, and Records – The provider used by the County for diagnosing and counseling employees in need of assistance shall maintain only such records as are necessary and shall not release them without written authorization of the employee. Such records will be handled in the same confidential manner as other medical and hospital records. **Documentation of an employee's use of EAP visits is required by the County for billing purposes only.**

### SECTION 13. COMPUTER USE POLICY

- A. Purpose – Watauga County's computers, computer systems, and computer networks, as well as, the data they store and process, must be operated and maintained in a secure, responsible manner. It is critical that these systems and machines be protected from misuse and unauthorized access.
- B. Policy – **This policy applies to all County-owned or leased computers and peripherals, hardware, software, and the network (Internet and Intranet) as well as anything connected to County systems or networks.**

Employee owned **devices** ~~personal-computing-systems~~ shall not be connected to the County's network without prior approval from the Information Technologies Department. If this connection is

approved, the use of these systems shall be subject to these standards and guidelines as set forth herein.

All communications and information transmitted by, received from, or stored in County systems are considered data and the property of the County. Employees have no right of personal privacy or any expectation of privacy in the content of this data. Use of County IT resources constitutes consent to the monitoring of the use of these systems. Systems and data may not be continuously monitored, but not monitoring a system or data does not constitute a waiver of the right to monitor.

In addition to this policy, users are subject to applicable state and federal laws. Improper use or misuse of County computer systems on a person's work time or after hours is a violation of County personnel policies and may lead to disciplinary action including suspension, demotion or dismissal.

This policy is not intended to supersede any existing laws or policies regarding records that are confidential, including, but not limited to, juvenile records in the Sheriff's Office, certain information contained in personnel files, client files in Project on Aging, Social Services records, and Veteran's Service Office client records. Also, this policy does not address public access issues. It is intended for internal use only.

C. Security – Security refers to the protection of all computer equipment resources from any kind of damage and the protection of data from unauthorized access, distribution, modification or destruction. ~~The following procedures will, if used properly, prevent any of the above mentioned occurrences from happening:~~

1. Users must be authorized access to the County's computer systems by the Information Technologies Department (IT) ~~and/or Network Administrators (where applicable)~~ after the appropriate Department Head has requested the same in writing. ~~No County systems may be accessed before the user is authorized.~~ The written request must include the ~~systems accounts~~ to be accessed by the user. ~~Only the authorized accounts for those systems may be used~~ Users may only access systems they have been authorized to access and only for authorized purposes.
2. Users are responsible for safeguarding their own computer access, which includes ~~not sharing or allowing anyone else knowledge of their~~ passwords and ~~shutting down, logging off, or locking their computer~~ when it is not in use.
3. Users SHALL NOT let another person use their access ~~or use someone else's access~~ unless IT ~~or Network Administrator (where applicable)~~ approves the use and purpose. Users are directly accountable for all activity connected to their user ID.
4. Passwords ~~will be changed every three (3) months and~~ SHALL NOT be divulged to any other person. Passwords should be memorized and not written down unless kept in a secure place. ~~Passwords should be changed when prompted by the system, the IT Department, or when it is suspected that the password has been compromised.~~ Passwords used for access to County systems should not be reused anywhere else (i.e., to log into an external website).
5. ~~Users should log off the system if they must leave the immediate area of their workstation for an extended period of time. (i.e., lunch hours).~~
6. If a user is dismissed for any reason ~~or is on extended leave~~, IT ~~or Network Administrator (where applicable)~~ must be notified immediately by the Department Head so that dismissed user account can be ~~disabled or~~ removed from the system.

7. Users SHALL NOT attempt to bypass security mechanisms.
8. Users SHALL NOT engage in abuse or misuse of the County's computing systems as previously defined.
9. Users SHALL NOT violate any rules in other portions of the County Personnel Ordinance, local, state, or federal laws via County computing systems or communications.
10. Users shall ~~disclose to their Department Head, who shall then~~ notify IT of any suspected or confirmed unauthorized use or misuse of computing systems and also any potential security loopholes **immediately**.

D. Acceptable Use – At all times when an employee is using Watauga County technology resources, ~~they are he or she is~~ representing the County. ~~Use the same good judgment in all resource use that you would use in written correspondence or in determining appropriate conduct.~~

While in the performance of work-related functions, while on the job, or while using publicly owned or publicly provided technology resources, Watauga County employees are expected to use them responsibly and professionally. They shall make no intentional use of these resources in an illegal, malicious, inappropriate or obscene manner.

The County understands that a minimal amount of personal use of County computers and data communications may occur. Personal use of those resources must ~~ensure insure~~ that the County incurs no cost for the use (County-time or additional charges) ~~and that this use in no way interferes with the normal operation of the County network and systems (i.e., using too much bandwidth)~~. The Department Head may limit or curtail personal use, as the Department Head deems reasonably necessary, on a case-by-case basis.

Users are required:

1. To respect the privacy of other users; for example, users shall not intentionally seek information on, obtain copies of, or modify files, data, or passwords belonging to other users, unless explicit permission to do so has been obtained. ~~It shall be understood that this rule does not apply to Supervisory personnel, who shall be given have rights to access to any files generated created by staff users in their respective departments upon request to IT. All files are County property.~~
2. ~~To not make or use illegal copies of copyrighted material, store such material on County equipment, or transmit such material over the network. respect the legal protection provided to programs and data by copyright and license. The County owns licenses to a number of proprietary programs that allow the County to use the software but severely restricts anything other than the use of the software on a single computer or network. Any redistribution of software from the computing systems breaches agreements with our software suppliers, as well as applicable federal copyright, patent and trade secret laws. U.S. Copyright Law provides for civil damages of \$50,000 or more and criminal penalties including fines and imprisonment in cases involving the illegal reproduction of software. Therefore, no copying, downloading, or distributing of any copyrighted materials, including but not limited to messages, e-mail, text files, program files, image files, database files, sound files and music files is allowed without prior authorization by IT.~~

In addition, software of any kind shall not be installed onto any Watauga County computer system without prior approval of the IT Department, ~~and any unapproved software may not be~~

run on any County computer system. ~~This includes shareware, freeware, personal software, or Internet distributed software.~~

3. To protect data from unauthorized use or disclosure as required by state and federal laws and agency regulations (i.e., confidential information).
4. To respect the integrity of County computing systems: ~~for example, users shall not use or develop programs that harass other users or infiltrate a computer or computing system and/or damage or alter the software components of a computer or computing system, or~~ and to not otherwise interfere with ~~data, hardware, or system~~ the operation of County systems.
5. To not store an excessive amount of personal data on the County systems.

E. Unacceptable Use – Uses that do not conform to the purpose, goals, and mission of the County and to each user’s authorized job duties and responsibilities.

Examples of unacceptable activities include but are not limited to:

1. Private or personal, for-profit activities (e.g., consulting for pay, sale of goods ~~such as Avon and Amway products~~, etc.);
2. Use for any illegal purpose, including communications that violate any laws or regulations;
3. Transmitting or soliciting threatening, obscene or harassing ~~or politically natured~~ messages or images;
4. Viewing pornographic or sexually oriented material, except as deemed necessary to conduct criminal investigations or child-welfare investigations (as approved by supervisor);
5. Intentionally seeking information about, obtaining copies of, or modifying of files or other data, ~~or passwords~~ belonging to other users, unless explicit permission to do so has been obtained from IT;
6. Interfering with or disrupting users, services or equipment. Such disruptions would include, but are not limited to: 1) distribution of unsolicited advertising or messages, 2) propagation of computer worms or viruses, and 3) attempting to gain unauthorized entry to another computer or computer system whether owned by the County or ~~another entity or individual~~ outside of the County;
7. Removing any computer equipment (hardware, software, data, etc.) without ~~supervisor’s authorization and~~ IT authorization notification;
8. Allowing non-county employees, including an employee’s family or friends, to use the County’s technology resources.

F. Electronic Mail—~~Electronic mail is intended for County business only. All e-mail messages are the property of the County and subject to public inspection. The County Manager or his/her designee, IT staff and supervisory personnel have the right to review the contents of employees’ e-mail communications.~~

~~When sending or forwarding e-mail, all employees shall identify themselves clearly and accurately including full name, organization, department and full e-mail address.~~

~~Unacceptable uses of e-mail include, but are not limited to:~~

- ~~1. Sending chain letters.~~
- ~~2. Sending copies of documents in violation of copyright laws.~~
- ~~3. Compromising the integrity of the County and its business in any way.~~
- ~~4. Sending messages containing offensive, abusive, threatening, obscene, harassing, or other language inappropriate for the organization.~~
- ~~5. Sending messages that violate the County's sexual harassment policy.~~
- ~~6. Willful propagation of computer viruses.~~

- G. ~~Virus Protection—Every computer user is to remain vigilant and alert to the possible transmittal and infection of a computer virus. Most e-mail viruses are transmitted through attachments. Never open attachments that contain the following extensions: .exe, .vbs, .com, .bmt, .hta, .shs, .vbe, and .cmd. Upon detecting any virus, or suspected virus, users are to cease activity immediately and report it to IT or Network Administrator (where applicable).~~

~~Appropriate anti-viral software will be installed by IT on every PC or workstation. Updates to the antivirus software will be performed by the IT Department at regular intervals from a central server located in the IT Department.~~

- F. ~~Internet Use – A County Internet access account is a resource granted to employees upon Department Head approval to increase productivity and provide opportunities for professional growth.~~

~~The County has software and systems in place to block access to offensive and/or inappropriate websites. This software/system can also monitor and record all usage.~~

~~All Internet users are expected to comply with Section III (Acceptable Uses) of this Computer & Data Communications Use Policy. Improper use could result in the cancellation of a user's computer Internet account and will result in disciplinary action.~~

~~The Internet provides easy access to software distributed by companies on a trial basis. The free access does not necessarily indicate that the software is free or that it may be distributed freely. Users are expected to comply with the copyright policy as previously stated in Section III (Acceptable Uses).~~

- H. ~~Social Media and Cloud Storage – Forms of electronic communication (such as websites for social networking, including Facebook, Instagram, etc.) through which users create online networks and communities to share information, ideas, personal messages, and other content including text and visuals.~~

**Cloud Storage:** Files stored on the Internet including but not limited to documents, spreadsheets, images, videos, databases, and other media. Examples of cloud storage include but are not limited to Office 365, Google Drive, Microsoft OneDrive, Apple iCloud, and Adobe Creative Cloud.

Employees of Watauga County are required to notify and obtain prior approval from their Department Head and the IT Department before creating any social media account to be used for business-related purposes. All work-related social media accounts must be set-up using County email addresses and phone numbers, not using personal contact information, as Watauga County will serve as the “owner” of the account.

All County social media accounts must be connected, through the IT Department, to the required service that stores and archives all posts and conversations for public records/retention.

Employee use of social media should follow the below guidelines:

- Use of personal social media accounts should be limited during working hours and should not create a hindrance of job performance.
- When registering online accounts for personal use employees should not use their company email address, and use of social media on company equipment is discouraged unless it is work-related.
- Employees of Watauga County should use decorum in personal or work-related social media posts and refrain from statements of visuals that would discredit the County.
- Employees are prohibited from posting any County related confidential or protected information.
- All work-related social media accounts should be used in compliance with all social media policies and operating procedures of Watauga County and/or the individual County Department.

H. Purchasing – The purchase of computer hardware, peripherals, software – including web-based software, and other IT related software, services, and equipment must be made through the Information Technologies Department or with IT authorization.

I. Records Retention – Employees of Watauga County are required by law to follow State records retention requirements. Examples include but are not limited to; paper records, files and emails stored on an employees’ computer; and the County network, voicemail, text messages, and social media.

Each employee and department are the custodian of their own records and they are responsible for following the adopted retention schedule in place for their department.

With regard to records retention and inspection, employees shall not use their personal email for County business without IT authorization. A County email account will be provided instead, as necessary to appropriate County Employees.

J. Compliance – The IT Director and ~~County Administration Department Head~~ will review reported and perceived violations of this policy and may impose restrictions, suspend or terminate computer access, or remove computer equipment during or as a result of an investigation. Other appropriate action in response to abuse or misuse of computer resources may include, but not be limited to:

1. Reimbursement to the County for resources consumed;

2. Other legal action, including action to recover damages;
3. Disciplinary action, including suspension, demotion, or dismissal pursuant to Watauga County's Personnel Ordinance.

Department Heads will be responsible for the enforcement of the County's Computer and Data Communications Policy.

### **SECTION 13. CELLPHONE AND PAGER POLICY**

- A. Policy – Watauga County provides cellular telephones **or monetary cell phone allowances as necessary for employees in specified positions to ensure their continuous accessibility,** ~~and pagers~~ to improve customer service and enhance business.
- B. Acquisition and Use of Cellular Telephones and Pagers – The acquisition and use of cellular telephones ~~and pagers~~ by Watauga County employees or the payment of a cellular telephone allowance will be based on a rigorous analysis and justification, **considering** cost, efficiency, and enhanced job performance. The use of County cellular telephones ~~and pagers~~ is subject to all rules and regulations contained in this ordinance regarding the use of County property. **County issued** cellular telephones ~~and pagers~~ shall be used for official County business only; they are not to be used for personal use except in true emergency circumstances. If it is absolutely necessary to use a **County issued** cellular telephone for personal calls, the employee shall **notify their supervisor ~~log the call~~** and, if any additional charges above the County's basic rate plan are incurred due to personal calls these charges are the responsibility of the employee and shall be reimbursed to the County within 10 business days after the cellular telephone bill due date.
- D. Cellular Telephone Safety – **Employees should not use their cellular telephone while driving, operating equipment, or any other job-related tasks in which a distraction of cellular phone usage may create a safety risk, with exceptions for emergency personnel.** Plan your calls before you begin your trip, and make calls when your vehicle is parked. **For County issued cellular phones, employees should** carefully read your instruction manual and be familiar with your phone's features. Place your wireless phone in your vehicle where you can reach it without removing your eyes from the road. Avoid conversations during hazardous driving conditions or situations. Heavy traffic can be as hazardous as rain, sleet, snow and ice. Do not take notes or look up phone numbers while driving. As a driver, your first responsibility is to pay attention to the road. Dial 911 in case of fire, traffic accident, road hazard, or medical emergencies. If you see an auto accident, crime in progress or other serious emergency where lives are in danger call 911 and give the exact location and your wireless number.

### **SECTION 14. TOBACCO USE POLICY**

In order to maintain a comfortable, safe and healthy working environment, use of all tobacco products is prohibited in County buildings and vehicles.

### **WATAUGA COUNTY TOBACCO USE POLICY**

A. Purpose – Watauga County is committed to protecting the public health and providing a safe work environment for employees, volunteers, contractual persons, and the public by prohibiting the use of tobacco products in any building or structure owned or leased by the County. In support of this commitment, the use of tobacco products will be prohibited in all County-owned or leased buildings, grounds, parking areas, walkways and vehicles.

B. Policy – The use of tobacco products is prohibited in all County-owned or leased buildings, grounds, parking areas, walkways and vehicles. The term tobacco products include, but is not limited to cigarettes, cigars, chewing tobacco, snuff and pipe smoking. Time will not be made available to allow employees to leave the workplace in order to use tobacco products.

**THIS POLICY HAS BEEN IN IS-TO-BE EFFECT SINCE JANUARY 1, 2007.**

C. Applicability – All employees, volunteers, contractual persons, and the public.

~~D.~~ Employee's Responsibility – Comply with the tobacco use policy. ~~Request reimbursement of fees for tobacco cessation programs and aids through 1/01/2007.~~

E. Supervisor's Responsibility – Ensure the tobacco use policy is enforced. Answer employee questions related to the tobacco use policy. ~~and the tobacco cessation programs/options.~~

F. Human Resources' Responsibility – Answer questions from supervisors and employees on the tobacco use policy and tobacco cessation programs/options **that may be available through the County Health Insurance Plan.**

~~G. Tobacco Cessation Programs – Employees who use tobacco products are eligible for participation in tobacco cessation programs sponsored by the County and CIGNA Health Plan. The County will reimburse expenses up to a maximum of \$200 per employee for approved tobacco cessation programs/options. Employees will only be eligible for reimbursement until January 1, 2007. After January 1, 2007, employees will be responsible for all expenses.~~

H. Disciplinary Action – Disciplinary actions may **be taken any of the forms listed in in accordance with** Article VIII, Section 8, of the Watauga County Personnel Ordinance, ~~from a resulting in a written warning to~~ **or additional disciplinary action up to and including** dismissal.



## ARTICLE VI. USE OF COUNTY PROPERTY AND TIME

### SECTION 1. POLICY

County time and County property - land, equipment, vehicles, buildings, supplies, etc. shall be used solely for County business purposes. Personal use of County property and time is prohibited.

Equipment, tools, materials and supplies that belong to the County shall not be removed from County property without the approval of an employee's supervisor.

### SECTION 2. VEHICLES

Use of County vehicles shall be governed by the following:

- A. County vehicles, equipment and apparatus are to be used only by County employees or agents of the County, for County business, except that vehicles may be taken home when doing so serves the best interest of the County. While performing County business a County vehicle may be driven to and from lunch. All other personal use is prohibited
- B. The County Manager or his/her designee shall determine, upon the recommendation of the Department Head, whether circumstances warrant a vehicle being driven home by a County employee on a routine basis. Unless an emergency exists, employees are prohibited from stopping en-route between work site and home for personal reasons. Proper care and maintenance of the vehicle is the responsibility of the employee/Department Head. Employees shall keep vehicles clean inside and out.
- C. All use of tobacco products is prohibited in County vehicles.
- D. Employees who are assigned a County vehicle, either temporarily or permanently, are to operate that vehicle in a responsible manner and obey all State and Federal laws. Seat belt use for ~~front seat the~~ driver and all passengers are mandatory. Any accident involving a County vehicle shall be reported immediately in accordance with established procedures and North Carolina State laws.
- E. Unauthorized passengers, such as hitchhikers, family, friends or other parties, not directly related to County business, are not allowed in or to operate County vehicles.

Violation of this policy may result in disciplinary action set forth in the Watauga County Personnel Ordinance, Article VIII Separation, Disciplinary Action and Reinstatement. (See G.S. 14-247 making private use of public owned vehicle a misdemeanor. Also, refer to County Resolution Book 4, Page 43 dated April 22, 1986 - Resolution Regarding Employee Use of Vehicles Owned by the County of Watauga, North Carolina).

## ARTICLE VII. TYPES OF LEAVE

### SECTION 1. PAID HOLIDAYS OBSERVED

The following holidays, and other such days as the Board of Commissioners may designate, shall be observed as holidays for employees.

New Year's Day
Martin Luther King, Jr. Day
Good Friday
Memorial Day
Independence Day
Labor Day
Veteran's Day
Thanksgiving and the Day After
Christmas

~~When New Year's Day, Independence Day or Veteran's Day falls on a Saturday or Sunday, the~~ The County will adopt and observe the same holiday schedule as designated by the State of North Carolina for each calendar year.

When Christmas Day Falls On:	The County Observes:
Sunday _____	Friday & Monday
Monday	Monday & Tuesday
Tuesday	Monday, Tuesday & Wednesday
Wednesday	Tuesday, Wednesday & Thursday
Thursday	Wednesday, Thursday & Friday
Friday _____	Thursday & Friday
Saturday	Friday & Monday

All regular employees are entitled to holiday leave, **not to exceed eight (8) hours per day**, providing the employee is on pay status either the work day before or the work day after the holiday period. Regular part-time employees shall be compensated on the basis of their average workweek. When the regular employee works more than 30 hours, full holiday benefits are due. When the regular employee works 20-30 hours, 1/2 the regular holiday benefits are due. Temporary and non-regular employees are not eligible for holiday benefits.

### SECTION 2. EFFECT OF HOLIDAYS ON OTHER TYPES OF PAID LEAVE

Regular holidays that occur during a vacation, sick or other paid leave period of any County employee shall not be charged as vacation, sick or other paid leave.

### SECTION 3. HOLIDAYS - WHEN WORK IS REQUIRED

Non-exempt employees, **including, sworn law enforcement officers, detention officers, Communications, Fire Marshal staff, Parks and Recreation and Sanitation personnel**, whose work schedule requires them to work on a County Observed Holiday shall be compensated holiday pay for hours actually worked on a County Observed Holiday. Holiday benefits shall not exceed eight (8) hours per observed day. Sworn law

enforcement officers, detention officers, Communications, and Parks and Recreation personnel not required to work on a County Observed Holiday shall receive up to eight (8) hours of holiday leave to take within four (4) months of accrual. Any holiday leave not taken within the four (4) month period will be lost. Upon termination of employment, an employee shall not be compensated for any banked holiday time.

Non-exempt employees, including, Animal Care and Control, Department of Social Services (Social Workers I, II and III) and Maintenance personnel whose position classification requires them to respond to a "callback" on a County Observed Holiday shall be compensated for the actual hours worked, up to a maximum of 40 hours per week. Time worked in excess of the regular 40-hour week will be given in the form of compensatory time off at a rate of one and one-half hours. Holiday benefits, not to exceed eight (8) hours per observed day, will be given in the form of compensatory time off at an hour for hour rate. Compensatory time off will only be given at a rate of one and one-half hours for actual hours worked in excess of the regular 40-hour workweek, not including the eight (8) hours per day given for each observed holiday.

#### SECTION 4. LEAVE POLICY - ADVERSE WEATHER CONDITIONS

An employee, who cannot travel to work, comes to work late, or leaves early due to adverse weather conditions shall apply the absence against comp time or vacation leave prior to leave-without-pay. The employee's supervisor must approve this leave. The employee shall use their discretion to determine if it is safe to travel to and from work.

If County offices open late, close early, or close for the entire date due to adverse weather conditions, per the County Manager or his/her designee, the hours closed will be considered "adverse weather" leave. ~~A maximum of 16 cumulative hours of adverse weather leave per calendar year will be granted. Any weather-related leave time, not classified as "adverse weather" leave time in excess of sixteen (16) hours will be charged to the employee's accrued comp or vacation leave balance.~~ If an employee does not have any accrued leave, the time will be charged as leave without pay. If an employee does not come to work at all on a day that County offices open late or close early, all eight (8) hours must be applied to comp time or vacation leave prior to leave-without-pay, regardless of how many hours the County offices were closed. If an employee is unable to work due to sickness, all eight (8) hours will be applied to sick leave. A doctor's excuse may be requested for sick leave taken during inclement weather. If an employee is already on vacation during inclement weather when a period of "adverse weather" leave is designated, all eight (8) hours will be applied to vacation leave. "Adverse weather" leave is only granted to those employees who work for the majority of the day. If none of the staff in a given office can get to work because of the weather, notice must be given to the County Manager's Office in advance.

If County offices are opening late and an employee is at work before the scheduled opening, no time is gained (comp or overtime) for that employee. The same applies if an employee stays past an early office closing, i.e. no employee will be paid for more than eight (8) hours per day or forty (40) hours per week due to ~~inclement~~ adverse weather leave.

The Adverse Weather Condition Leave Policy does not apply to the Sheriff's Office, Maintenance, Emergency Services Management or Sanitation Departments' staff ~~or other positions~~ that may be deemed appropriate by the County Manager or his/her designee, with the exception of Administrative positions in the identified County Departments whose functions are non-essential to the continued operation and uninterrupted service provided during adverse weather events.

## SECTION 5. VACATION LEAVE

It shall be the policy of Watauga County to grant vacation leave for its employees as a reward for past service. Employees may take vacation leave only as it accumulates and at a time approved by the appropriate supervisor. An employee shall make a request two (2) weeks prior to the leave date for vacation leave exceeding two (2) days. A request one-half day prior to the leave date shall be made for vacation leave for two (2) days or less.

Employees may wish to be away from work on certain days for religious observances. Department Heads shall attempt to arrange the work schedule so that an employee can be granted vacation leave when it is requested because the day is a major religious observance for that employee. Vacation leave shall be denied only when it would create an undue hardship for the County, which cannot be prevented in any other manner.

~~Regular part-time employees whose average workweek is more than thirty (30) hours will earn full vacation leave.~~ Regular part-time employees whose average workweek is twenty (20) to thirty (30) hours shall earn vacation leave at one-half the standard rate. Vacation leave shall accrue on the basis of completed work-pay periods. Time worked for partial periods at the beginning or ending of employment shall not count toward vacation accrual. Employees on vacation leave when a holiday occurs shall not be charged with vacation leave for said holiday.

An employee who requests and is granted leave without pay status shall become ineligible to accrue vacation leave beginning the first pay period in which they are paid less than 75% of their regular work schedule.

## SECTION 6. PROBATIONARY EMPLOYEES VACATION LEAVE

Employees serving a probationary period following initial appointment will earn vacation leave but **may** not be permitted to take vacation leave during the probationary period unless the denial of such leave creates unusual hardship. ~~A limited amount of vacation leave will be granted during an employee's probationary period to avoid leave without pay, until an adequate amount of paid leave time can be accrued.~~ Any vacation leave granted during this period shall have prior written approval of the Department Head and County Manager or his/her designee.

## SECTION 7. MANNER OF VACATION LEAVE ACCUMULATION

A full-time, classified employee who is in pay status earns vacation leave at the following rate:

Vacation Leave Earned	Accrual Rate Per Pay Period	Length of Service
12 days a year	3.69	Less than 5 years
15 days a year	4.62	5 but less than 10 years
18 days a year	5.54	10 but less than 15 years
21 days a year	6.46	15 but less than 20 years
24 days a year	7.38	20 years or more

Members of the North Carolina Local Governmental Employees' Retirement System or the North Carolina State Employees' Retirement System may calculate "length of service" for determining vacation leave earned as the number of certified membership years in either of these retirement systems.

## SECTION 8. MAXIMUM ACCUMULATED VACATION LEAVE

For the purpose of earning and accruing vacation leave, the 12-calendar month period between January 1 and December 31 is established as the leave year. Vacation leave shall accumulate up to a total of 30 days (240 hours) **leave**. Vacation leave in excess of 30 days (240 hours) shall accumulate only within the year during which it is earned. Any leave in excess of 30 days (240 hours) which is not taken in the year during which it is earned shall be converted to sick leave **effective December 31 of each year**.

## SECTION 9. ACCUMULATED LEAVE AT DEATH OR SEPARATION

In case of death, the employee's estate shall be paid all compensatory time and accrued vacation leave. Payment of vacation leave shall not exceed 30 days (240 hours) maximum accumulation. Upon separation from County service, an employee with accrued vacation leave will be paid in a lump sum for the amount of unused vacation leave not to exceed 30 days (240 hours). **Unused vacation leave in excess of 30 days (240 hours) will NOT be reinstated after any period of separation from employment with the County.**

## SECTION 10. SICK LEAVE

Sick leave with pay is a privilege granted by Watauga County. Requests for sick leave shall be submitted to the employee's supervisor prior to the leave or not later than **one (1) hour after??** the beginning of a scheduled workday, **with exceptions only for extreme medical or emergency situations. Each Department Head/Supervisor shall develop a reasonable departmental procedure and means of communication made available to their staff for notification to the appropriate supervisory personnel of unplanned absence/sick leave.**

Each regular employee working an average schedule of more than thirty (30) hours per week shall earn sick leave at the rate of 3.69 per pay period. Each regular employee working an average schedule of twenty (20) to thirty (30) hours per week shall earn sick leave at the rate of 1.85 hours per pay period. Sick leave shall be earned on the basis of each fully completed work/pay period. No employee can be granted sick leave in excess of that earned. Sick leave shall be cumulative with no limit of accumulation. Time worked for partial periods at the beginning or ending of employment shall not count toward sick accrual.

Leave from work shall be charged to sick leave if the absence is due to illness, bodily injury, quarantine, required physical or dental examinations or treatment, pregnancy, childbirth, and postpartum care, or exposure to a contagious disease which continued work might jeopardize the health of others. Leave from work may be charged to sick leave for the employee's spouse, child, or parents' sickness, bodily injury, quarantine, required physical or dental examinations or treatment, pregnancy, childbirth, and postpartum care.

~~A leave of absence of up to six (6) months may be granted for an extended period of illness of more than two (2) weeks. The employee must submit a request for a leave of absence to their Department Head and the County Manager or his/her designee. If this leave of absence meets the definition for the Family Medical Leave Act of 1993 (FMLA, see Article VII, Section 14 of this Ordinance), it will be designated as such. The employee must use any accumulated leave concurrent with FMLA leave. In disability cases, a physician must indicate in writing when the period of disability actually begins and ends. An employee in the process of using accumulated sick leave will continue to be in a leave-earning status, be entitled to holidays and be eligible for merit increases. When sick leave is exhausted, The employee must use all accrued comp time prior to using accumulated sick leave, then vacation leave. An employee on leave without pay status will not be eligible for merit or holiday pay and shall not be in a leave-earning capacity. After exhausting~~

~~accumulated leave and FMLA leave, the employee is responsible for insurance premium payments to continue on the County's group insurance plans. If the employee chooses to discontinue insurance coverage, the employee will be terminated from the policy and reinstated upon returning to work, the first day of the month following their return to work, without an additional standard waiting period. The employee will be subject to the insurance pre-existing clause upon reinstatement. An employee who fails to report at the expiration of a leave of absence shall be considered dismissed and so noted in the employee's personnel file, unless an extension has been requested and approved two (2) weeks prior to leave expiration, when reasonably possible.~~

Employees claiming sick leave may be required to furnish a certificate from a physician stating the kind and nature of the illness or injury, if the employee has been incapacitated for the period of absence, and that they are again physically able to perform assigned duties. Claiming sick leave when physically fit will be cause for discharge.

An employee who requests and is granted leave without pay status shall become ineligible to accrue vacation or sick leave beginning the first pay period in which they are paid less than 75% of their regular work schedule.

#### **SECTION 11. SICK LEAVE TRANSFER**

A newly hired classified employee may transfer their accumulated sick leave balance from their previous employer who is a member of the North Carolina Local Governmental Employees' Retirement System or the North Carolina State Employees' Retirement System subject to the following conditions:

- A. The employment was within the preceding twelve (12) months.
- B. The most recent employer provides proper certification to Watauga County within the employee's first six (6) months of employment.

#### **SECTION 12. SICK LEAVE RETIREMENT CREDIT**

Accumulated, **unused** sick leave is allowed as creditable service at time of retirement to employees who are members of the North Carolina Local Governmental Employees' Retirement System, subject to the Retirement System rules.

#### **SECTION 13. SICK LEAVE - SEPARATED EMPLOYEES**

Employees, who retire or resign and are not reinstated with Watauga County within a one (1) year period, shall lose all sick leave credits. No employee shall be paid for any accrued sick leave at termination of employment.

**Sick leave will only be approved during the final two weeks of a notice with a physician's certification or comparable documentation.**

#### **SECTION 14. FUNERAL LEAVE**

Leave from work may be charged to sick leave for attendance at the funeral of a member of the employee's family. Three (3) days sick leave may be allowed with any additional days being charged to comp time,

vacation leave or leave without pay for the death of the following family members: wife, husband, mother, father, guardian, brother, sister, son, daughter, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandmother, grandfather, grandson, granddaughter, step-mother, stepfather and stepchildren.

## SECTION 15. VOLUNTARY SHARED LEAVE

- A. Purpose - Watauga County acknowledges that there are sometimes circumstances brought about by serious, prolonged medical conditions that cause employees to exhaust all available leave and therefore be placed on leave without pay status. It is understood that employees forced to go on leave without pay may be left without income, adding financial hardship to physical and/or emotional difficulties. The County also recognizes that some employees may wish to voluntarily donate a portion of their annual leave in order to provide assistance to a fellow County employee. The intent of this policy is to provide an opportunity for employees to assist co-workers affected by a serious medical condition which requires absence from work for an extended period of time and results in the exhaustion of all earned leave.
- B. Policy – Watauga County has implemented a Voluntary Shared Leave Program whereby employees may donate annual leave to fellow employees who have been absent from work due to a serious, prolonged medical condition and have exhausted all of their paid leave. This policy does not apply to incidental or short-term conditions. An employee on Workers’ Compensation leave is not eligible to participate in this program.

For the purpose of this policy, a prolonged medical condition is one which is likely to require the employee’s absence from work for a significant period of time, generally considered to be at least 10 work days. An exception to this time requirement may be recommended by the Department Head if the employee has had a previous random or prolonged medical condition within the last 12 months. Qualified medical conditions must meet the definition of a serious medical condition as defined by FMLA regulations. **THIS POLICY IS TO BE EFFECTIVE JULY 1, 2009.**

- C. General Guidelines – An employee may not intimidate, threaten, coerce, or attempt to intimidate, threaten or coerce, any other employee for the purpose of interfering with any right which such employee may have with respect to donating, receiving or using leave under this program. Such action by an employee shall be grounds for disciplinary action. Disciplinary actions may take any of the forms listed in Article VIII, Section 8, of the Watauga County Personnel Ordinance, from a written warning to dismissal.

Under no circumstances shall an employee receive **any** form of compensation, monetary or otherwise, for donated leave. Direct solicitation of employees for Voluntary Shared Leave donations is prohibited.

Should an employee qualify for FMLA leave, such leave will run concurrently with Voluntary Shared Leave.

Voluntary Shared Leave is **not** job protected leave and in no way conveys any guarantees above and beyond those provided by FMLA legislation.

As with any paid leave, an employee who utilizes Voluntary Shared Leave will continue to accrue annual and sick leave for any pay period in which they are paid at least 75% of their regular work schedule. Any form of leave outside of Voluntary Shared Leave will be exhausted as it is earned.

Voluntary Shared Leave may not be used in such a manner that the employee receives more than 100% of his/her salary during the prolonged medical leave.

D. Eligibility Requirements

1. Recipient

In order to be a recipient of Voluntary Shared Leave, an employee must first meet the following conditions.

- a. Be a regular employee working on a full or part time basis.
- b. Apply for FMLA leave if applicable.
- c. Be experiencing a serious health condition or be required to serve as caretaker for a **immediate** family member, **as detailed in the Family and Medical Leave Policy** (i.e. spouse, child or parent) experiencing a serious health condition as defined by the Family Medical Leave Act.
- d. Have an expectation of an absence from duty which will exhaust all compensatory, annual and sick leave. All paid leave must be exhausted prior to the utilization of any Voluntary Shared Leave.

2. Donor

In order to participate as a donor of Voluntary Shared Leave, an employee must comply with the following guidelines:

- a. Employees may donate annual leave only. Sick leave is not eligible for donation.
- b. The donating employee must maintain a minimum leave balance of 40 hours after donation.
- c. **The donating employee must be an active regular employee during the pay period in which the donated leave is transferred for use, to the sick leave balance of the recipient.**
- d. Any annual leave donated will be placed into the sick leave balance of the recipient.
- e. Donation of leave will be given hour for hour, irrespective of any difference in pay between individuals.
- f. Leave must be donated in one-hour increments with a minimum donation of 4 hours.
- g. Every effort will be made to keep the names of donor employees confidential; however, due to the nature of the process, confidentiality cannot be guaranteed.

- E. Applications Procedures – Employees who meet the stated eligibility criteria may complete a Voluntary Shared Leave request and submit it to their Department Head who will, upon approval, forward the request to the Human Resources Department. The request must include a physician-completed “Certification of Health Care Provider for Employee’s Serious Health Condition (Family Medical Leave Act)” or “Certification of Health Care Provider for Family Member’s Serious Health Condition (Family Medical Leave Act)” as appropriate. The completed form must also note an estimated duration of the applicable illness. A prospective recipient may submit a request for Voluntary Shared Leave prior to the exhaustion of paid leave as long as there is sufficient medical evidence available to support the need for leave beyond the employee’s available accumulated leave



balances. Copies of the Voluntary Shared Leave request form or either certification form may be obtained by contacting the Human Resources Department.

After review of the request, if determined to meet the eligibility conditions as noted in this policy, the County Manager or his/her designee can approve the request for Voluntary Shared Leave. In order to receive shared leave, the employee must sign a written authorization for the County to notify all County employees of the request for Voluntary Shared Leave. Information released will be that specifically authorized in writing by the employee and only for the purpose of requesting leave donations from County employees. Such information will include, at a minimum, the requesting employee's name, an acknowledgement of the need for shared leave, and the expected duration of the absence.

- F. Donation Process – Notice of approved Voluntary Shared Leave requests, along with an electronic copy of the leave donation form, will be distributed to regular employees via email by the Human Resources Department. Each Department Head will be responsible for distributing a written notice of the request to any regular employees within their department who do not have a County email address.

When informed of the need for Voluntary Shared Leave, any interested employee who meets the donor eligibility guidelines should forward a completed leave donation form to the Human Resources Department. Leave donation forms must be approved by the County Manager or his/her designee prior to the transfer of any leave. A maximum leave donation will be established on a case-by-case basis depending on the expected duration of the medical condition and the available leave balance of the requesting employee. Once requests totaling the maximum leave donation have been approved, no further leave donation forms will be accepted. Under no circumstances will an employee be allowed to receive more than ~~1040~~ 480 hours of Voluntary Shared Leave **related to the same qualifying medical event.**

- G. Leave Accounting Procedures - The Human Resources Department will compile a list of approved leave donations and will send a notice to **Payroll and** the requesting employee indicating the number of Voluntary Shared leave hours which have been donated. Following the order in which the donation forms were received, the applicable annual leave will be transferred from the donor's accumulated balance into the recipient's sick leave balance on an as needed basis, **through the bi-weekly payroll process.** Withdrawals from the recipient's leave account shall be charged according to normal sick leave policies and procedures.

If an employee utilizes all Voluntary Shared Leave but finds it necessary to extend the period of absence due to the same condition for which the original request was granted, he/she may make additional requests for Voluntary Shared Leave provided the maximum of 1040 hours was not exceeded with any prior requests. Any additional requests should be submitted in writing to the Human Resources Department which will then follow distribution guidelines as noted in this policy. In the event that an employee is able to return to work earlier than expected, applicable donors will be informed in a timely manner of any unused / unneeded shared leave donations. If a recipient employee separates from the County due to resignation, death, termination or retirement, participation in the shared leave program shall end immediately.

## SECTION 16. FAMILY AND MEDICAL LEAVE

Watauga County shall grant up to twelve (12) weeks (or under certain circumstances twenty-six (26) weeks), paid or unpaid, of family and medical leave to eligible employees in accordance with the Family and Medical Leave Act of 1993 (FMLA) and as amended in 2008 and 2010. Eligible employees are those who have at least twelve (12) months of cumulative service with Watauga County and who ~~and~~ have worked for at least 1250 hours in the preceding twelve (12) months. An eligible employee is limited to a combined total of 26 workweeks of leave for any FMLA-qualifying reason during a twelve-month period.

A. Eligible employees may take up to 12 workweeks of leave during a twelve-month period measured forward from the date an employee's first FMLA leave begins for the following reasons:

1. To care for the employee's child upon birth or upon placement for adoption or foster care provided the leave is taken within a 12-month period following the birth or placement of the child; Husbands and wives employed by Watauga County are limited to a combined total of twelve (12) weeks leave under FMLA for the birth of a child, adoption or placement of a child in foster care;
2. To care for the employee's parent, spouse or child with a serious health condition; or
3. For any qualifying exigency arising out of the fact that the spouse, child, or parent of the employee is on active military duty, or has been notified of an impending call to active duty status, in support of a contingency operation;

A qualifying exigency is defined as any of the following:

- a. Deployment of a service member with seven or fewer days' notice;
  - b. Military ceremonies and events, as well as support, family-assistance or information programs related to a service member's active duty or call to active duty status;
  - c. Providing urgent, immediate childcare or arranging for alternative childcare for the children of service members on or called to active duty;
  - d. Attending school or daycare meetings relating to the child of a service member on or called to active duty;
  - e. Making financial or legal arrangements related to a service member's active duty status or call to active duty; or
  - f. Post-deployment activities for a period of ninety days after the termination of the service member's active duty status.
4. When the employee is unable to work because of the employee's own serious health condition.

A serious health condition means an illness, injury, impairment, or physical or mental condition that involves:

- a. Inpatient care (i.e. an overnight stay) in a hospital, hospice, or residential medical-care facility, including any period of incapacity (i.e. inability to work, attend school, or perform other regular daily activities) or subsequent treatment in connection with such inpatient care;
- b. Continuing treatment by a health care provider, which includes:
  - i. A period of incapacity lasting more than three consecutive, full calendar days, and any subsequent treatment or period of incapacity relating to the same condition, that **also** includes:

- treatment two or more times by or under the supervision of a health care provider (i.e. in-person visits, the first within 7 days and both within 30 days of the first day or incapacity); or
  - one treatment by a health care provider (i.e. an in-person visit within 7 days of the first day of incapacity) with a continuing regimen of treatment (e.g. prescription medication, physical therapy); or
- ii. Any period of incapacity related to pregnancy or for prenatal care. A visit to the health care provider is not necessary for each absence;
  - c. Any period of incapacity or treatment for a chronic serious health condition which continues over an extended period of time, requires periodic visits (at least twice a year) to a health care provider, and may involve occasional episodes of incapacity. A visit to a health care provider is not necessary for each absence;
  - d. A period of incapacity that is permanent or long term due to a condition for which treatment may not be effective. Only supervision by a health care provider is required, rather than active treatment; or
  - e. Any absences to receive multiple treatment for restorative surgery or for a condition that would likely result in a period of incapacity of more than three days if not treated.

If the serious health condition results from an on-the-job injury or illness, the employer shall designate that the employee's twelve (12) week entitlement under the FMLA runs concurrently with workers' compensation leave.

- B. An eligible employee who is the spouse, child, parent or next of kin of a covered service member may take up to 26 workweeks of leave during a twelve-month period, measured forward from the date an employee's first FMLA leave begins, to care for the service member in the event that he/she is recovering from a serious illness or injury sustained in the line of duty on active duty in the Armed Forces. Husbands and wives employed by Watauga County are limited to a combined total of twenty-six (26) weeks leave under FMLA for Servicemember Family Leave.

The request for family and medical leave must be made in advance and **in-person or** in writing by the employee and approved by the Department Head. The Department Head will then forward the request to the Human Resources Department for approval by the County Manager or his/her designee. The employee must provide the County at least 30 days' notice of their intent to take leave unless the FMLA qualifying condition is a sudden one. When the need for leave is unforeseeable, the employee must give notice as soon as practicable, ordinarily within two business days of when the employee learns of the need for leave. Failure to comply with notice requirements may result in delay or denial of leave.

Employees requesting leave shall be required to provide medical certification of the serious health condition; the qualifying exigency; or the serious injury or illness of a covered service member as soon as possible but not later than 15 calendar days from the date the request is made. The certification from the employee's, family member's or service member's physician shall include the date when the condition began, its expected duration, diagnosis, and brief statement of treatment. For the employee's own health condition, it shall state that the employee is unable to perform the essential functions of their position. The County may deny leave to employees who do not provide proper medical certification. The County may require a second or third opinion (at the County's expense) and periodic re-certification of the serious health condition when FMLA is being utilized due to an employee's or family member's serious health condition. Second and third opinions and recertification are not permitted for Servicemember Family Leave or in the event of a qualifying exigency. Watauga County's Human Resources Department may contact the certifying provider to authenticate or clarify a medical certification of a serious injury or illness. In certain circumstances, the County may also contact the individual or entity named in a certification of leave for a qualifying exigency.

Employees taking leave under FMLA are entitled to receive health insurance benefits during the leave at the same level and terms of coverage as if they had been working throughout the leave. If applicable, dependent health coverage premiums will be the responsibility of the employee. An employee failing to return to work, for reasons other than a continued serious health condition or reduction in force, may be required to reimburse the County for payments of the employee's health insurance premiums during the FMLA leave period.

Employees are required to exhaust accrued ~~sick leave~~, comp time, ~~sick leave~~ and vacation leave prior to taking leave without pay. An employee taking leave for the birth of a child may use sick leave for the period of actual disability, based on medical certification. The employee shall then use ~~all comp time then~~ vacation leave for the remainder of the 12-week period before using leave without pay. ~~Comp time should be exhausted prior to using accrued sick or vacation leave.~~

Employees may take FMLA leave intermittently, which means taking leave in blocks of time, or by reducing their normal work schedule whenever medically necessary to care for a seriously ill family member; because the employee is seriously ill and unable to work; or due to the requirements of a qualifying exigency. If an employee is utilizing intermittent leave due to planned medical treatments, the employee must make a reasonable effort to schedule the treatments so as not to disrupt County operations. In addition, employees must notify the County any time an absence occurs for a reason for which FMLA leave was previously taken or certified. Use of intermittent leave for the birth and care of a child, or for placement for adoption or foster care, is subject to the County's approval.

The County may require an employee on FMLA to report periodically on their status, and intent to return to work. The employee must notify their supervisor immediately of the need to request an extension of the leave. An employee who fails to report at the expiration of a leave of absence shall be considered dismissed and so noted in the employee's personnel file, unless an extension has been requested and approved two (2) weeks prior to leave expiration, when reasonably possible. ~~Leave extension requests must be submitted to the Department Head and forwarded, with a recommendation on the approval/denial of the additional leave, to the County Manager for his/her final determination. Standard County practice will be to approve leave extension requests only in situations when the additional leave will promote recovery and the capability of the employee to return to their regular job duties. The general guideline for leave extensions will be no more than two additional weeks of leave, taking into consideration the specific details of each individual situation and the qualifying event necessitating the leave, before a final decision is made, however, the County Manager may, in the exercise of his/her discretion, extend the leave period further.~~ Employees returning to work from family medical leave due to their own serious health condition will be required to obtain medical certification from a health care provider stating they are able to perform the essential functions of their position prior to returning to work.

Watauga County must reinstate an employee returning from FMLA leave to the same or equivalent position with equivalent pay, benefits and other employment terms and conditions provided they are able to perform all of the essential functions of the position. If the employee is unable to perform an essential function of their previous position or of an equivalent position because of a physical or mental condition, the employee has no right to restoration to another position under the FMLA. If the employee's position was eliminated during a reduction in force, the employee may not be afforded reinstatement provided the dismissal would have been affected had the employee not taken a leave of absence.

For more information regarding employee rights under the FMLA, employees may also refer to Appendix E, the U.S. Department of Labor's Employee Rights and Responsibilities Under the FMLA.

## SECTION 17. LEAVE WITHOUT PAY

The Department Head with the approval of the County Manager or his/her designee shall make the decision to grant leave without pay. Factors to be considered are necessity, duration of leave, workload, and other factors in the best interest of the County. Leave without pay may be granted to employees who have exhausted **all** other forms of leave **while on FMLA**, do not qualify for leave under Family and Medical Leave Act of 1993 or to employees for reasons not covered by the Family Medical Leave Act.

### A. Leave Without Pay Procedures

1. Request for leave without pay must be made in writing prior to the anticipated date.
2. Accumulated comp time and vacation leave must be exhausted before leave without pay may be requested.
3. Accumulated sick leave must be exhausted before leave without pay may be granted in cases of illness.

B. Employee Benefits – An employee who requests and is granted leave without pay status shall become ineligible to accrue leave beginning the first pay period in which they are paid less than 75% of their regular work schedule. An employee on leave without pay status for a reason other than Family Medical Leave or Worker's Compensation shall be responsible for the total insurance premium for any insurance programs they may wish to continue after two (2) consecutive pay periods in which they are paid less than 75% of their regular work schedule.

C. Employee Responsibility: - The employee is obligated to return to duty within or at the end of the time determined appropriate by the County Manager or his/her designee. Upon returning to duty after being on leave without pay, the employee shall be entitled to return to the same position held at the time leave was granted or to one of like classification, seniority and pay. If the employee decides not to return to work, the Department Head shall be notified immediately. An employee who fails to report at the expiration of a leave of absence shall be considered dismissed and so noted in the employee's personnel file, unless an extension has been requested and approved two (2) weeks prior to leave expiration, when reasonably possible.

## SECTION 18. WORKERS' COMPENSATION LEAVE

All employees are eligible for Workers' Compensation coverage for any job-related injury. Any employee who is injured on the job must promptly report the injury to their immediate supervisor/Department Head and to **Human Resources** ~~the Personnel Office~~. Failure to do so may result in a claim being denied. For non-emergency injuries treatment should be sought with **FastMed Urgent Care, or the local Urgent Care Facility in Watauga County, as directed by Human Resources.** ~~doctors on the County's Workers' Compensation provider list.~~ For emergencies, treatment must be provided by the Watauga Medical Center's Emergency Department ~~Room~~. **All job-related accidents involving vehicles or equipment; creating damage to County or personal property; or resulting in injury, in which the employee may have contributed to the cause, require an employee drug and alcohol screening to be performed immediately following the incident. Job-related incidents resulting in exposure to potentially infectious materials should report to the Appalachian District Health Department for initial treatment as well as any on-going care required.** An employee having an adverse reaction to a ~~smallpox~~ vaccination received as an **employment condition** of the County will be covered under the County's Workers' Compensation Policy.

When an employee is injured on the job as a result of a compensable accident or occupational illness and loses time from work while seeking medical attention due to the injury, the employee shall not be charged leave for time lost from work on the day of the injury. Employees are expected to return to work unless the treating physician indicates the employee must go home for the day. A written statement must be obtained from the treating physician if the employee cannot return to work. In situations where the employee cannot return to work on the day of the injury, the employee will use **accrued** leave for normal working hours **and must provide a release note from the doctor before returning to duty.**

If the injury results in additional time away from work, the employee will be placed on worker's compensation leave and receive the worker's compensation weekly benefits after the required waiting period. The employee may ~~elect to take use comp time~~, sick or vacation leave during the required waiting period, ~~or may elect to go on worker's compensation leave with no~~ and would not be on leave without pay for the required waiting period, **unless all other accrued leave has been exhausted.** Once an employee begins drawing worker's compensation pay, leave will not be accrued and the employee will not be allowed to receive pay for **comp time**, vacation or sick leave in addition to worker's compensation payments. Insurance already provided by Watauga County to an employee will continue to be provided during the period of worker's compensation leave. Upon reinstatement, an employee's salary will be computed on the basis of the last salary earned plus any cost of living adjustment to which the employee would have been entitled during the disability covered by worker's compensation.

Temporary employees injured on the job will be placed on leave without pay status and will receive all benefits for which they may be adjudged eligible under the Worker's Compensation Act. Refer to Section 14 of this Article for FMLA requirements.

If the serious health condition results from an on-the-job injury or illness, the employer shall designate that the employee's twelve (12) week entitlement under the FMLA runs concurrently with workers' compensation leave.

#### **SECTION 19. MILITARY LEAVE**

Classified employees who are members of the National Guard or Armed Forces Reserve will be allowed fifteen (15) work-days of military training or active duty leave with full pay per calendar year. If such military duty is required beyond this fifteen (15) workday period, the employee shall be eligible to take accumulated vacation leave or be placed in a leave without pay status. On rare occasions due to annual training being scheduled on a federal fiscal year basis, an employee may be required to attend two periods of training in one calendar year. For this purpose, only, an employee shall be granted an additional ten days of military leave during the same calendar year. If such duty is required beyond these ten workdays, the employee shall be eligible to take accumulated vacation leave or be placed in a leave without pay status, and the provisions of that leave shall apply.

An employee on leave without pay will be responsible for all insurance premium payments and dependent coverage. Upon return to work, the employee must re-enroll on the County's insurance coverage. Classified employees who are guardsmen and reservists have all job rights specified in the Veterans' Reemployment Rights law. Also, in accordance with the Veterans' Reemployment Rights Law, reinstated veterans will be paid at their prior salary plus any adjustments made to the Position Classification Plan.

Regular part-time employees shall receive fifteen (15) workdays of military training or active duty leave per calendar year with pay based on their average workday.

Reinstatement Following Military Service – An employee called to extended active duty with the United States military forces, who does not volunteer for service beyond the period for which called, shall be reinstated with full benefits provided the employee:

- A. Applies for reinstatement within ninety days after the release from military service; and
- B. Is able to perform the duties of the former position or similar position; or
- C. Is unable to perform the duties of the former position or a similar position due to disability sustained as a result of military service, but is able to perform the duties of another **available** position in the service of the County. In this case the employee shall be employed in such other position as will provide the nearest approximation of the seniority, status, and pay which the employee otherwise would have been provided, if available.

## **SECTION 20. CIVIL LEAVE**

All County employees called for jury duty or as witnesses in any civil or criminal legal proceeding will be entitled to their regular pay during such duty. When a County employee attends court as a required part of his official duties, no leave is required. Regular employees will continue to accrue benefits and leave while on civil leave.

The employee may keep fees and travel allowances received for jury or witness duty in addition to regular compensation; except, that employees must turn over to the County any witness fees or travel allowance awarded by the court for court appearances in connection with official duties.

## **SECTION 21. EDUCATIONAL LEAVE**

A leave of absence at full or part pay during regular working hours may be granted to an employee upon the recommendation of the supervising Department Head, and with the approval of the County Manager or his/her designee, to permit an employee to take courses of study which will better equip him/her to perform his/her duties.

When a course of study or training program is directly related to an employee's job, the County may assume the costs of tuition, fees and instructional materials for an employee on educational leave. In addition, the County may assume such costs for an employee who is pursuing educational courses related to their job outside of County working hours. The County's assumption of costs will be made only upon the successful completion of the educational course. A passing grade of "C" or better is required for undergraduate work and a passing grade of "B" or better is required for graduate work.

A regular employee may be granted a leave of absence without pay for up to one (1) year pending approval of the County Manager or his/her designee. This leave will be used for continuation of education, or special work that will permit the County to benefit by the experience gained or work performed.

An employee must exhaust comp time and vacation leave before going on leave without pay. While exhausting vacation leave, the employee will continue to be in a leave-earning status, be eligible for any adjustments made to the position classification pay plan, be eligible to take sick leave, be entitled to holidays, and be eligible for merit increases or time-in-service pay increases. An employee will retain all unused sick leave while on leave without pay. Employees on leave without pay shall not earn leave of any kind.

When the employee's vacation leave is exhausted, the employee is responsible for insurance coverage premium payments to continue on the County's group plan. If the employee chooses to discontinue insurance coverage, the employee will be terminated from the policy and be reinstated upon returning to work, **effective the first day of the month following their return**, without an **additional** waiting period. The employee will be subject to the insurance pre-existing clause upon reinstatement.

The employee is obligated to return to duty within or at the end of the leave period. If the employee decides not to return to work, they shall immediately notify their supervisor. Upon returning to work, after being on leave without pay, the employee shall be entitled to return to a comparable position, provided funding is available. An employee who fails to report at the expiration of a leave of absence shall be considered dismissed and so noted in the employee's personnel file, unless an extension has been requested and approved two (2) weeks prior to leave expiration.

## **SECTION 22. BREAKS**

It is the policy of Watauga County that there are no authorized breaks, other than lunch, during the workday. The Board of Commissioners recognizes that certain interruptions in the daily schedule are necessary. It is understood that absences from the workstation shall be allowed only to the extent that they do not interrupt service to the public and the smooth flow of work. Employees and Department Heads will be responsible for service and work flow in their jobs and departments respectively. Evaluation of job performance will be based in part on proper attention to these factors. **Occasional employee breaks of twenty (20) minutes or less are not required to be recorded on employee timesheets, but are not to become a standard part of the employee's regular workday.**



## **ARTICLE VIII: SEPARATION, DISCIPLINARY ACTION AND REINSTATEMENT**

### **SECTION 1. TYPES OF SEPARATION**

All separations of employees from positions with Watauga County will be designated as one of the following: resignation, reduction in force, disability, death, retirement or dismissal.

To the extent possible, all regular employees separating from employment with the County will be asked to participate in an exit interview with Human Resources at the Personnel Office at the time of their separation. Any County property in the employee's possession shall be returned to the County prior to separation.

### **SECTION 2. RESIGNATION**

A minimum of two (2) weeks' notice is expected of all resigning personnel except Department Heads who shall give a notice of thirty (30) days. Such notice shall be given to the Department Head (or in case of Department Heads, to the County Manager or his/her designee, and appropriate governing body if applicable). An employee who fails to provide proper notice may forfeit payment of accrued vacation leave.

Three consecutive days of absence without contacting the immediate supervisor or Department Head is considered to be a voluntary resignation.

Sick leave will only be approved during the final two weeks of a notice with a physician's certification or comparable documentation.

### **SECTION 3. REDUCTION IN FORCE**

In the event that a reduction in force becomes necessary, consideration will be given to the level of each employee's work performance, the need for their service and seniority in determining those employees to be retained. Classified employees who are laid off because of a reduction in force will be given at least a thirty (30) day notice of anticipated lay-off. No regular employee shall be separated while there are temporary, emergency or probationary employees serving in the same class in the department, unless the regular employee is not willing to transfer to the position held by the temporary, emergency or probationary employee or does not possess the required knowledge and skills for the specific position.

### **SECTION 4. REINSTATEMENT**

An employee who resigns while in good standing or who is separated because of a reduction in force may be reinstated within one (1) year of the date of separation with the approval of the County Manager or his/her designee. In the case of Competitive Service Employees, the State Personnel Act shall take precedence.

The salary of a regular employee who has been reinstated within one year after separation from the County is fixed as follows:

- A. When an employee is reinstated to a position in the same salary grade as the one occupied upon separation, their salary shall be at the same salary level paid at the time of separation.

- B. When an employee is reinstated to a position, their salary shall be set at a salary level that most closely corresponds to their salary upon separation. However, their salary shall not be less than that paid at the time of separation, **or the minimum salary of the position grade.**

An employee who is reinstated within one (1) year shall be credited with previously accrued sick leave.

#### **SECTION 5. DISABILITY**

An employee may be separated for disability when the employee cannot perform the required duties because of physical or mental impairment. The employee or the County may initiate action for disability separation, but in all cases, consideration for disability separation shall be supported by medical evidence as certified by a competent physician. The County may require a physical and/or mental examination at its expense and by a physician of its choice. Before an employee is separated for disability, a reasonable effort shall be made to locate alternative positions within the County's services for which the employee may be suited. Watauga County will endeavor to make all reasonable accommodations for disabled individuals in accordance with the Americans with Disabilities Act.

#### **SECTION 6. DEATH**

All compensation due in accordance with Article VII, Section 9 of this policy will be paid to the estate of a deceased employee. The date of death shall be recorded as the separation date for computing compensation due.

#### **SECTION 7. RETIREMENT**

When an employee meets the conditions set forth under the provisions of the North Carolina Local Governmental Employee's Retirement System, they may elect to retire and receive all benefits earned under the retirement plan.

#### **SECTION 8. DISCIPLINARY ACTIONS**

Disciplinary actions include written warnings, suspensions and dismissals and are taken only for cause against employees who violate standards of conduct, abuse attendance or work rules, fail to satisfactorily discharge the duties of their positions, or otherwise engage in serious job performance or personal conduct actions which are inconsistent with efficient public service or which bring discredit upon the County.

Disciplinary action shall be imposed by an employee's Department Head, with the approval of the County Manager or his/her designee, or action may be imposed **directly** by the County Manager or his/her designee. The ~~Personnel Officer~~ **Human Resources Director** shall maintain personnel records of the various types of misconduct or poor work performance that occur and a statement of the disciplinary action taken.

#### A. Types of Actions –

1. Written Warning – A written warning is a formal written reprimand to the employee from the employee's supervisor describing the way in which the employee's personal conduct or work performance has failed to meet prescribed standards. The written warning will specify corrective action, and it will warn the employee that repeated offenses will lead to sterner measures, including possible dismissal. The employee will be informed that a copy of the

written warning will be placed in their personnel file. Upon receiving two written warnings sterner disciplinary actions may be taken up to or including suspension.

2. Suspension – Suspension is the temporary removal of an employee from duty. Suspensions are authorized only with the concurrence of the County Manager or his/her designee. Employees placed on Non-Disciplinary or Disciplinary Suspension shall be given a written summary giving the circumstances and facts leading to the suspension. One copy of the summary shall be delivered to the employee **in-person or** by certified mail and one copy shall be filed in the employee's personnel file.

- a. Non-Disciplinary/Investigatory Suspension (General County Employees) – During a County personnel investigation, or an investigation, hearing or trial of a County employee on any criminal charge, or during the course of a civil action involving an employee, the Department Head, with the approval of the County Manager or his/her designee may suspend the employee with or without pay for the duration of the proceeding as a non-disciplinary action. However, the investigation, hearing, trial, or civil action must involve matters that may form the basis for disciplinary suspension, demotion or dismissal in order for the non-disciplinary suspension to be allowed.

If the suspension is terminated with full reinstatement of the employee one of the following must occur: 1) full recovery of pay and benefits for the period of non-disciplinary/investigatory suspension or 2) reinstatement with no less than three (3) days' pay deducted from their salary based upon management's determination of the degree to which the employee was responsible for, or contributed to the reasons for suspension.

- b. Non-Disciplinary/Investigatory Suspension (Competitive Service Employees) – Investigatory suspension with pay may be used to provide time to investigate, establish facts and reach a decision concerning an employee's status in those cases where it is determined the employee shall not continue to work pending a decision. Investigatory suspension with pay may be appropriately used to also provide time to schedule and conduct the pre-dismissal conference required for competitive service employees. Also, management may elect to use investigatory suspension in order to avoid undue disruption of work or to protect the safety of persons or property. An investigatory suspension with pay shall not exceed thirty (30) calendar days. However, an agency may, in the exercise of its discretion, extend the period of investigatory suspension with pay beyond the thirty (30) day limit. The employee must be informed in writing of the extension, the length of the extension, the specific reasons for the extension and their right of appeal. If no action has been taken by management by the end of the additional thirty (30) calendar days, and no extension has been made, one of the following must occur: (1) reinstatement of the employee; (2) appropriate disciplinary action based on the results of the investigation; or (3) reinstatement of the employee with up to three (3) days deducted from **their** pay. This decision will be based upon management's determination of the degree to which the employee was responsible for, or contributed to the reasons for suspension.

Investigatory suspension of an employee shall not be used for the purpose of delaying an administrative decision on an employee's work status pending the resolution of a civil or criminal court matter involving the employee.

- c. Disciplinary Suspension – Suspension without pay may also be imposed as a disciplinary measure. An employee may be suspended without notice by the Department Head with concurrence of the County Manager or his/her designee for causes related to personal

misconduct in order to avoid undue disruption of work, to protect the safety of persons or property, or for other serious reasons. When a Department Head suspends an employee, they shall tell the employee to leave County property at once and remain away until further notice.

3. Demotion - The reassignment of a classified employee for disciplinary reasons, to a position or classification having a lower salary grade than the employee's current position or classification. Disciplinary demotions are authorized only with the concurrence of the County Manager or his/her designee for disciplinary actions based on work performance. Employees who are demoted shall be given a written summary giving the circumstances and facts leading to the demotion. One copy of the summary shall be delivered to the employee in-person or by certified mail and one copy shall be filed in the employee's personnel file.
  4. Dismissal - Dismissal is the permanent removal of an employee from duty, thereby ending their employment with the County. This is the most severe disciplinary action that may be imposed by the County.
    - a. Dismissal (General County Employees) – All dismissals of General County employees will be preceded by suspension with or without pay for not less than three days pending completion of an investigation by the appointing authority or County Manager or his/her designee. If the appointing authority or County Manager or his/her designee determines that a dismissal action is appropriate, such dismissal will be effective at the end of the suspension period. Prior to dismissal, the employee must be given an opportunity to answer the charges against him/her; however, an employee may be suspended without warning for cause relating to their personal misconduct. If a dismissal is made following the opportunity to answer charges, a written summary giving the circumstances and facts leading to the dismissal shall be prepared. A copy of the summary shall be delivered to the employee by certified mail and one copy shall be filed in the employee's personnel file.
    - b. Dismissal (Competitive Service Employees) – All dismissals of Competitive Service Employees shall be in accordance with Article I and Article II, Personnel Policies for Local Government Employees subject to the State Personnel Act. The County Manager or his/her designee and the ~~Human Resources Personnel~~ Office shall be provided with copies of all documents pertaining to dismissal action. Employees shall also be provided with copies of Article I and Article II, Personnel Policies for Local Government Employees regarding dismissal. Prior to dismissal, the employee must be given an opportunity to answer the charges against him/her. If a dismissal is made following the opportunity to answer charges, a written summary giving the circumstances and facts leading to the dismissal shall be prepared. A copy of the summary shall be delivered to the employee by certified mail and one copy shall be filed in the employee's personnel file.
    - c. Dismissal (Temporary, Non-regular and Probationary Employees) – Temporary, non-regular and probationary employees serve at the pleasure of the County; as such they may be dismissed by the County without cause. Upon recommendation of the Department Head and with the approval of the County Manager or his/her designee, they may be dismissed at any time without further notice and without any hearing or right of appeal.
- B. Disciplinary Actions Based on Personal Misconduct – Disciplinary actions based on personal misconduct shall be imposed only after all evidence is weighed, and the disciplining official shall, in deciding the nature of the action to be taken, consider among other things, the following factors: the seriousness of the misconduct involved; the effect of the misconduct involved; the employee's work

record; the employee's disciplinary record, including but not limited to, the previous occurrence of the same or similar infractions; the employee's knowledge of the rules; and the reasons behind the employee's misconduct.

Some examples of the types of personal misconduct that are cause for disciplinary action are stated below, but these in no way are the only potential misconduct issues that can result in dismissal and does not limit the County in taking necessary action. The examples include but are not limited to:

1. Conduct for which no reasonable person should expect to receive prior warning; or
2. Job-related conduct which constitutes violation of state or federal law; or
3. Improper or unauthorized use or abuse of paid leave.
4. Willful or negligent violation of this manual, policies and procedures, department operating rules or related directives, or other known or written work rules; or
5. Conduct that discredits the employee or County, or willful misrepresentation of the County.
6. Conviction of a felony or misdemeanor, or the entry of a plea of "no contest" to either, the nature of which reflects the possibility of serious consequences related to the continued assignment or employment of the employee.
7. Violation or neglect of safety rules, or contributing to hazardous working conditions.
8. Any act or conduct that is discriminatory in nature toward another person's race, creed, color, national origin, sex (including sexual harassment), age, religious beliefs, political affiliations or handicap (See Article IV, Section 1).
9. Fraud in securing employment.
10. Misuse of County funds or falsification of County records (including timesheets) for personal profit or to grant special privileges, **or unpaid County taxes after remediation options provided.**
11. Violation of the Alcohol and Controlled Substance Abuse Policy, except for medication prescribed to an employee taken within the limits set by a physician so long as medically necessary.
12. Driving under the influence of alcohol or drugs while on duty; suspension of driver's license where job duties require driving.
13. Careless, negligent or improper use of County equipment or property, including removal or private use, or use involving damage or unreasonable risk of damage to property.
14. Unauthorized release of confidential information or official records.
15. Acceptance of gifts in exchange for "favors" or "influence."
16. Violation of political activity restrictions.
17. Discourteous or insubordinate treatment of the public or other County employees or officials.

C. Disciplinary Actions Based on Work Performance – Cause for disciplinary action based on work performance includes, but is not limited to:

1. Inefficiency, incompetence, negligence or insubordination in the performance of duties, including failure to perform assigned tasks or training, or failure to discharge duties in a prompt, courteous and reasonable manner.

2. Refusal or inability to improve job performance in accordance with written or verbal direction after a specified period of time.
3. Refusal to obey reasonable instructions from supervisor and/or Department Head.
4. Unexcused absence without leave; habitual improper use of leave privileges; failure to maintain regular hours or excessive absenteeism.
5. Discourteous or insubordinate treatment of the public or other employees and County officials.
6. Performance of personal work or other outside activities on County time.

D. Disciplinary Procedures – A regular employee whose work performance or personal conduct is unsatisfactory shall be given a written warning by their supervisor. If the unsatisfactory performance continues over a specified period of time, the employee shall be given a second written warning by their supervisor. The employee must be given a statement noting the specific cause for dissatisfaction, what he must do to make his work performance or personal conduct satisfactory, the time limits for such improvements, and the consequences of continued failure to meet work performance or personal conduct requirements. The employee will also be informed that a written statement summarizing the action shall be placed in their personnel file by their immediate supervisor. If the employee fails to meet the work performance or personal conduct requirements within the specified time period, the Department Head, with the approval of the County Manager or his/her designee, may suspend the employee. Continued failure to meet work performance or personal conduct standards may result in the employee being demoted or dismissed by the Department Head, with the approval of the County Manager or his/her designee. Prior to suspension, demotion or dismissal, the employee must be given an opportunity to answer the charges against him/her; however, an employee may be suspended without warning for cause relating to their personal misconduct.

At the time an employee is suspended, demoted or dismissed, he/she shall be given a written statement of the charges or reasons for the action and told that they may appeal the disciplinary action taken against him/her. An employee may be suspended pending an appeal hearing.

E. Disciplinary Actions Toward Department Heads – Disciplinary actions that may result in demotion or dismissal involving Department Heads will be initiated by the County Manager or his/her designee and acted upon by the Board of County Commissioners. Prior to the Board's action, the Department Head will be provided the opportunity to present their case and answer the charges against them. The Board of County Commissioners' decision will be final.

F. Disciplinary Actions Toward Temporary, Non-Regular and Probationary Employees – Temporary, non-regular and probationary employees serve at the pleasure of the County. If such an employee fails to perform satisfactorily, upon recommendation of the Department Head and approval of the County Manager or his/her designee, they shall be demoted or dismissed at any time without further notice and without any hearing or right of appeal.

## ARTICLE IX. GRIEVANCE PROCEDURE

### SECTION 1. POLICY

It is the County's policy to encourage employees to freely discuss problems with their supervisors, Department Heads, or others in positions of authority. A grievance is defined as a claim or complaint based upon an event or condition allegedly caused by misinterpretation, unfair application, or lack of established policy pertaining to employment conditions. Supervisors and employees are encouraged to resolve differences and to seek and provide clarification of policies and procedures before initiating the grievance process.

**No attorney or other legal representatives will be allowed to participate in the grievance procedure.**

A grievance may involve the following issues:

- A. Alleged safety or health hazards;
- B. Unsatisfactory physical facilities, surroundings, materials or equipment;
- C. Unfair or discriminatory supervisory or disciplinary practices;
- D. Unjust treatment by fellow workers;
- E. Any other inequity relating to conditions of employment.

### SECTION 2. COVERAGE

All employees who allege unlawful harassment, violence in the workplace or discrimination based on race, color, religion, age, sex, **gender**, national origin, political affiliation or non-disqualifying condition may file a grievance.

In situations involving separation, disciplinary action, and reinstatement, all regular employees of the County shall have the right to present a grievance in accordance with these procedures except as stated in Article II, Section 2, and Subsection C of this ordinance.

### SECTION 3. PROCEDURE

Every eligible employee shall have the right to present a grievance in accordance with these procedures, free from interference, coercion, restraint, discrimination, penalty or reprisal. Employees shall be allowed up to one (1) hour off from their regular duties to prepare a grievance.

- A. An employee must file a grievance in writing with their immediate supervisor within ten (10) working days of the date of the incident giving rise to the grievance. The immediate supervisor shall meet with the employee within five (5) working days of receipt of the grievance and attempt to resolve the grievance. The immediate supervisor shall issue a written decision on the grievance no later than five (5) working days following the meeting.

If the grievance concerns an appeal of a dismissal, it shall be filed directly with the County Manager or his/her designee who shall request the Personnel Advisory Committee hear the grievance. **If the dismissed employee requests to bypass the Personnel Advisory Committee and have the grievance heard directly by the County Manager, the decision by the County Manger or his designee is final and will end the grievance procedure.**

- B. If the employee is dissatisfied with the decision at Step A, the employee may file the grievance in writing with the Department Head, within five (5) working days of receipt of the immediate supervisor's decision. The Department Head shall meet with the employee within five (5) working days of receipt of the grievance, shall review the decision at Step A, and shall make an independent determination on the merits of the grievance. The Department Head shall issue a written decision no later than five working days following the meeting with the employee.
- C. If the employee is dissatisfied with the decision at Step B, within five (5) working days of receipt of the Department Head's decision, the employee may request a decision directly from the County Manager or his/her designee, or request a hearing before the Personnel Advisory Committee. The Personnel Advisory Committee shall follow the procedures set forth in Section 4 of this article and recommend a decision to the County Manager or his/her designee. The County Manager or his/her designee shall render a written decision within ten (10) working days of receiving the Personnel Advisory Committee's recommendation or after the employee's request for a decision directly from the County Manger or his designee. The decision by the County Manger or his designee is final and will end the grievance procedure.

#### **SECTION 4. PERSONNEL ADVISORY COMMITTEE**

A Personnel Advisory Committee will be established by the Board of County Commissioners, and composed of one County Commissioner, one Department Head and three rank and file employees designated by the Board of County Commissioners, with authority to hear employee grievances and make a recommendation to the appointing authority. **Each Personnel Advisory Committee seat shall also have an alternate member appointed in the case that the primary Committee Member is unable to participate in the grievance process, or has a close working or personal relationship with the grievant, or any type of conflict of interest that would prevent the committee member from being impartial.** An employee or the appointing authority may request a hearing, which shall be transcribed or recorded. The hearing shall be conducted within fifteen (15) working days from the date the hearing is requested, during regular working hours of the County. The Personnel Advisory Committee, the grievant, and any person whose alleged conduct is the cause of the complaint shall have the right to call and cross-examine witnesses and offer other evidence. **The Human Resources Director or his/her designee** ~~chairman of the committee~~ shall conduct the hearing. The Personnel Advisory Committee shall submit its recommendation to the County Manager or his/her designee or other appointing authority within fifteen (15) working days of the hearing.

#### **SECTION 5. FINAL DECISION ON THE GRIEVANCE**

- A. Within ten (10) working days of receipt of the recommendation of the Personnel Advisory Committee, the County Manager or his/her designee, or other appointing authority will inform the employee and Department Head, in writing, of the final decision.
- B. Competitive Service Employees subject to the jurisdiction of the North Carolina State Personnel Commission shall have the right to appeal to the State Personnel Commission through the Office of Administrative Hearings no later than thirty (30) working days after receipt of notice of the appointing authority's decision, provided the employee has obtained permanent status in accordance with the rules and regulations of the State Personnel Commission. The decisions of the State Personnel Commission shall be binding in appeals of local employees subject to the State Personnel Act if the Commission finds that the employee has been subjected to discrimination or in any case where a binding decision is required by applicable federal standards. However, in all other local



employee appeals, the decision of the State Personnel Commission shall be advisory to the local appointing authority.

#### **SECTION 6. MAINTENANCE OF RECORDS**

The **Human Resources Director** ~~Personnel Officer~~ will retain all documentation, records and reports in the employee's personnel file. These records will be subject to review by the grievant, the employee's Department Head, the County Manager or his/her designee or other appointing authority, and the County Commissioners.

#### **SECTION 7. OTHER REMEDIES PRESERVED**

The existence of the grievance procedure does not preclude any individual from pursuing any other remedies available under law.

## **ARTICLE X. EMPLOYEE BENEFITS**

### **SECTION 1. INSURANCE BENEFITS**

The County will pay full premium costs for group term life, vision exam, dental and medical health insurance programs for classified, full-time regular employees.

The County will pay one-half of the premium cost for group term life, vision exam, dental and medical health insurance programs for classified, part-time regular employees who choose to participate. Regular part-time (20-30 hours/week) employees must pay the remaining one-half of the premium cost as a payroll deduction.

Families (dependents and spouses) of the above eligible County employees are offered insurance coverage at group rates at the expense of the employee, upon request and in accordance with provisions of the insurance contract. The premium costs must be paid as a payroll deduction and are deducted one month in advance of insurance effective date.

#### **Retiree Insurance Benefits**

A regular County employee's individual medical, dental and/or vision exam benefits may be extended up to Medicare eligibility age for employees who retire under the provisions of the Local Governmental Employees' Retirement System, either through length of service or disability.

If the retiring employee chooses the coverage, the selection must be made in writing before the employee's actual retirement date. Should additional post-retirement medical insurance coverage be obtained, the County's plan will be the second payee.

Payments are due the first (1<sup>st</sup>) of each month and must be received by the Finance Department no later than the fifteenth (15<sup>th</sup>) of each month. Failure to make payment by the fifteenth (15) of the month may result in cancellation of medical, dental, and/or vision benefits.

#### **Category I**

For employees retiring with a minimum of ten (10) years creditable service in the Local Governmental Employees' Retirement System or the North Carolina Teachers' and State Employees' Retirement System, of which the last five (5) years must be served with Watauga County; the employee may elect to maintain coverage and be responsible for the payment of the premium to the County, or in accordance with provisions of the current insurance contractor. The premium amount for all categories will be the same rate as the County's monthly charge per employee for the group health care plan.

#### **Category II**

For Watauga County Employees retiring with a minimum of twenty (20) years creditable service in the Local Governmental Employees' Retirement System or the North Carolina Teachers' and State Employees' Retirement System, of which the last ten (10) years must be served with Watauga County; the employee may elect to maintain coverage and be

responsible for the payment of the premium less the County supplemental amount to maintain their medical insurance coverage. The County’s monthly supplement amount will be equal to 50% of the per employee premium monthly charge. Dental and vision exam benefits may be retained upon payment of the full premium by the retired employee.

**Category III**

For employees retiring with more than twenty (20) years creditable service in the Local Governmental Employees’ Retirement System or the North Carolina Teachers’ and State Employees’ Retirement System, of which the last (10) years must be served with Watauga County; the employee may elect to maintain coverage and be responsible for the payment less the County supplement amount to maintain their medical insurance coverage. For each added year of service after twenty (20), the County will pay an additional 2.5% of the per employee premium monthly charge up to a maximum payment of 75% of the total monthly premium for 30 years or more of service. **(See table below)** Dental and vision exam benefits may be retained upon payment of the full premium by the retired employee.

Eligible creditable service in the Local Governmental Employees’ Retirement System or the North Carolina Teachers’ and State Employees’ Retirement System does not include periods of service breaks or withdrawn service time.

The retired employee is expected to enroll for Medicare when eligibility by age or length of disability is obtained.

Total Creditable Service Requirement	Watauga County Service Requirement	Amount of County Supplemental Payment
10 but less than 20 years	Not less than 5 years	<b>100% Retiree Responsibility</b>
20 years or more	Not less than 10 years	<b>50% Supplement</b>
21 years	Not less than 10 years	<b>52.5% Supplement</b>
22 years	Not less than 10 years	<b>55% Supplement</b>
23 years	Not less than 10 years	<b>57.5% Supplement</b>
24 years	Not less than 10 years	<b>60% Supplement</b>
25 years	Not less than 10 years	<b>62.5% Supplement</b>
26 years	Not less than 10 years	<b>65% Supplement</b>
27 years	Not less than 10 years	<b>67.5% Supplement</b>
28 years	Not less than 10 years	<b>70% Supplement</b>
29 years	Not less than 10 years	<b>72.5% Supplement</b>
30 years or more	Not less than 10 years	<b>75% Supplement</b>

**Effective 7/1/2024**

**SECTION 2. RETIREMENT BENEFITS**

The County will make retirement benefits available to its regular employees. Such benefits will be made available through the North Carolina Local Governmental Employee’s Retirement System. All regular employees shall be required to join and contribute the required amount (set by the Retirement System) to the Retirement System as a condition of employment.

**SECTION 3. WORKERS' COMPENSATION BENEFITS**

County employees are fully covered by the North Carolina Workers' Compensation Act. Workers' compensation provides coverage to all employees for medical expenses and lost time from work due to work related injuries or illnesses. Any employee who is injured on the job must promptly report this injury to the immediate supervisor, Department Head and **Human Resources** ~~the Personnel Office~~. Failure to do so may result in the appropriate Worker's Compensation report not being filed in accordance with the law, which may jeopardize an employee’s right to benefits in connection with the injury or illness. (See Article VII, Section 16)

**SECTION 4. LONGEVITY COMPENSATION**

Longevity pay is given to regular, full-time and part-time benefited employees with one month or more employment with Watauga County. Years of service are computed as of November 30<sup>th</sup> of the current year and based on total **continuous** creditable service under the North Carolina Local Government Employees' Retirement System and/or Teacher’s and State Employees Retirement System. Eligible Service time **will include continuous years of total creditable service within the NC Retirement Systems, including all legacy service and completed service purchases, only excluding withdrawn service time and breaks in service from the NC Retirement System. with less than one year break in service.** Payroll will process longevity payments based on confirmed total creditable service calculated from pension start dates and include additional service purchased only after the first point of notification by the employee. Longevity compensation is not a guaranteed benefit and will only be made contingent upon approval by the Watauga County Commissioners. Payments will be made as follows:

Length of Service	Amount of Payment
1 month but less than 5 years	\$100.00
5 but less than 10 years	1.0% of Annual Salary
10 but less than 15 years	1.5% of Annual Salary
15 but less than 20 years	2.0% of Annual Salary
20 but less than 25 years	2.5% of Annual Salary
25 years or more	3.0% of Annual Salary

**Effective 07/01/2024**

**SECTION 5. SUPPLEMENTAL RETIREMENT INCOME PLANS AVAILABLE TO LAW ENFORCEMENT OFFICERS**

A. Supplemental Retirement Income Plan

All law enforcement officers automatically become a member of the Supplemental Retirement Income Plan (401K), on the date of hire, provided the officer has the full power of arrest with the primary duty of enforcing criminal laws.

B. Special Separation Allowance

The County provides for a special separation allowance for law enforcement officers, as prescribed by G.S. 128-21 (11b) and 143-166.42 and subject to the following conditions:

1. The officer shall have completed 30 or more years of creditable service, or have attained 55 years of age and complete five or more years of creditable service; and
2. Not have attained 62 years of age;
3. Have completed at least five years of continuous service as a law enforcement officer immediately preceding a service retirement, as defined by G.S. 143-166.41(a)(3) and 143-166.41(b); and
4. The law enforcement officer, after separation from employment with the County, notifies the County of any new employment, including the nature and extent of the employment, or any other change of employment status within five (5) days of the new employment or employment change.

The County shall cease payment of special separation allowance benefits to any retired law enforcement officer receiving benefits upon any of the following:

1. The recipient's death;
2. The last day of the month during which the recipient attains 62 year of age; or
3. the recipient's first day of employment as a sworn law enforcement officer with any subsequent federal, state, or local governmental employer, provided that the retiree's subsequent employer participates in a government-sponsored retirement system, including without limitation any of the following:
  - a. North Carolina State Teachers' and State Employees' Retirement System;
  - b. North Carolina Local Government Employees' Retirement System;
  - c. Federal Employees' Retirement System;
  - d. Civil Service Retirement System;
  - e. Any other state or local governmental retirement system outside the State of North Carolina;
  - or
  - f. Foreign governmental retirement systems.

The sole exception to this policy shall be if the recipient returns to work for Watauga County as a part-time, temporary law enforcement officer at the hourly pay rate established in the part-time pay and classification system.

After termination of special separation allowance payments under this Ordinance, such benefits shall not reinitiate upon a change in circumstances of the retired employee.

#### **SECTION 6. OTHER FLEXIBLE BENEFITS**

Additional deductions and benefits may be allowed at the option of the employer. Such deductions and benefits may include, but are not limited to: various supplemental medical insurance policies, term life insurance, retirement death benefit, short and long-term disability, local government credit union, direct deposit, payroll savings, deferred compensation plans, longevity compensation, and the Employee Assistance Program.

## **ARTICLE XI. PERSONNEL RECORDS AND REPORTS**

### **SECTION 1. PERSONNEL RECORDS MAINTENANCE**

The ~~Human Resources Office Personnel-office~~ will maintain such personnel records as are necessary for the proper administration of the personnel system. Only information that is relevant for personnel administration shall be maintained in County personnel records.

### **SECTION 2. INFORMATION OPEN TO THE PUBLIC**

The following information on each County employee is public information:

- A. Name;
- B. Age;
- C. Date of original employment or appointment to County services;
- D. Current position title;
- E. Current salary;
- F. Date and amount of most recent change in salary;
- G. Date of most recent promotion, demotion, transfer, suspension, separation, or other change in position classification; and
- H. Office to which the employee is currently assigned.

### **SECTION 3. ACCESS TO PERSONNEL RECORDS**

As required by G.S. 153A-98, any person may have access to the information listed in Section Two (2) of this article, for the purpose of inspection, examination, and copying, during the regular business hours, subject only to such rules and regulations for the safekeeping of public records as the Board of Commissioners may adopt. Upon request, records of disclosure shall be made available to the employee to whom it pertains.

### **SECTION 4. CONFIDENTIAL INFORMATION**

All information contained in a County employee's personnel file, other than the information listed in Section Two (2) of this Article, will be maintained as confidential in accordance with the requirement of G.S. 153A-98 and shall be open to public inspection only in the following instances:

- A. The employee or their duly authorized agent may examine all portions of their personnel file, except,
  - 1. letters of reference solicited prior to employment, and
  - 2. information concerning a medical disability, mental or physical, that a prudent physician would not divulge to his patient.
- B. A licensed physician designated in writing by the employee may examine the employee's medical record.

- C. A County employee having supervisory authority over another employee may examine all material in the employee's personnel file.
- D. By order of a court of competent jurisdiction, any person may examine all material in the employee's personnel file.
- E. An official of any agency of the State or Federal government, or any political subdivision of the State, may inspect any portion of a personnel file when such information is deemed by the County Manager or his/her designee to be necessary and essential to the pursuance of a proper function of the inspecting agency, but no information shall be divulged for the purpose of assisting in a criminal prosecution of the employee or for the purpose of assisting in an investigation of the employee's tax liability.
- F. Each individual requesting access to confidential information will be required to submit satisfactory proof of identity.

#### **SECTION 5. RECORDS OF FORMER EMPLOYEES**

The provisions for access to records apply to former employees as they apply to present employees. It is the County's policy to give only name, salary and dates of employment for reference checks.

#### **SECTION 6. REMEDIES OF EMPLOYEES OBJECTING TO MATERIAL IN FILE**

An employee who objects to material in their file may place in their file a statement relating to the material they consider to be inaccurate or misleading. The employee may seek the removal of such material in accordance with established grievance procedure.

#### **SECTION 7. PENALTY FOR PERMITTING ACCESS TO CONFIDENTIAL FILE BY UNAUTHORIZED PERSONS**

G.S. 153A-98 provides that any public official or employee who knowingly and willfully permits any person to have access to any confidential information contained in an employee personnel file, except as expressly authorized by the designated custodian, is guilty of a misdemeanor and upon conviction shall be fined an amount not to exceed five (5) hundred dollars (\$500.00).

#### **SECTION 8. PENALTY FOR EXAMINING AND/OR COPYING CONFIDENTIAL MATERIAL WITHOUT AUTHORIZATION**

G.S. 153A-98 provides that any person, not specifically authorized to have access to a personnel file designated as confidential, who shall knowingly and willfully examine in its official filing place, remove or copy any portion of a confidential personnel file shall be guilty of a misdemeanor and upon conviction shall be fined in the discretion of the court, but not in excess of five hundred dollars (\$500.00).

#### **SECTION 9. DESTRUCTION OF RECORDS REGULATED**

No public official may destroy, sell, loan, or otherwise dispose of any public record, except in accordance with G.S. 121-5, without the consent of the State Department of Cultural Resources. Whoever unlawfully



removes a public record from the office where it is usually kept, or whoever alters, defaces, mutilates or destroys it, will be guilty of a misdemeanor and upon conviction shall be fined not less than ten dollars (\$10.00), nor more than five hundred dollars (\$500.00) as provided in G.S. 132-3.

**APPENDIX A: WATAUGA COUNTY POSITION CLASSIFICATION PAY PLAN**

(Include copy of most recent approved version of the Position Classification Pay Plan on record with any official copy of the Watauga County Personnel Ordinance requested)

**APPENDIX B: OBSERVED BEHAVIOR - REASONABLE SUSPICION**

**Employee:**

Name: \_\_\_\_\_

**Observation:**

Date: \_\_\_\_\_ Time: \_\_\_\_\_ Location: \_\_\_\_\_

**1. PRESENCE OF CONTROLLED SUBSTANCES OR CONTROLLED SUBSTANCE PARAPHERNALIA (Specify):** \_\_\_\_\_

- APPEARANCE:**
- |                                                     |                                                              |                                             |
|-----------------------------------------------------|--------------------------------------------------------------|---------------------------------------------|
| <input type="checkbox"/> Normal                     | <input type="checkbox"/> Flushed                             | <input type="checkbox"/> Puncture Marks     |
| <input type="checkbox"/> Disheveled                 | <input type="checkbox"/> Bloodshot Eyes                      | <input type="checkbox"/> Tremors            |
| <input type="checkbox"/> Profuse Sweating           | <input type="checkbox"/> Runny Nose/Sores                    | <input type="checkbox"/> Dry Mouth Symptoms |
| <input type="checkbox"/> Pupils Dilated/Constricted | <input type="checkbox"/> Inappropriate Wearing of Sunglasses |                                             |
| <input type="checkbox"/> Other: _____               |                                                              |                                             |

**3. BEHAVIOR:**

- Speech:
- |                                       |                                     |                                     |                                 |
|---------------------------------------|-------------------------------------|-------------------------------------|---------------------------------|
| <input type="checkbox"/> Normal       | <input type="checkbox"/> Incoherent | <input type="checkbox"/> Slurred    | <input type="checkbox"/> Silent |
| <input type="checkbox"/> Confused     | <input type="checkbox"/> Slowed     | <input type="checkbox"/> Whispering |                                 |
| <input type="checkbox"/> Other: _____ |                                     |                                     |                                 |

- Awareness:
- |                                               |                                   |                                      |                                   |
|-----------------------------------------------|-----------------------------------|--------------------------------------|-----------------------------------|
| <input type="checkbox"/> Normal               | <input type="checkbox"/> Confused | <input type="checkbox"/> Mood Swings | <input type="checkbox"/> Euphoria |
| <input type="checkbox"/> Lethargic            | <input type="checkbox"/> Paranoid | <input type="checkbox"/> Disoriented |                                   |
| <input type="checkbox"/> Lack of Coordination |                                   |                                      |                                   |
| <input type="checkbox"/> Other: _____         |                                   |                                      |                                   |

**4. MOTOR SKILLS:**

- Balance:
- |                                       |                                  |                                  |                                     |
|---------------------------------------|----------------------------------|----------------------------------|-------------------------------------|
| <input type="checkbox"/> Normal       | <input type="checkbox"/> Swaying | <input type="checkbox"/> Falling | <input type="checkbox"/> Staggering |
| <input type="checkbox"/> Other: _____ |                                  |                                  |                                     |

- Walking & Turning:
- |                                       |                                  |                                                  |
|---------------------------------------|----------------------------------|--------------------------------------------------|
| <input type="checkbox"/> Normal       | <input type="checkbox"/> Swaying | <input type="checkbox"/> Arms Raised for Balance |
| <input type="checkbox"/> Stumbling    | <input type="checkbox"/> Falling | <input type="checkbox"/> Reaching for Support    |
| <input type="checkbox"/> Other: _____ |                                  |                                                  |

**OTHER OBSERVED ACTIONS or BEHAVIOR (Specify):**

\_\_\_\_\_  
\_\_\_\_\_

**Witnessed By:**

\_\_\_\_\_ am/pm  
(Signature) (Title) (Date) (Time)

\_\_\_\_\_ am/pm  
(Signature) (Title) (Date) (Time)

This document must be prepared and signed by the witnesses within 24 hours of the observed behavior or before the results of the test are released, whichever is earlier. - 49 CFR 391.99(d)

Return original to **Human Resources Personnel** Department

**APPENDIX C: AUTHORIZATION FOR USE AND DISCLOSURE OF PROTECTED HEALTH INFORMATION**

**New River Behavioral Healthcare**

This form implements the requirements for client authorization to use and disclose health information protected by the federal health privacy law (45 C.F.R. parts 160, 164), the federal drug and alcohol confidentiality law (42CFR, part 2) and state confidentiality law governing mental health, developmental disabilities, and substance abuse services (GS 122C)

Client Name: \_\_\_\_\_ Client ID: \_\_\_\_\_ Date of Birth: \_\_\_\_\_

I hereby authorize: Watauga County

To  Disclose and/or  Share Protected Health Information with \_\_\_\_\_

The following protected information: \_\_\_\_\_  
(Provide a specific and meaningful description of the information to be used or disclosed)

The Purpose of & Disclosure: \_\_\_\_\_

**REDISCLASURE**

Once information is disclosed pursuant to this signed authorization, I understand that the federal health privacy law (45 C.F.R. Part 164) protecting health information may not apply to the recipient of the information and, therefore, may not prohibit the recipient from redisclosing it. Other laws, however, may prohibit redisclosure. When this agency discloses mental health and developmental disabilities information protected by state law (G.S. 122C) or substance abuse treatment information protected by federal law (42 C.F.R. Part 2), it must inform the recipient of the information that redisclosure is prohibited except as permitted or required by these two laws. New River Behavioral HealthCare's Notice of Privacy Practices describes the circumstances when disclosure is permitted or required by these laws.

**EXPIRATION AND REVOCATION**

I understand that, with certain exceptions, I have the right to revoke this authorization anytime. [If I want to revoke this authorization, I must do so in writing.] The procedure for how I may revoke this authorization, as well as the exceptions to my right to revoke, are explained in New River Behavioral HealthCare's Notice of Privacy Practices, a copy has been provided to me.

If not revoked earlier, this authorization expires automatically upon \_\_\_\_\_ or one year from the date it is signed, which ever is earlier.

**NOTICE OF VOLUNTARINESS**

I understand that I may refuse to sign this authorization form. If I choose not to sign this form, I understand that New River Behavioral HealthCare cannot deny or refuse to provide treatment, payment enrollment in a health plan, or eligibility for benefits due to my refusal to sign. A readable photocopy or fax of this authorization shall have the same force and effect as this original.

**SIGNATURES**

Signature of Client or Legally Responsible Person: \_\_\_\_\_ Date: \_\_\_\_\_

Specify Relationship to Consumer and Print Name in Full: \_\_\_\_\_

Additional Signature of Child or Parent, if needed: \_\_\_\_\_

Witness (optional): \_\_\_\_\_ Date: \_\_\_\_\_

Copy given to Consumer  Consumer declined copy

New River Behavioral Healthcare reserves the right to revise, amend, supplement, and/or rescind this form, or portion thereof as it deems appropriate, in its sole and absolute discretion.

AP-CR-09-24-03

Return original to Personnel Department

**APPENDIX D: WATAUGA COUNTY REINSTATEMENT AGREEMENT**

I acknowledge my history as a substance abuser. I recognize my obligation to meet the requirements of the County of Watauga to maintain eligibility for employment. Therefore, I agree to abstain from further substance abuse. Further, I agree, when requested by County officials to submit to random drug tests for a period of at least five years from: \_\_\_\_\_ the date of my reinstatement

I understand that refusal, failure to cooperate with the specimen collection, or a positive finding on a test shall be cause for immediate discharge from employment.

I understand and agree to the above terms and conditions of reinstatement and continued employment.

Employee: \_\_\_\_\_  
(Please print)

~~Social Security Number: \_\_\_\_\_~~

Employee Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Return original to ~~Human Resources~~ **Personnel Office**

## **~~APPENDIX E: EMPLOYEE RIGHTS AND RESPONSIBILITIES UNDER FMLA~~**

### **~~EMPLOYEE RIGHTS AND RESPONSIBILITIES UNDER THE FAMILY AND MEDICAL LEAVE ACT~~**

#### **Basic Leave Entitlement**

FMLA requires covered employers to provide up to 12 weeks of unpaid, job-protected leave to eligible employees for the following reasons:

- For incapacity due to pregnancy, prenatal medical care or child birth;
- To care for the employee's child after birth, or placement for adoption or foster care;
- To care for the employee's spouse, son or daughter, or parent, who has a serious health condition; or
- For a serious health condition that makes the employee unable to perform the employee's job.

#### **Military Family Leave Entitlements**

Eligible employees with a spouse, son, daughter, or parent on active duty or call to active duty status in the National Guard or Reserves in support of a contingency operation may use their 12-week leave entitlement to address certain qualifying exigencies. Qualifying exigencies may include attending certain military events, arranging for alternative childcare, addressing certain financial and legal arrangements, attending certain counseling sessions, and attending post-deployment reintegration briefings.

FMLA also includes a special leave entitlement that permits eligible employees to take up to 26 weeks of leave to care for a covered servicemember during a single 12-month period. A covered servicemember is a current member of the Armed Forces, including a member of the National Guard or Reserves, who has a serious injury or illness incurred in the line of duty on active duty that may render the servicemember medically unfit to perform his or her duties for which the servicemember is undergoing medical treatment, recuperation, or therapy; or is in outpatient status; or is on the temporary disability retired list.

#### **Benefits and Protections**

During FMLA leave, the employer must maintain the employee's health coverage under any "group health plan" on the same terms as if the employee had continued to work. Upon return from FMLA leave, most employees must be restored to their original or equivalent positions with equivalent pay, benefits, and other employment terms.

Use of FMLA leave cannot result in the loss of any employment benefit that accrued prior to the start of an employee's leave.

#### **Eligibility Requirements**

Employees are eligible if they have worked for a covered employer for at least one year, for 1,250 hours over the previous 12 months, and if at least 50 employees are employed by the employer within 75 miles.

#### **Definition of Serious Health Condition**

A serious health condition is an illness, injury, impairment, or physical or mental condition that involves either an overnight stay in a medical care facility, or continuing treatment by a health care provider for a condition that either prevents the employee from performing the functions of the employee's job, or prevents the qualified family member from participating in school or other daily activities.

Subject to certain conditions, the continuing treatment requirement may be met by a period of incapacity of more than 3 consecutive calendar days combined with at least two visits to a health care provider or one visit and a regimen of continuing treatment, or incapacity due to pregnancy, or incapacity due to a chronic condition. Other conditions may meet the definition of continuing treatment.

#### **Use of Leave**

An employee does not need to use this leave entitlement in one block. Leave can be taken intermittently or

~~on a reduced leave schedule when medically necessary. Employees must make reasonable efforts to schedule leave for planned medical treatment so as not to unduly disrupt the employer's operations. Leave due to qualifying exigencies may also be taken on an intermittent basis.~~

#### **Substitution of Paid Leave for Unpaid Leave**

~~Employees may choose or employers may require use of accrued paid leave while taking FMLA leave. In order to use paid leave for FMLA leave, employees must comply with the employer's normal paid leave policies.~~

#### **Employee Responsibilities**

~~Employees must provide 30 days advance notice of the need to take FMLA leave when the need is foreseeable. When 30 days notice is not possible, the employee must provide notice as soon as practicable and generally must comply with an employer's normal call-in procedures.~~

~~Employees must provide sufficient information for the employer to determine if the leave may qualify for FMLA protection and the anticipated timing and duration of the leave. Sufficient information may include that the employee is unable to perform job functions, the family member is unable to perform daily activities, the need for hospitalization or continuing treatment by a health care provider, or circumstances supporting the need for military family leave. Employees also must inform the employer if the requested leave is for a reason for which FMLA leave was previously taken or certified. Employees also may be required to provide a certification and periodic recertification supporting the need for leave.~~

#### **Employer Responsibilities**

~~Covered employers must inform employees requesting leave whether they are eligible under FMLA. If they are, the notice must specify any additional information required as well as the employees' rights and responsibilities. If they are not eligible, the employer must provide a reason for the ineligibility.~~

~~Covered employers must inform employees if leave will be designated as FMLA protected and the amount of leave counted against the employee's leave entitlement. If the employer determines that the leave is not FMLA protected, the employer must notify the employee.~~

#### **Unlawful Acts by Employers**

~~FMLA makes it unlawful for any employer to:~~

- ~~• Interfere with, restrain, or deny the exercise of any right provided under FMLA;~~
- ~~• Discharge or discriminate against any person for opposing any practice made unlawful by FMLA or for involvement in any proceeding under or relating to FMLA.~~

#### **Enforcement**

~~An employee may file a complaint with the U.S. Department of Labor or may bring a private lawsuit against an employer.~~

~~FMLA does not affect any Federal or State law prohibiting discrimination, or supersede any State or local law or collective bargaining agreement which provides greater family or medical leave rights.~~

~~**FMLA section 109 (29 U.S.C. § 2619) requires FMLA covered employers to post the text of this notice. Regulations 29 C.F.R. § 825.300(a) may require additional disclosures.**~~

ADOPTED this the \_\_\_\_\_ day of October, 2024.

BOARD OF COMMISSIONERS FOR THE COUNTY OF WATAUGA

by: \_\_\_\_\_  
Larry Turnbow, Chairman

ATTEST:

\_\_\_\_\_  
Anita J. Fogle, Clerk to the Board

[SEAL]



**AGENDA ITEM 6:**

**NORTH CAROLINA DEPARTMENT OF JUSTICE PRESENTATION**

**MANAGER'S COMMENTS:**

Ms. Holly Jones, NCDOJ, will provide information regarding the North Carolina Department of Justice. The presentation is for information only; therefore, no action is required at this time.



# PROTECTING THE PEOPLE OF NORTH CAROLINA: An Update for Watauga County

Holly Jones

Public Protection Section

NORTH CAROLINA DEPARTMENT OF JUSTICE



Attorney General  
**Josh Stein**

# NCDOJ Mission

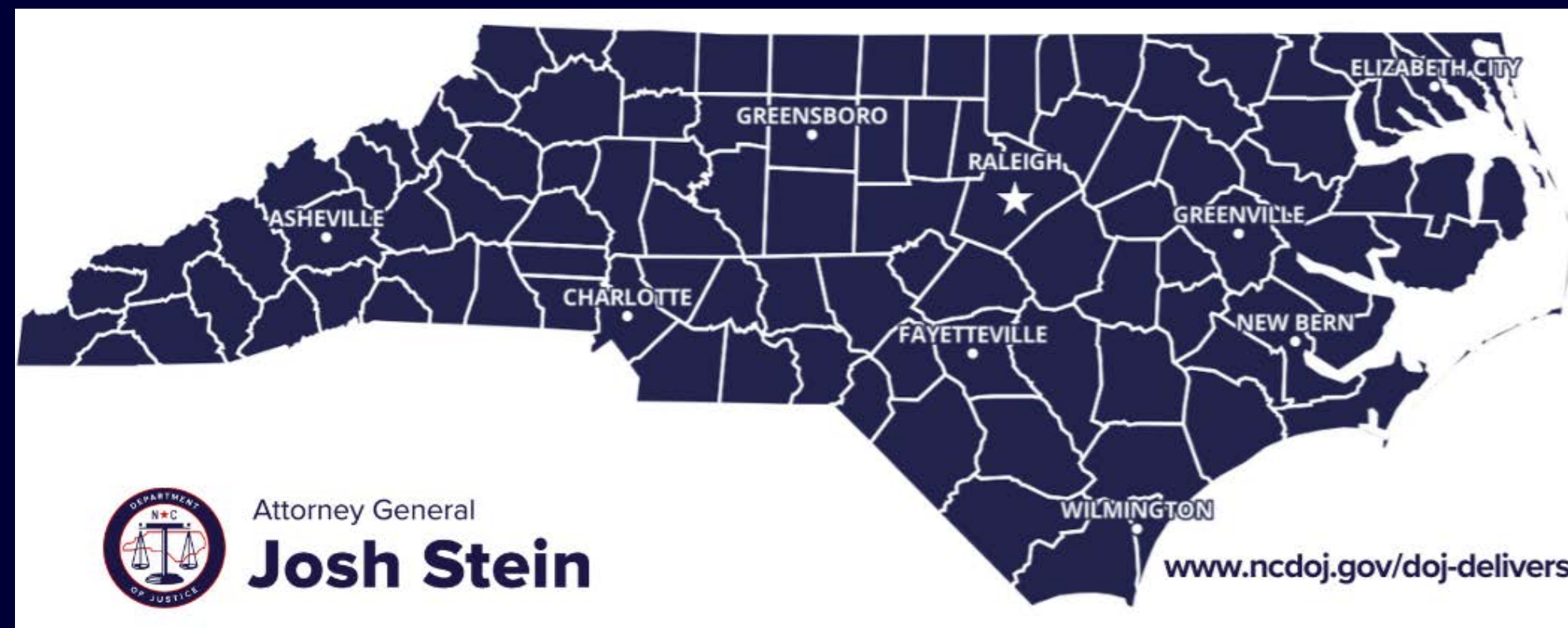
The North Carolina Department of Justice protects the people of North Carolina through its work

- To prevent crime and support law enforcement,
- To safeguard consumers, and
- To defend the State, its people, and their constitutional rights.



# DOJ Delivers

The North Carolina Department of Justice (NCDOJ) delivers for North Carolinians in all 100 counties, working every day to keep people safe, support law enforcement, and protect consumers and families.



# NCDOJ Update

## Funds Won – Watauga County

- Opioid settlement: \$ 5.5 Million
- Funds won for Consumer:\$ 95,600
- Medicaid fraud: \$ 688,100
- Taxpayer funds won and grants: \$ 47,000
- Total Funds returned: \$ 6.3 Million



# NCDOJ Update

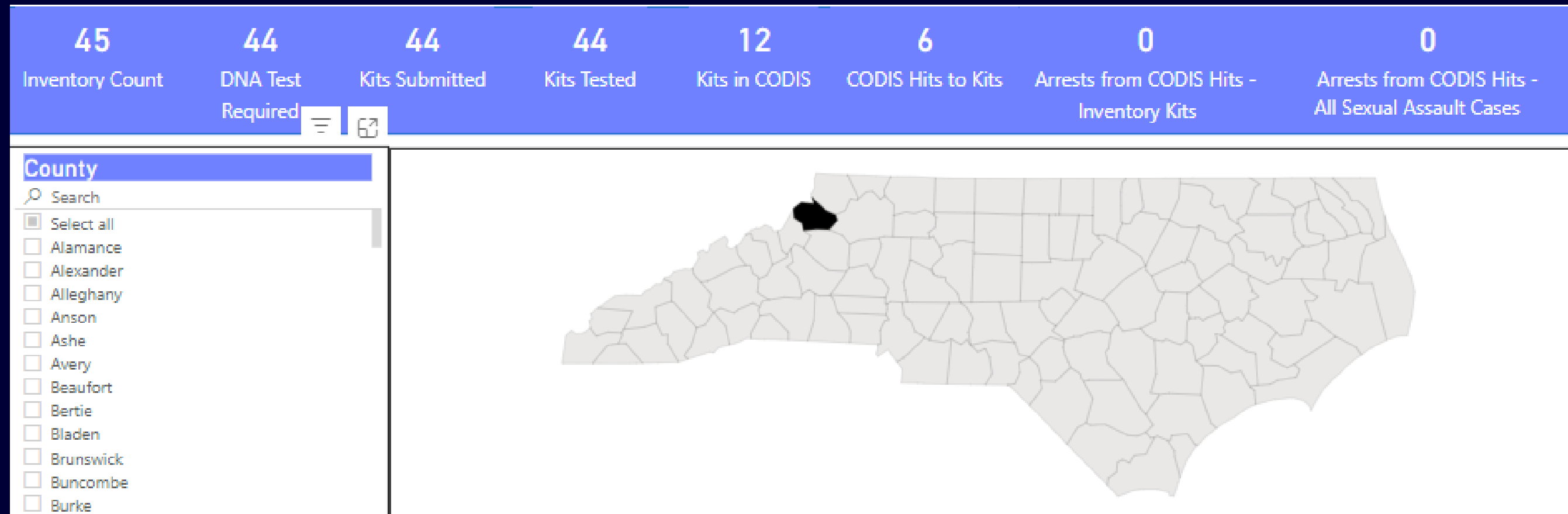
## Law Enforcement – Watauga County

- Crime lab evidence submitted: 1690
- Law enforcement certifications: 302



# NCDOJ Update Sexual Assault Kit Backlog

## Sexual Assault Kits Tested



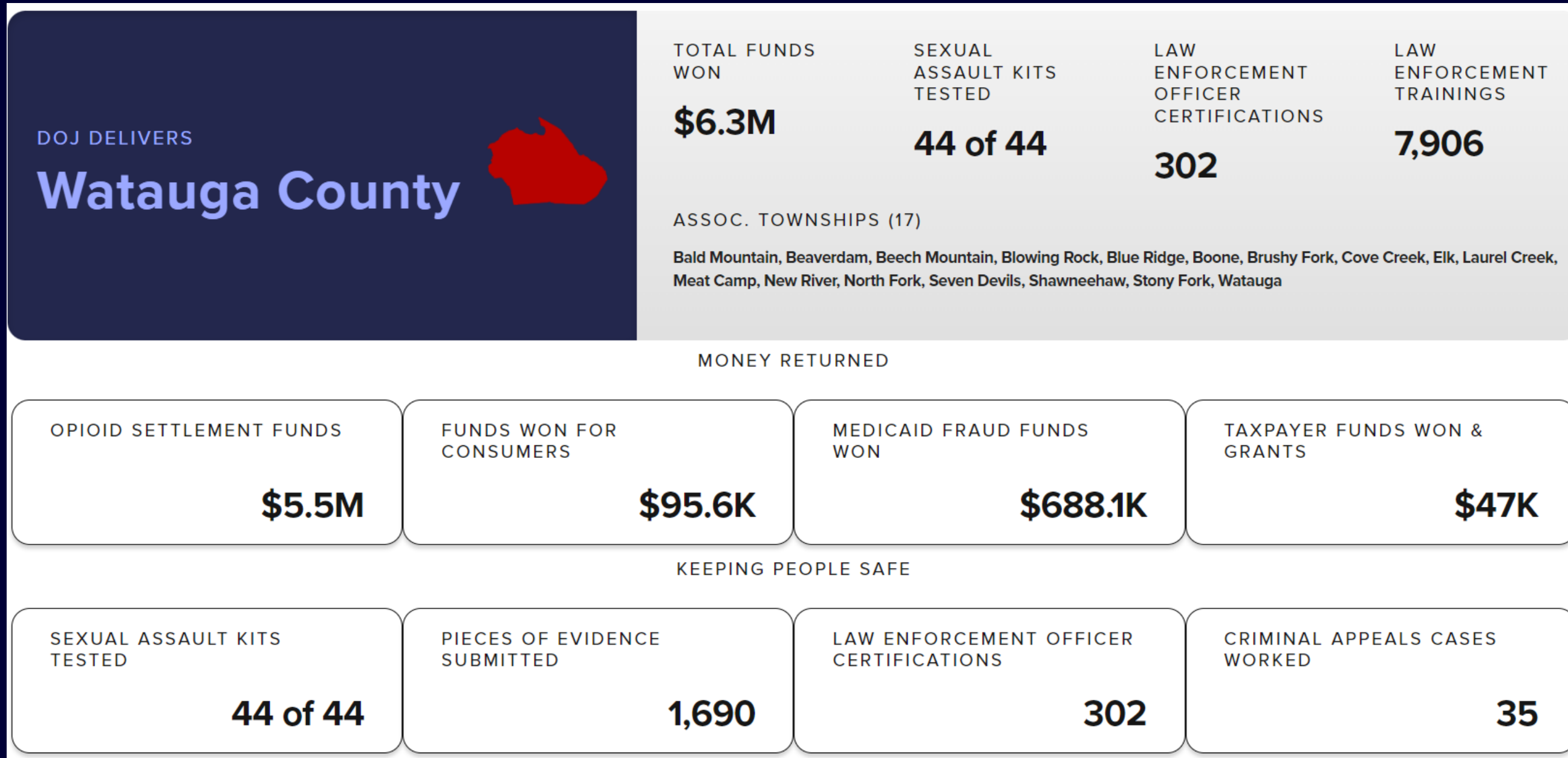
Watauga Sheriff (25), ASU Police (11); Boone PD (9)

[ncdoj.gov/testthekits](http://ncdoj.gov/testthekits)





# How DOJ Delivers for You



# NCDOJ Wins

## 1. Juul

- \$40 million for NC and commitments to modify their practices regarding young people

## 2. Dish Network

- \$14 million settlement for illegal robocalls in NC; money to public schools

## 3. Duke Energy

- Coal Ash Cleanup saving NC customers \$1.1 billion



# NCDOJ at Work For Citizens

- HCA
- Meta
- Duke Energy



# Watauga County

- NC DOJ Dogwood Awards
- Funds for conservation, including South Fork confluence

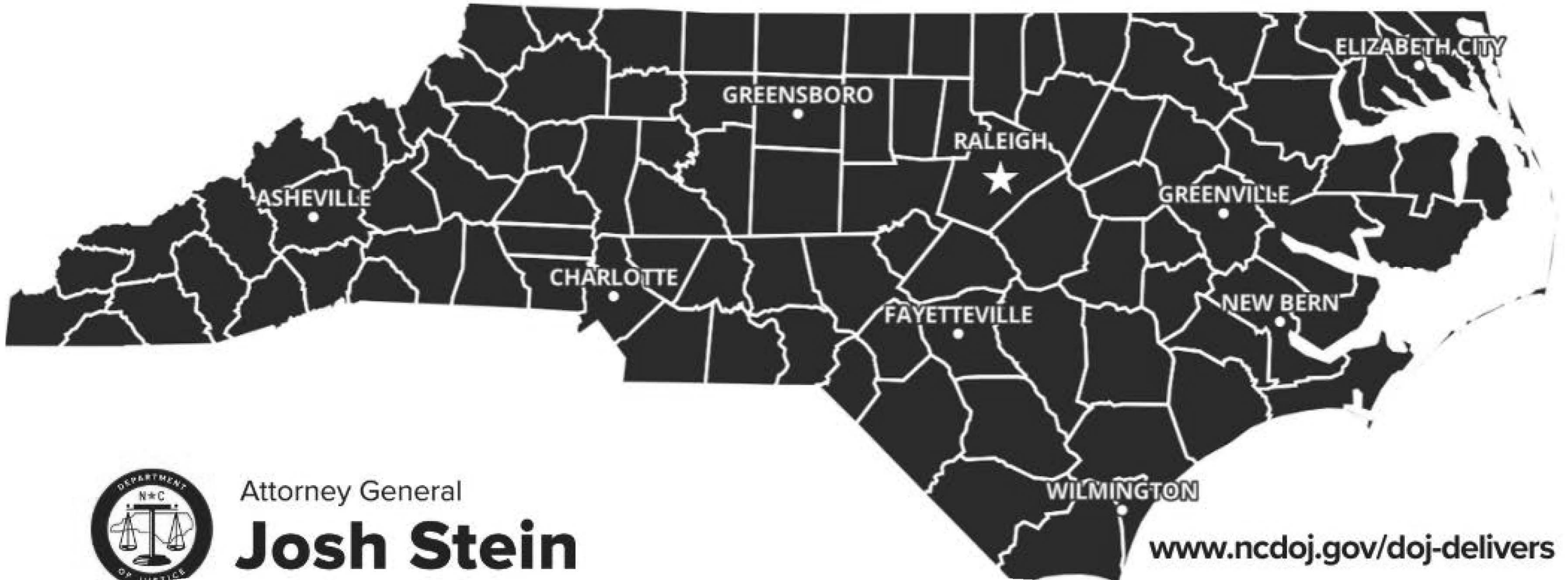


# Opportunities to Work with NCDNJ

- Lethality Assessment Program
- Criminal Justice Fellows Program
- Educational Outreach to Public
  - Internet Safety, Scams and Frauds
  - Protecting the Next Generation of North Carolinians Webinar Series
  - Social Media Town Hall Series



# DOJ Delivers



Attorney General  
**Josh Stein**

[www.ncdoj.gov/doj-delivers](http://www.ncdoj.gov/doj-delivers)

# Contact Us

- **NCDOJ:**
  - [ncdoj.gov](http://ncdoj.gov)
  - Call: 919-716-6400
- **Medicaid Investigations Division:**
  - [ncdoj.gov/MID](http://ncdoj.gov/MID)
  - To report Medicaid provider fraud or patient abuse: 919-881-2320
- **Public Protection Section:**
  - [ncdoj.gov/public-protection](http://ncdoj.gov/public-protection)
  - To request a presentation: [ncdoj.gov/outreach](http://ncdoj.gov/outreach)
  - Call: 919-716-6780
- **Consumer Protection Division:**
  - [ncdoj.gov/protecting-consumers](http://ncdoj.gov/protecting-consumers)
  - To file a Consumer Complaint: [ncdoj.gov/complaint](http://ncdoj.gov/complaint)
  - Call: 1-877-NO-SCAM (566-7226) ~En Espanol 919-716-0058



# To Learn More

- **NCDOJ Criminal Division:**
  - [ncdoj.gov/responding-to-crime](https://ncdoj.gov/responding-to-crime)
- **State Crime Laboratory:**
  - [ncdoj.gov/crime-lab](https://ncdoj.gov/crime-lab)
- **Sheriffs' and Criminal Justice Education & Training Standards Commissions:**
  - [ncdoj.gov/law-enforcement-training](https://ncdoj.gov/law-enforcement-training)
- **Justice Academy:**
  - [ncdoj.gov/ncja](https://ncdoj.gov/ncja)
- **Civil Rights Unit:**
  - [ncdoj.gov/cru](https://ncdoj.gov/cru)
- **Environmental Division:**
  - [ncdoj.gov/protecting-the-environment/](https://ncdoj.gov/protecting-the-environment/)
  - To apply for an EEG: [ncdoj.gov/eeg](https://ncdoj.gov/eeg)





**AGENDA ITEM 7:**

**VAYA UPDATE**

**MANAGER'S COMMENTS:**

Mr. Dustin Burleson, VAYA, will provide an update to the Board regarding VAYA. The report is for information only; therefore, no action is required at this time.



# Watauga County Board of Commissioners

Dustin Burleson

Community Relations Regional Director

Summer 2024

# Child and Families Specialty Plan (CFSP) Announcement

- On 8/15/2024 NC Department of Health & Human Services (DHHS) announced that Blue Cross Blue Shield of NC was awarded the CFSP
- This means there will be one statewide NC Medicaid Managed Care plan that will be responsible for the whole person care of Medicaid-enrolled children, youth and their families in the child welfare system.
- The plan will also be available to the parents and family members of children and youth served by the child welfare system, including those receiving Child Protective Services (CPS) In-Home Services.
- Even though Vaya was not awarded the CFSP, the CFSP design is based on Vaya's own successful embedded care management strategy that has benefitted many children and families in our catchment area.
- We'll continue to partner with counties, DSS offices, and other local stakeholders to support the child welfare population until the transition to the Department's selected entity is complete.
- We'll remain true to our mission and values supporting members, families, and communities
- Timing: Earliest *possible* date is July 1, 2025. Change likely.
- Vaya will keep you updated

**July 1 Launch**

**What is a Tailored Plan and  
Vaya Total Care?**

# What is a Tailored Plan?

**North Carolina must move NC Medicaid to managed care.**  
**The launch of Standard Plans was the first step. Tailored Plans began July 1, 2024.**  
**Tailored Plans cover the same services that you get from NC Medicaid Direct.**



## Tailored Plans are designed to put you first

That means looking at you as a whole person, all of you!



## All your health needs met in one plan

With a Tailored Plan, your physical, mental, severe substance use, intellectual/developmental disability or traumatic brain injury needs are not separate.



## Support from Tailored Care Managers

Tailored Care Managers help you get the medical or specialized care you need. They can help schedule your medical appointments, arrange transportation, and more.

# What is a Tailored Plan?

Tailored Plans are a new kind of NC Medicaid Managed Care health plan. They cover mental health, severe substance use, I/DD, TBI and prescriptions in one plan.

If NC Members had Medicaid Direct services for these needs, NC Medicaid plan may be moved to a Tailored Plan. The name is changing, but the services are not.



Serious  
Mental Illness (SMI)



Severe Substance  
Use Disorders (SUD)

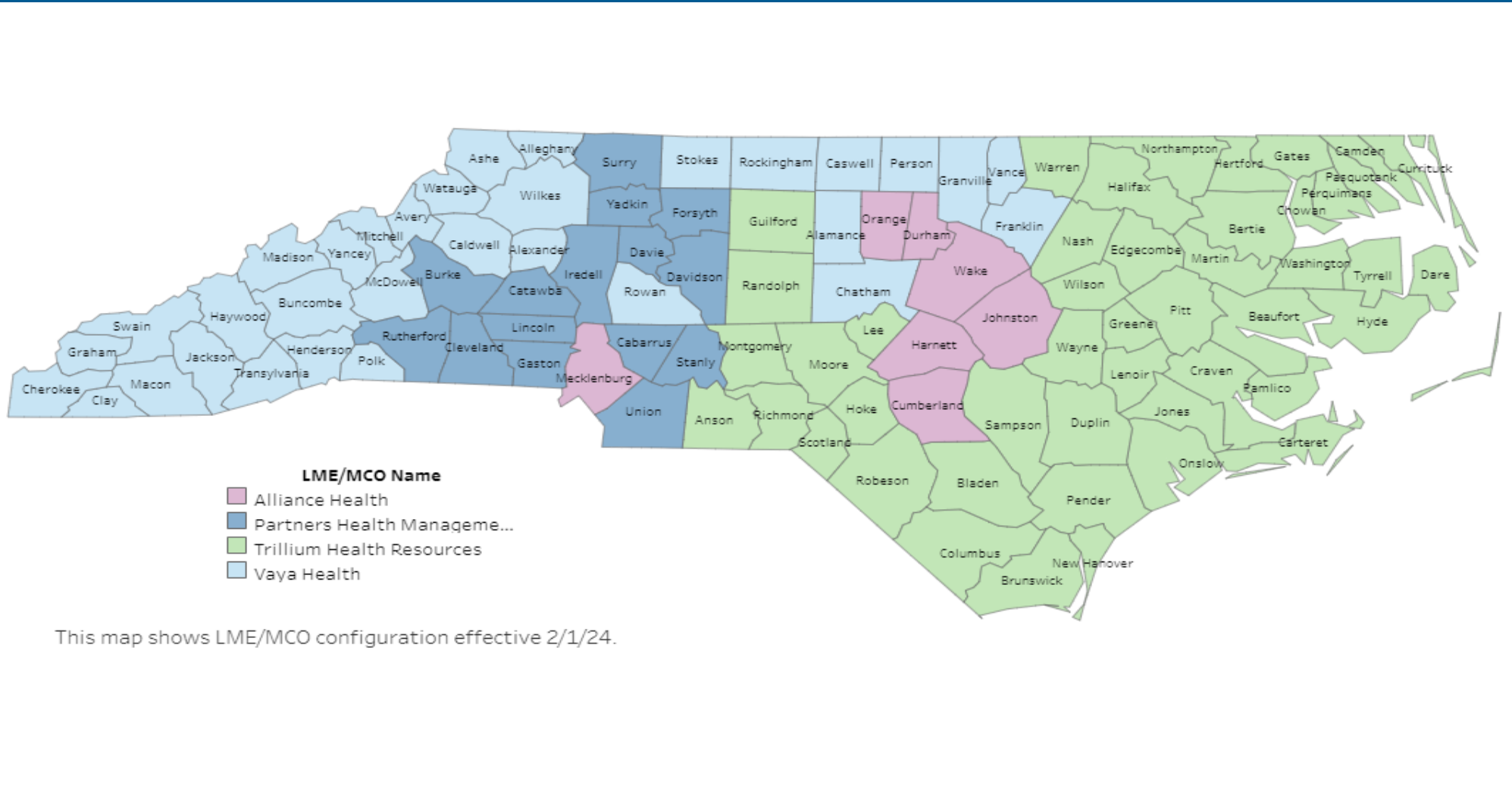


Intellectual/  
Developmental  
Disabilities (I/DD)



Traumatic Brain  
Injuries (TBI)

# Who Manages Tailored Plans?



# Tailored Plan Management

**How do people know which Tailored Plan they are in?**

A letter was mailed in mid-April. It informed Members which Tailored Plan they are in. The Plan is assigned based on the county where one gets their Medicaid benefits.

**There is only one Tailored Plan per County.**

The assigned Tailored Plan is based on the county that manages the Medicaid benefits for the Member. You cannot choose a different Tailored Plan.



## What's new?

- + Providers must be in-network**  
Providers (doctors and specialists) must be in the Tailored Plan's network (also called "in-network") to be covered.
- + Members must choose a new PCP if not in-network**  
If Member's don't choose a PCP that's in Tailored Plan's network, one will be assigned. You can change it until January 31, 2025.
- + More covered services**  
If Member to move from a Standard Plan (Healthy Blue, AmeriHealth Caritas, Carolina Complete, UnitedHealthcare or WellCare), they will get more services covered than they do now.

## What's staying the same?

- = Each plan covers the same services as before**  
This includes I/DD, TBI, mental health, severe substance use, and care management services.
- = Waitlist members keep their spots**  
Innovations and TBI Waiver members keep their slots. People who are waitlisted keep their spots, too.
- = Same Tailored Care Manager as the one you have now**  
Members have access to a Tailored Care Manager, who can help get the health services a Member needs. If they have one now, they won't change.

## Here are some examples:

# Services offered by Tailored Plans

If a Member opts out of Tailored Plans, these services are not paid for by other NC Medicaid Managed Care health plans.

Child and adolescent day treatment services

Intensive in-home services

Multi-systemic therapy services

Psychosocial rehabilitation

Residential treatment facility services

Community living and supports (specific to I/DD & TBI)

Supported employment (available to I/DD, TBI, and behavioral health)

Respite (specific to I/DD, TBI, serious emotional disturbance and severe SUD)

State-funded behavioral health, I/DD, and TBI services

# Vaya Total Care: What's New for Members?

- New Vaya Total Care ID card with PCP listed on card
- How Members can get information:
  - ❖ Behavioral Health Crisis line
  - ❖ Member & Recipient Services line
  - ❖ Pharmacy line
  - ❖ Nurse line
  - ❖ How to schedule non-emergency Medicaid transportation
- Information in Handbook and Welcome Packet on additional supports

# Vaya Total Care: Supports for Members

- Vaya Total Care Perks for Plan Members- VTC Perks are designed to support Members with a variety of health and wellbeing outside of services they receive. Perks for eligible Members may include:
  - Wellness Perks: Home delivered meals for Members being discharged from qualifying hospital care, Voucher for WW (Weight Watchers) mobile app subscriptions and gift cards for Covid-19 and flu vaccines.
  - Pregnancy Perks: Breast pumps and lactation support.
  - GED Perks: Education vouchers to offer guidance, tutoring, practice tests, test passage guarantee, online community support and ability to earn college credits.

# Vaya Total Care: Supports for Members

- Safety Perks: Discount vouchers on home safety and assistance equipment not covered by Medicaid.
- Non-Medical Transportation Perks: Up to 24 trips per year from Modivcare for going to grocery stores/markets, libraries, parks, community activities, business offices and other places to support Member.
- Non-Emergency Medicaid Transportation(NEMT) for Physical and Behavioral Health appointments- VTC will be responsible for Member transportation which can be scheduled by a Care Manager, Provider and/or Member. Scheduling can be done through mobile app, call in number, or on-line scheduler. Transportation vendor is Modivcare.

# Vaya Total Care: Supports from Tailored Care Managers

- Arrange your medical appointments
- Find medicine and medical supplies
- Provide support with chronic health issues
- Locate childcare, after school care
- Find housing options and financial help
- Help you join prevention programs
- Find resources for home maintenance and repairs
- Support transitions out of hospital or nursing facilities
- Arrange transportation



VAYAHEALTH

**THANK  
YOU**

Blank Page



**AGENDA ITEM 8:**

**WATAUGA COUNTY SCHOOLS LOTTERY REQUESTS**

**MANAGER'S COMMENTS:**

Dr. Chris Blanton, Watauga County Schools Assistant Superintendent/Director of Human Resources, will request the release of \$825,000 from the Lottery Repair and Renovation (R&R) and \$480,000 from the State Education Lottery. The projects are attached.

Board approval is required to release the funds as requested.



## WATAUGA COUNTY BOARD OF EDUCATION

Margaret E. Gragg Education Center  
175 Pioneer Trail Boone, NC 28607  
(828) 264-7190

### Re: Lottery Fund Request

Watauga County Schools respectfully requests the release of \$825,000 from the NC Lottery Repair and Renovation (R&R) Fund and \$480,000 from the NC Lottery Fund. The list of projects for funding is as follows:

<b>Lottery Project</b>	<b>Amount</b>
Carpet & Tile Replacements (R&R)	\$ 45,000
Roof Renovations/Replacements (R&R)	80,000
Fire Alarm Replacements (R&R)	140,000
Door Access Systems (R&R)	60,000
Parkway Addition (R&R)	500,000
Cafeteria Equipment	75,000
Gym Floors	25,000
Doors/Windows Replacements	20,000
Pavement and Sidewalk Repairs	80,000
Softball/Baseball Field Lighting	180,000
Fencing Replacements	100,000

Thank you for your continued support of Watauga County Schools.

**AGENDA ITEM 9:****VALLE CRUCIS ELEMENTARY SCHOOL CHANGE ORDER REQUEST****MANAGER'S COMMENTS:**

Mr. Chad Roberson, Clark Nexsen, will request the Board approve additional construction administration services in the amount of \$26,111 per month for six (6) months which brings the services to the contractor's completion date of December 22, 2024, and \$26,111 for each additional month beyond the contract completion date, and \$34,860 for bidding and procurement of the furniture. The requested increases will not require additional funding and will be covered in the existing project due to the remaining deductive change order balance of \$289,597 and unused contract allowances.

Board action is required to approve the \$26,111 per month for additional construction administration services and \$34,860 for bidding and procurement of furniture with funds to come from the existing project budget.



September 17, 2024

Watauga County Manager  
814 West King Street  
Suite 205  
Boone, NC 28607

Attn: Mr. Deron Geouque  
County Manager

**RE: VALLE CRUCIS ELEMENTARY SCHOOL**

Dear Deron,

The Valle Crucis elementary construction efforts will be extending beyond the number of months/visits that we have included in our contract. Based on our proposal and contract our services included 18 months of construction administration. As a reminder, due to the complexities of the site, there were two notices to proceed. The initial notice was provided on November 1, 2022. Followed by the second notice for vertical construction on March 20, 2023. Our contracted amount for construction administration is \$26,111 per month. The total duration thus far is approximately 22.5 months. Given the current progress on the site we expect construction to continue beyond February of 2025. The current contractual completion date is December 22, 2024, extending our construction administration by approximately eight (8) months.

Our construction administration was limited during the first few months of site construction, and we are requesting extended administration for an additional six (6) months to get us to the end of the current construction period of December 22, 2024, totaling \$156,666. Months extending beyond the December date will be billed at our current rate of \$26,111 per additional month prorated until the project has achieved final completion.

In addition, we have been requested to assist with the procurement of the furniture for the new school. Itemized below are the necessary components for this effort.

**A. Bidding and Procurement Assistance**

A1. Request for Quotation (RFQ):

1. Prepare RFQ Cover Letter and Scope of Work (SOW)
2. Prepare RFQ Bid Form
3. Post Request for Pricing as required.
4. *Respond to bidder RFIs as required (included with previous proposal)*
5. Release any necessary addenda.



301 College Street, Suite 300  
Asheville, NC 28801  
clarknexsen.com

CLARK NEXSEN

6. Receive Bidder Responses

A2. Bid Review and Best Value Determination (BVD)

1. BVD Pricing Evaluation Spreadsheet - Populate for each Vendor; perform comparison; highlight betterments and deficiencies; rank proposals in descending order.
2. Ranking & Evaluation – prepare required documentation for state procurement.
3. Ranking & Evaluation Review - virtual meeting with Client
4. Ranking & Evaluation – prepare meeting minutes.
5. Selected Vendor FF&E Mock-ups - virtual and/or physical; on-site coordination, evaluation as required.

A3. Final Design

1. Review Vendor's final quotation encompassing changes due to mock-up and finish reselections.
2. Submit to County Procurement Agent (Includes follow-up correspondence as required)

Exclusions:

1. VE after bid review
2. Order Placement
3. Scheduling of deliveries
4. Onsite supervision of installation.

For the above noted procurement items, we propose a fee of \$34,860.

Summary:

a.	Extended Construction administration Six (6) months to December 22, 2024	\$156,666
b.	Additional months beyond December of 2024	\$26,611 per month
c.	Procurement services for furniture package	\$34,860

It is important to note that the project currently has not expended any owner contingency funds and has deductive change orders in the amount of (\$289,597). Additionally, we have allowances in the contract that have not been utilized totaling \$618,200. This will be deducted from the current construction contract in the near future.



We continue to appreciate the opportunity to work with Watauga County and look forward to the successful completion of the project. Should you have any questions, please do not hesitate to contact us.

Sincerely,

**CLARK NEXSEN**



Chadwick S Roberson, AIA, LEED AP BD+C  
Principal



301 College Street, Suite 300  
Asheville, NC 28801  
clarknexsen.com

**CLARK NEXSEN** 

**AGENDA ITEM 10:**

**PARKING DECK FINAL CHANGE ORDER REQUEST**

**MANAGER'S COMMENTS:**

Mr. Bill Dixon, Appalachian Architecture, P.A., will request the Board approve the final Change Order for the parking deck. The total amount of the change order is \$52,063.24. Adequate funds are available in the project budget to cover the expense.

Board action is required to approve the final Change Order for the parking deck in the amount of \$52,063.24.

**Watauga County Parking Deck – Change Order Request Summation** **10/01/2024**  
*(Updated 09/24/2024)*

Add (2) 2x4 walls & insulation in sprinkler room (PCCO#15)	\$ 2,570.00
Add snow guards on metal roof(s) on stairs (PCCO#16)	\$ 6,195.00
Add sealant covering to Upper Level deck (PCCO#17)	\$18,242.80
Add parge coat & gate to masonry screen wall (PCCO#18)	\$ 4,613.80
Addition footings for light pole bases & site (PCCO#19) retaining wall at steps in alley sidewalk	\$ 8,024.00
Parking Deck signage & street address (PCCO#20)	\$ 6,396.49
Additional light pole & fixture (PCCO#21)	\$ 6,012.25
<b>TOTAL CHANGE ORDER REQUEST</b>	<b>\$52,063.24</b>





**PCCO #015**

VPC Builders, LLC  
 2059 Tynecastle Highway  
 Banner Elk, North Carolina 28604  
 Phone: (828) 295-0707

Project: 23-005 - Watauga County Parking Garage  
 140 North Water Street  
 Boone, North Carolina 28604  
 Phone: 828-295-0707

**Prime Contract Change Order #015: CE #033 - Added 2x4 wall and insulation**

<b>TO:</b>	Watauga County - Board of County Commissioners	<b>FROM:</b>	VPC Builders, LLC 2059 Tynecastle Highway Banner Elk, North Carolina 28604
<b>DATE CREATED:</b>	7/26/2024	<b>CREATED BY:</b>	Ethan Bean (VPC Builders, LLC)
<b>CONTRACT STATUS:</b>	Pending - In Review	<b>REVISION:</b>	0
<b>DESIGNATED REVIEWER:</b>		<b>REVIEWED BY:</b>	
<b>DUE DATE:</b>		<b>REVIEW DATE:</b>	
<b>INVOICED DATE:</b>		<b>PAID DATE:</b>	
<b>SCHEDULE IMPACT:</b>		<b>EXECUTED:</b>	No
<b>REVISED SUBSTANTIAL COMPLETION DATE:</b>		<b>SIGNED CHANGE ORDER RECEIVED DATE:</b>	
<b>CONTRACT FOR:</b>	1:Watauga County Parking Garage	<b>TOTAL AMOUNT:</b>	\$2,570.00

**DESCRIPTION:**  
 CE #033 - Added 2x4 wall and insulation  
 Added 2x4 wall on North and East walls of sprinkler room labor and materials. Added plywood for 2x4 wall and insulation R-15 in wall.

**ATTACHMENTS:**  
[Wall framing parking deck .msg](#), [RE\\_ Watauga County parking garage - Added walls in sprinkler room.msg](#)

**POTENTIAL CHANGE ORDERS IN THIS CHANGE ORDER:**

PCO #	Title	Schedule Impact	Amount
017	CE #033 - Added 2x4 wall and insulation		\$2,570.00
<b>Total:</b>			<b>\$2,570.00</b>

**CHANGE ORDER LINE ITEMS:**

**PCO # 017: CE #033 - Added 2x4 wall and insulation**

#	Budget Code	Description	Amount
1	6-0203.L Rough Framing.Labor		\$1,000.00
2	1-0312.O General Conditions.Other	Insulation - add labor & materials	\$1,370.00
3	6-0203.M Rough Framing.Materials	Materials	\$200.00
<b>Grand Total:</b>			<b>\$2,570.00</b>

The original (Contract Sum)	\$4,992,202.00
Net change by previously authorized Change Orders	\$116,628.03
The contract sum prior to this Change Order was	\$5,108,830.03
The contract sum would be changed by this Change Order in the amount of	\$2,570.00
The new contract sum including this Change Order will be	\$5,111,400.03
The contract time will not be changed by this Change Order.	

Bill Dixon (Appalachian Architecture)

Watauga County - Board of County  
Commissioners

VPC Builders, LLC

2059 Tynecastle Highway  
Banner Elk, North Carolina 28604

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PCCO #016

VPC Builders, LLC  
 2059 Tynecastle Highway  
 Banner Elk, North Carolina 28604  
 Phone: (828) 295-0707

Project: 23-005 - Watauga County Parking Garage  
 140 North Water Street  
 Boone, North Carolina 28604  
 Phone: 828-295-0707

**Prime Contract Change Order #016: CE #035 - Snow Guards for Standing Seam metal roof**

TO:	Watauga County - Board of County Commissioners	FROM:	VPC Builders, LLC 2059 Tynecastle Highway Banner Elk, North Carolina 28604
DATE CREATED:	9/04/2024	CREATED BY:	Ethan Bean (VPC Builders, LLC)
CONTRACT STATUS:	Pending - In Review	REVISION:	0
DESIGNATED REVIEWER:		REVIEWED BY:	
DUE DATE:		REVIEW DATE:	
INVOICED DATE:		PAID DATE:	
SCHEDULE IMPACT:	3 days	EXECUTED:	No
REVISED SUBSTANTIAL COMPLETION DATE:		SIGNED CHANGE ORDER RECEIVED DATE:	
CONTRACT FOR:	1:Watauga County Parking Garage	TOTAL AMOUNT:	\$6,195.00

**DESCRIPTION:**  
 CE #035 - Snow Guards for Standing Seam metal roof  
*Quote to install the non-penetrating (clamped) bar style snow guard/ice dam along the bottom edge of the metal roofing on parking deck stair roofs to match the style on the County's other buildings.  
 Approximately 16' long x 4 locations = 64' linear feet. Color to match roofing. Supplier to provide shop drawings for final approval*

**ATTACHMENTS:**  
[Proposal - Snow Guards.pdf](#)

**POTENTIAL CHANGE ORDERS IN THIS CHANGE ORDER:**

PCO #	Title	Schedule Impact	Amount
018	CE #035 - Snow Guards for Standing Seam metal roof	3 days	\$6,195.00
<b>Total:</b>			<b>\$6,195.00</b>

**CHANGE ORDER LINE ITEMS:**

**PCO # 018: CE #035 - Snow Guards for Standing Seam metal roof**

#	Budget Code	Description	Amount
1	7-0618.O Metal Roofing.Other		\$4,100.00
2	1-0302.L Project Manager.Labor		\$500.00
3	1-0303.L Superintendent.Labor		\$650.00
4	1-0308.O Markup.Other		\$945.00
<b>Grand Total:</b>			<b>\$6,195.00</b>

The original (Contract Sum)	\$4,992,202.00
Net change by previously authorized Change Orders	\$116,628.03
The contract sum prior to this Change Order was	\$5,108,830.03
The contract sum would be changed by this Change Order in the amount of	\$6,195.00
The new contract sum including this Change Order will be	\$5,115,025.03
The contract time will be increased by this Change Order by 3 days.	



Bill Dixon (Appalachian Architecture)

Watauga County - Board of County Commissioners

VPC Builders, LLC

2059 Tynecastle Highway  
Banner Elk, North Carolina 28604

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PCCO #017

VPC Builders, LLC  
 2059 Tynecastle Highway  
 Banner Elk, North Carolina 28604  
 Phone: (828) 295-0707

Project: 23-005 - Watauga County Parking Garage  
 140 North Water Street  
 Boone, North Carolina 28604  
 Phone: 828-295-0707

**Prime Contract Change Order #017: CE #036 - Top Deck Cure & Seal install**

TO:	Watauga County - Board of County Commissioners	FROM:	VPC Builders, LLC 2059 Tynecastle Highway Banner Elk, North Carolina 28604
DATE CREATED:	9/04/2024	CREATED BY:	Ethan Bean (VPC Builders, LLC)
CONTRACT STATUS:	Pending - In Review	REVISION:	0
DESIGNATED REVIEWER:		REVIEWED BY:	
DUE DATE:		REVIEW DATE:	
INVOICED DATE:		PAID DATE:	
SCHEDULE IMPACT:	5 days	EXECUTED:	No
REVISED SUBSTANTIAL COMPLETION DATE:		SIGNED CHANGE ORDER RECEIVED DATE:	
CONTRACT FOR:	1:Watauga County Parking Garage	TOTAL AMOUNT:	\$18,242.80

**DESCRIPTION:**

CE #036 - Top Deck Cure & Seal install  
 Per OAC meeting on 8-15-24 VPC Builders is providing the coast to seal the upper deck with super seal 30 approved cure & seal.

**ATTACHMENTS:**

[Watauga Parking COP - curing upper floor.xls](#), [Super Seal 30 2018 TDS.pdf](#)

**POTENTIAL CHANGE ORDERS IN THIS CHANGE ORDER:**

PCO #	Title	Schedule Impact	Amount
019	CE #036 - Top Deck Cure & Seal install	5 days	\$18,242.80
<b>Total:</b>			<b>\$18,242.80</b>

**CHANGE ORDER LINE ITEMS:**

**PCO # 019: CE #036 - Top Deck Cure & Seal install**

#	Budget Code	Description	Amount
1	3-0405.O Slab On Grade.Other		\$14,500.00
2	1-0302.L Project Manager.Labor		\$520.00
3	1-0303.L Superintendent.Labor		\$440.00
4	1-0308.O Markup.Other		\$2,782.80
<b>Grand Total:</b>			<b>\$18,242.80</b>

The original (Contract Sum)	\$4,992,202.00
Net change by previously authorized Change Orders	\$116,628.03
The contract sum prior to this Change Order was	\$5,108,830.03
The contract sum would be changed by this Change Order in the amount of	\$18,242.80
The new contract sum including this Change Order will be	\$5,127,072.83
The contract time will be increased by this Change Order by 5 days.	



Bill Dixon (Appalachian Architecture)

Watauga County - Board of County Commissioners

VPC Builders, LLC

2059 Tynecastle Highway  
Banner Elk, North Carolina 28604

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SIGNATURE DATE



**PCCO #018**

VPC Builders, LLC  
 2059 Tynecastle Highway  
 Banner Elk, North Carolina 28604  
 Phone: (828) 295-0707

Project: 23-005 - Watauga County Parking Garage  
 140 North Water Street  
 Boone, North Carolina 28604  
 Phone: 828-295-0707

**Prime Contract Change Order #018: CE #039 - CMU Wall parge masonry parge coat**

<b>TO:</b>	Watauga County - Board of County Commissioners	<b>FROM:</b>	VPC Builders, LLC 2059 Tynecastle Highway Banner Elk, North Carolina 28604
<b>DATE CREATED:</b>	9/04/2024	<b>CREATED BY:</b>	Ethan Bean (VPC Builders, LLC)
<b>CONTRACT STATUS:</b>	Pending - In Review	<b>REVISION:</b>	1
<b>DESIGNATED REVIEWER:</b>		<b>REVIEWED BY:</b>	
<b>DUE DATE:</b>		<b>REVIEW DATE:</b>	
<b>INVOICED DATE:</b>		<b>PAID DATE:</b>	
<b>SCHEDULE IMPACT:</b>	5 days	<b>EXECUTED:</b>	No
<b>REVISED SUBSTANTIAL COMPLETION DATE:</b>		<b>SIGNED CHANGE ORDER RECEIVED DATE:</b>	
<b>CONTRACT FOR:</b>	1:Watauga County Parking Garage	<b>TOTAL AMOUNT:</b>	\$4,613.80

**DESCRIPTION:**  
 CE #039 - CMU Wall parge masonry parge coat  
 Per direction provided in email from Bill Dixon at App. Architecture

Added gate allowance for \$750.00 material and labor pending final selection from Owner/Architect

**ATTACHMENTS:**

**POTENTIAL CHANGE ORDERS IN THIS CHANGE ORDER:**

PCO #	Title	Schedule Impact	Amount
020	CE #039 - CMU Wall parge masonry parge coat	2 days	\$4,613.80
<b>Total:</b>			\$4,613.80

**CHANGE ORDER LINE ITEMS:**

**PCO # 020: CE #039 - CMU Wall parge masonry parge coat**

#	Budget Code	Description	Amount
1	4-0402.L Concrete Unit Masonry.Labor		\$2,200.00
2	1-0302.L Project Manager.Labor		\$520.00
3	1-0303.L Superintendent.Labor		\$440.00
4	1-0308.O Markup.Other		\$703.80
5	6-0203.L Rough Framing.Labor	Gate installation - Allowance for labor and install based on approved gate spec product data, model etc.	\$750.00
<b>Grand Total:</b>			<b>\$4,613.80</b>

The original (Contract Sum)	\$4,992,202.00
Net change by previously authorized Change Orders	\$116,628.03
The contract sum prior to this Change Order was	\$5,108,830.03
The contract sum would be changed by this Change Order in the amount of	\$4,613.80
The new contract sum including this Change Order will be	\$5,113,443.83
The contract time will be increased by this Change Order by 5 days.	



PCCO #018

Bill Dixon (Appalachian Architecture)

Watauga County - Board of County Commissioners

VPC Builders, LLC

2059 Tynecastle Highway  
Banner Elk, North Carolina 28604

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**PCCO #019**

VPC Builders, LLC  
 2059 Tynecastle Highway  
 Banner Elk, North Carolina 28604  
 Phone: (828) 295-0707

Project: 23-005 - Watauga County Parking Garage  
 140 North Water Street  
 Boone, North Carolina 28604  
 Phone: 828-295-0707

**Prime Contract Change Order #019: CE #040 - Additional footers for pole base and knee wall**

<b>TO:</b>	Watauga County - Board of County Commissioners	<b>FROM:</b>	VPC Builders, LLC 2059 Tynecastle Highway Banner Elk, North Carolina 28604
<b>DATE CREATED:</b>	9/04/2024	<b>CREATED BY:</b>	Ethan Bean (VPC Builders, LLC)
<b>CONTRACT STATUS:</b>	Pending - In Review	<b>REVISION:</b>	0
<b>DESIGNATED REVIEWER:</b>		<b>REVIEWED BY:</b>	
<b>DUE DATE:</b>		<b>REVIEW DATE:</b>	
<b>INVOICED DATE:</b>		<b>PAID DATE:</b>	
<b>SCHEDULE IMPACT:</b>		<b>EXECUTED:</b>	No
<b>REVISED SUBSTANTIAL COMPLETION DATE:</b>		<b>SIGNED CHANGE ORDER RECEIVED DATE:</b>	
<b>CONTRACT FOR:</b>	1:Watauga County Parking Garage	<b>TOTAL AMOUNT:</b>	\$8,024.00

**DESCRIPTION:**  
 CE #040 - (no title)  
 Additional footers, direction was provided for the light pole base and knee wall at Stair 2

**ATTACHMENTS:**

**POTENTIAL CHANGE ORDERS IN THIS CHANGE ORDER:**

PCO #	Title	Schedule Impact	Amount
021	CE #040 - (no title)		\$8,024.00
<b>Total:</b>			<b>\$8,024.00</b>

**CHANGE ORDER LINE ITEMS:**

PCO # 021: CE #040 - (no title)

#	Budget Code	Description	Amount
1	31-0102.O Grading	JW Hampton additional grading cost	\$4,200.00
2	3-0405.O Slab On Grade.Other	Footers	\$2,600.00
3	1-0308.O Markup.Other		\$1,224.00
<b>Grand Total:</b>			<b>\$8,024.00</b>

The original (Contract Sum)	\$4,992,202.00
Net change by previously authorized Change Orders	\$116,628.03
The contract sum prior to this Change Order was	\$5,108,830.03
The contract sum would be changed by this Change Order in the amount of	\$8,024.00
The new contract sum including this Change Order will be	\$5,116,854.03
The contract time will not be changed by this Change Order.	

Bill Dixon (Appalachian Architecture)

Watauga County - Board of County  
Commissioners

VPC Builders, LLC

2059 Tynecastle Highway  
Banner Elk, North Carolina 28604

\_\_\_\_\_  
SIGNATURE                                  DATE

\_\_\_\_\_  
SIGNATURE                                  DATE

\_\_\_\_\_  
SIGNATURE                                  DATE



**PCCO #020**

VPC Builders, LLC  
 2059 Tynecastle Highway  
 Banner Elk, North Carolina 28604  
 Phone: (828) 295-0707

Project: 23-005 - Watauga County Parking Garage  
 140 North Water Street  
 Boone, North Carolina 28604  
 Phone: 828-295-0707

**Prime Contract Change Order #020: CE #041 - Address Lettering 8" tall**

<b>TO:</b>	Watauga County - Board of County Commissioners	<b>FROM:</b>	VPC Builders, LLC 2059 Tynecastle Highway Banner Elk, North Carolina 28604
<b>DATE CREATED:</b>	9/04/2024	<b>CREATED BY:</b>	Ethan Bean (VPC Builders, LLC)
<b>CONTRACT STATUS:</b>	Pending - In Review	<b>REVISION:</b>	0
<b>DESIGNATED REVIEWER:</b>		<b>REVIEWED BY:</b>	
<b>DUE DATE:</b>		<b>REVIEW DATE:</b>	
<b>INVOICED DATE:</b>		<b>PAID DATE:</b>	
<b>SCHEDULE IMPACT:</b>	42 days	<b>EXECUTED:</b>	No
<b>REVISED SUBSTANTIAL COMPLETION DATE:</b>		<b>SIGNED CHANGE ORDER RECEIVED DATE:</b>	
<b>CONTRACT FOR:</b>	1:Watauga County Parking Garage	<b>TOTAL AMOUNT:</b>	\$6,396.49

**DESCRIPTION:**

CE #041 - Address Lettering 8" tall

Additional Signage provided labor and material based on design provided by Bill Dixon from App. Arch.

*"We would like to add the "140 NORTH WATER STREET" address below the "WATAUGA COUNTY PARKING DECK" lettering on the Water Street façade of the new parking deck.*

*Street address would be 8" tall letters, Cast Aluminum, Times New Roman style text. See attached revised drawing & notes."*

*Lead times are 6 weeks from release*

**ATTACHMENTS:**

[20240819104614519.pdf](#)

**POTENTIAL CHANGE ORDERS IN THIS CHANGE ORDER:**

PCO #	Title	Schedule Impact	Amount
022	CE #041 - Address Lettering 8" tall	42 days	\$6,396.49
<b>Total:</b>			<b>\$6,396.49</b>

**CHANGE ORDER LINE ITEMS:**

**PCO # 022: CE #041 - Address Lettering 8" tall**

#	Budget Code	Description	Amount
1	1-0312.O General Conditions.Other	Address Letters	\$4,833.64
2	1-0303.L Superintendent.Labor		\$0.00
3	1-0302.L Project Manager.Labor		\$520.00
4	1-0308.O Markup.Other		\$1,042.85
<b>Grand Total:</b>			<b>\$6,396.49</b>

The original (Contract Sum)	\$4,992,202.00
Net change by previously authorized Change Orders	\$116,628.03
The contract sum prior to this Change Order was	\$5,108,830.03
The contract sum would be changed by this Change Order in the amount of	\$6,396.49
The new contract sum including this Change Order will be	\$5,115,226.52
The contract time will be increased by this Change Order by 42 days.	



Bill Dixon (Appalachian Architecture)

Watauga County - Board of County Commissioners

VPC Builders, LLC

2059 Tynecastle Highway  
Banner Elk, North Carolina 28604

\_\_\_\_\_  
SIGNATURE                                                  DATE

\_\_\_\_\_  
SIGNATURE                                                  DATE

\_\_\_\_\_  
SIGNATURE                                                  DATE

APPALACHIAN ARCHITECTURE, P.A.

WILLIAM (BILL) DIXON, JR., AIA, NCARB

PARKING DECK IDENTIFICATION SIGNAGE

Revised August 19<sup>th</sup>, 2024

Match existing Courthouse signage @ intersection of Water & King Streets

WATAUGA COUNTY PARKING DECK

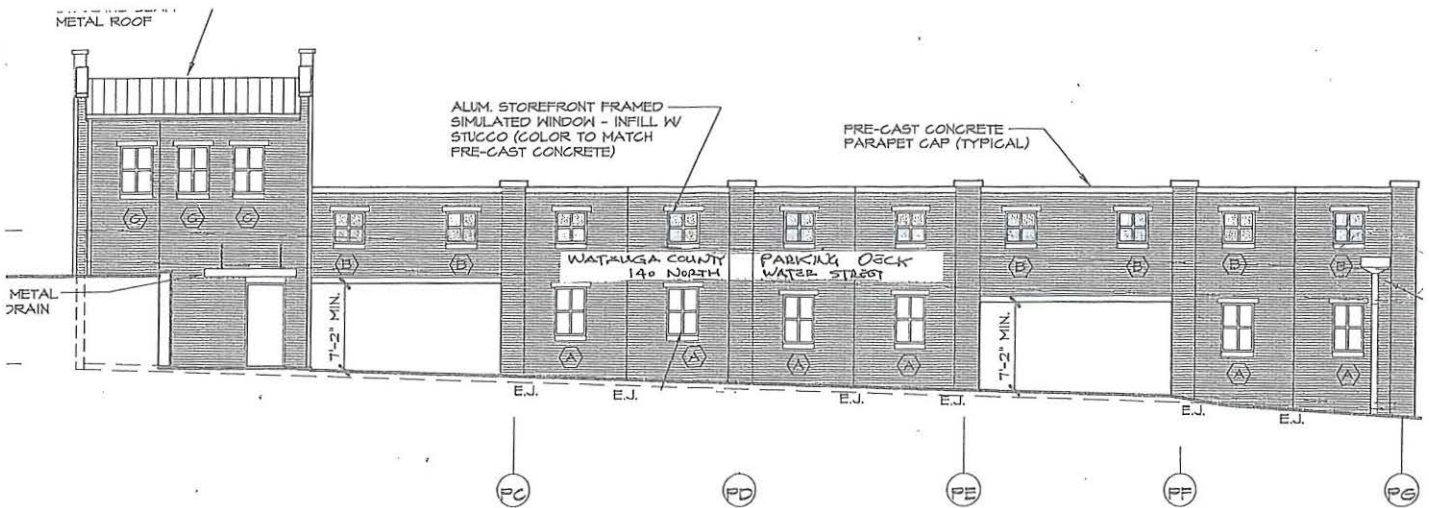
SIZE: 10" high capital letters MATERIAL: Cast Aluminum STYLE: Times New Roman

140 NORTH WATER STREET

SIZE: 8" high capital letters MATERIAL: Cast Aluminum STYLE: Times New Roman

Mounted between pilasters PC & PE on Water Street Elevation

Centered between Upper & Lower Level window openings



1 WEST ELEVATION (WATER ST.)  
A-3



**PCCO #021**

VPC Builders, LLC  
 2059 Tynecastle Highway  
 Banner Elk, North Carolina 28604  
 Phone: (828) 295-0707

Project: 23-005 - Watauga County Parking Garage  
 140 North Water Street  
 Boone, North Carolina 28604  
 Phone: 828-295-0707

**Prime Contract Change Order #021: CE #044 - Light Pole B**

<b>TO:</b>	Watauga County - Board of County Commissioners	<b>FROM:</b>	VPC Builders, LLC 2059 Tynecastle Highway Banner Elk, North Carolina 28604
<b>DATE CREATED:</b>	9/24/2024	<b>CREATED BY:</b>	Ethan Bean (VPC Builders, LLC)
<b>CONTRACT STATUS:</b>	Pending - In Review	<b>REVISION:</b>	0
<b>DESIGNATED REVIEWER:</b>		<b>REVIEWED BY:</b>	
<b>DUE DATE:</b>		<b>REVIEW DATE:</b>	
<b>INVOICED DATE:</b>		<b>PAID DATE:</b>	
<b>SCHEDULE IMPACT:</b>		<b>EXECUTED:</b>	No
<b>REVISED SUBSTANTIAL COMPLETION DATE:</b>		<b>SIGNED CHANGE ORDER RECEIVED DATE:</b>	
<b>CONTRACT FOR:</b>	1:Watauga County Parking Garage	<b>TOTAL AMOUNT:</b>	\$6,021.25

**DESCRIPTION:**  
 CE #044 - Light Pole B  
 E-4 shows electrical fixture C that was revised 8-2-23 after the photometric plan was provided on 7-26-23. VPC Builders provided a credit for the light pole and an add for the wall pack along with other items included in the DOI Comments. The light pole labor and material to add back is included in pricing.

**ATTACHMENTS:**  
[22345 E-4r.pdf](#), [VPC-Watauga Co Parking Deck CO Type B light & pole.pdf](#)

**POTENTIAL CHANGE ORDERS IN THIS CHANGE ORDER:**

PCO #	Title	Schedule Impact	Amount
023	CE #044 - Light Pole B	60 days	\$6,021.25
<b>Total:</b>			<b>\$6,021.25</b>

**CHANGE ORDER LINE ITEMS:**

**PCO # 023: CE #044 - Light Pole B**

#	Budget Code	Description	Amount
1	26-0101.O Electrical .Other	Labor & Material	\$3,918.86
2	26-0101.O Electrical .Other	Freight Allowance	\$750.00
3	1-0303.L Superintendent.Labor		\$440.00
4	1-0308.O Markup.Other		\$912.39
<b>Grand Total:</b>			<b>\$6,021.25</b>

The original (Contract Sum)	\$4,992,202.00
Net change by previously authorized Change Orders	\$116,628.03
The contract sum prior to this Change Order was	\$5,108,830.03
The contract sum would be changed by this Change Order in the amount of	\$6,021.25
The new contract sum including this Change Order will be	\$5,114,851.28
The contract time will not be changed by this Change Order.	



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**AGENDA ITEM 11:****PROPOSED RESOLUTION IN SUPPORT OF NAMING THE HWY 105 BRIDGE OVER THE WATAUGA RIVER IN MEMORY OF K-9 DEPUTY LOGAN SHANE FOX****MANAGER'S COMMENTS:**

Major Redmon will present a resolution requesting the Board's consideration to name the Highway 105 Watauga River Bridge in memory of K-9 Deputy Logan Shane Fox. The procedures for naming roads/bridges/ferries by NCDOT have been included in your packet for review. The Board would need to adopt the resolution and then staff would submit background information on the nominee, description of what is requested to be named, and public input and support to NCDOT staff. NCDOT staff would review the information to ensure the application meets policy and confirm that there is not any local opposition. After review the NCDOT staff will forward to the Transportation Road, Bridge, and Ferry Naming Committee for action. The Board member representing the area where the requested bridge is located must endorse the request. If approved, the Transportation Road, Bridge, and Ferry Naming Committee will forward a resolution for the Board of Transportation's approval. The Board of Transportation will approve or deny the request.

In an effort to meet the public forum requirement by the NCDOT, staff would recommend the Board schedule a public hearing for the November 19, 2024. Upon completion of the public hearing, the Board may adopt the resolution and forward to the NCDOT for consideration.

The Sheriff's Office has been in contact with Deputy Fox's father who recommends naming the 105 bridge in his son's honor. Should the Board wish to proceed; staff recommends the Board schedule a public hearing for the November 19, 2024 meeting and then adopt the resolution as is or with changes. Approval by the Board would also include the \$2,000 fee for the signs and installation.

Staff seeks direction from the Board.

STATE OF NORTH CAROLINA

COUNTY OF WATAUGA

**PROPOSED RESOLUTION REQUESTING TO NAME  
THE HWY 105 BRIDGE OVER THE WATAUGA RIVER  
IN MEMORY OF K-9 DEPUTY LOGAN SHANE FOX.**

**WHEREAS**, Logan Shane Fox was born on February 8, 1996, in Wellington, Florida; and

**WHEREAS**, at the age of 14 he was awarded the Community Service and Impact Award; and

**WHEREAS**, Deputy Fox moved to North Carolina and attended Watauga High School; and

**WHEREAS**, Logan graduated from Basic Law Enforcement Training from Wilkes Community College as the valedictorian, with the highest GPA of his class; and

**WHEREAS**, he was recruited by the Ashe County Sheriff’s Office in 2017; and

**WHEREAS**, in 2019 he was hired by the Watauga County Sheriff’s Office along with his K-9 partner Raven; and

**WHEREAS**, Logan was devoted to his family and friends; and

**WHEREAS**, K-9 Deputy Fox faithfully served, protected, and preserved the peace and well-being of the citizens and visitors of Watauga County; and

**WHEREAS**, on April 28, 2021, K-9 Deputy Logan Shane Fox responded to a call for assistance resulting in him giving the ultimate sacrifice for his community.

**NOW, THEREFORE, BE IT RESOLVED** that the Watauga County Board of Commissioners supports the naming of the Highway 105 Bridge over the Watauga River in Memory of K-9 Deputy Logan Shane Fox.

**ADOPTED** this the 15th day of October, 2024.



\_\_\_\_\_  
Larry Turnbow, Chairman  
Watauga County Board of Commissioners

ATTEST:

\_\_\_\_\_  
Anita J. Fogle, Clerk to the Board

**Anita.Fogle**

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**Subject:** FW: Letter of Support

**From:** Timothy Fox <[tmthyfx@gmail.com](mailto:tmthyfx@gmail.com)>

**Sent:** Thursday, September 26, 2024 12:07 PM

**To:** Rebecca.Russell <[Rebecca.Russell@watgov.org](mailto:Rebecca.Russell@watgov.org)>

**Subject:** Letter of Support

Watauga County Commission

814 West King Street, Suite 205  
Boone, North Carolina 28607

Dear Commissioners,

Please accept this Letter of Support to honor my request to have the bridge located on Highway 105 near the Broadstone Road intersection named for my son, fallen K9 Deputy Logan Shane Fox, killed in the line of duty on April 28, 2001.

It is with gratitude for your consideration and great sadness that I write this letter to have this bridge named for my son, to honor his memory and the ultimate sacrifice he made toward the protection and safety of the good people of Watauga County.

Respectfully yours,  
Timothy A Fox



**MEDIATION &  
RESTORATIVE JUSTICE  
CENTER**

September 25th, 2024

To Watauga County Manager Deron Geouque,

I am writing on behalf of The Mediation and Restorative Justice Center and Homestead Recovery to offer our full and heartfelt support for the resolution to name a bridge in Watauga County in honor of fallen Officer Logan Fox. Officer Fox devoted himself to protecting and serving our community, and his ultimate sacrifice is a profound testament to the courage and selflessness we ask of our law enforcement officers.

Dedicating this bridge in Officer Fox's memory would be a meaningful and lasting tribute to his life and legacy. It would not only honor his commitment to the safety and well-being of our community but also represent a symbol of the community's respect and enduring gratitude. His bravery and service should forever be remembered, and this bridge would ensure that his sacrifice is never forgotten.

Thank you for your thoughtful consideration of this important resolution.

Sincerely,

Mollie Bolick, MSW., NCCPSS  
Center Director  
Homestead Recovery Center  
482 State Farm Road. Boone, NC 28607  
828-406-8069 (mobile)  
828-355-9943 (center)  
828-544-2175 (fax)

[mollie@homesteadrecoverync.org](mailto:mollie@homesteadrecoverync.org)  
[www.homesteadrecoverync.org](http://www.homesteadrecoverync.org)

**Powered by The Mediation & Restorative Justice Center**



**Anita.Fogle**

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**Subject:** FW: Support Letter

**From:** tina watson <[brdiner@gmail.com](mailto:brdiner@gmail.com)>

**Sent:** Wednesday, September 25, 2024 3:28 PM

**To:** Kelly Redmon <[Kelly.Redmon@watgov.org](mailto:Kelly.Redmon@watgov.org)>

**Subject:** Re: Support Letter

To Whom It May Concern,

I am writing as the owner of Blue Ridge Diner to wholeheartedly support the proposal to name a bridge in honor of Officer Logan Fox, who bravely served and gave his life for our community here in Watauga County. Officer Fox was not just a law enforcement officer; he was a part of our community, a familiar face who exemplified dedication and service. He protected us and upheld the values of safety and justice that we hold dear.

At Blue Ridge Diner, we had the privilege of serving Logan and many of his colleagues. His friendly nature and commitment to the people of this county left a lasting impression on all of us. Naming a bridge in his honor would be a fitting tribute to recognize his sacrifice and ensure that his legacy is never forgotten. It would serve as a daily reminder to everyone crossing that bridge of the courage and dedication it takes to keep our community safe.

This gesture would mean so much to all of us who knew Logan and to the wider community that benefited from his service. Thank you for considering this important proposal.

Sincerely,  
Tina Watson  
Owner, Blue Ridge Diner

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**AGENDA ITEM 12:**

**TAX MATTERS**

*A. Monthly Collections Report*

**MANAGER'S COMMENTS:**

Mr. Larry Warren, Tax Administrator, will present the Monthly Collections Report and be available for questions and discussion.

The report is for information only; therefore, no action is required.

**Monthly Collections Report****Watauga County**

Bank deposits of the following amounts have been made and credited to the account of Watauga County. The reported totals do not include small shortages and overages reported to the Watauga County Finance Officer

Monthly Report September 2024

	<u>Current Month Collections</u>	<u>Current FY Collections</u>	<u>Current FY Percentage</u>	<u>Previous FY Percentage</u>
<b><u>General County</u></b>				
Taxes 2024	5,377,418.92	5,493,725.41	12.38%	20.45%
Prior Year Taxes	38,339.34	177,727.88		
Solid Waste User Fees	368,493.14	380,086.76	11.02%	18.32%
<b>Total County Funds</b>	<b>\$5,784,251.40</b>	<b>\$6,051,540.05</b>		
<b><u>Fire Districts</u></b>				
Foscoe Fire	105,134.06	106,528.11	15.21%	23.41%
Boone Fire	158,688.69	161,284.90	11.89%	18.94%
Fall Creek Service Dist.	1,385.13	1,385.13	9.75%	14.05%
Beaver Dam Fire	15,681.34	15,763.57	11.00%	20.70%
Stewart Simmons Fire	66,736.74	68,915.26	15.34%	25.17%
Zionville Fire	16,534.93	17,217.56	10.68%	17.68%
Cove Creek Fire	38,968.10	39,791.30	11.00%	17.26%
Shawneeohaw Fire	28,630.94	29,708.08	17.78%	24.72%
Meat Camp Fire	31,677.84	31,872.53	9.68%	16.06%
Deep Gap Fire	32,597.15	33,919.38	11.87%	18.03%
Todd Fire	15,463.28	15,517.04	19.77%	25.00%
Blowing Rock Fire	104,440.10	107,018.24	14.76%	24.70%
M.C. Creston Fire	780.52	780.52	10.10%	20.01%
Foscoe Service District	13,879.45	13,879.55	12.52%	19.87%
Beech Mtn. Service Dist.	433.30	433.30	15.58%	10.16%
Cove Creek Service Dist.	36.45	36.45	10.83%	10.83%
Shawneeohaw Service Dist	931.61	931.66	10.88%	13.60%
<b>Total Fire Districts</b>	<b>631,999.63</b>	<b>644,982.58</b>		
<b><u>Towns</u></b>				
Boone	810,104.18	850,160.40	9.14%	17.64%
Municipal Services	18,743.42	18,743.50	6.51%	10.71%
<b>Total Town Taxes</b>	<b>\$828,847.60</b>	<b>\$868,903.90</b>		
<b>Total Amount Collected</b>	<b>\$7,245,098.63</b>	<b>\$7,565,426.53</b>		

 Tax Collections Director

 Tax Administrator



**AGENDA ITEM 12:**

**TAX MATTERS**

*B. Refunds and Releases*

**MANAGER'S COMMENTS:**

Mr. Larry Warren will present the Refunds and Releases Reports. Board action is required to accept the Refunds and Releases Reports.

RELEASES - 09/01/2024 TO 09/30/2024

OWNER NAME AND ADDRESS	CAT YEAR PROPERTY REASON	BILL	EFF DATE	JUR	REF NO	VALUE CHARGE	AMOUNT
1004639 APPALACHIAN STATE UNIVERSITY BOONE, NC 28608-	RE 2013 2819-76-0912-000 TAX RELEASES From CAMA Integration	1098		F02	201098	0 F02 G01	18.00 112.68 ----- 130.68
1650372 BAILEY CHIROPRACTIC 643 GREENWAY RD STE A BOONE, NC 28607-4840	PP 2024 650372999 TAX RELEASES	3341	09/12/2024	C02	11989	0 G01 C02	44.11 54.09 ----- 98.20
1573070 BASS, WELDON RAY BASS, LINDA C 678 SUNSET MTN RD BOONE, NC 28607	RE 2024 1899-38-5525-000 TAX RELEASES SENIOR EXEMPTION DID NOT CALCULATE	7007	09/12/2024	F02	11976	0 F02 G01	174.90 926.97 ----- 1,101.87
1825482 BLUE RIDGE TOURIST COURT, LLC 505 GRAND BLVD BOONE, NC 28607	RE 2024 2910-89-5295-000 TAX RELEASES HISTORIC PROPERTY DESIGNATION	40117	09/13/2024	C02	12000	280,890 C02 G01	1,606.56 1,309.96 ----- 2,916.52
1825482 BLUE RIDGE TOURIST COURT, LLC 505 GRAND BLVD BOONE, NC 28607	RE 2024 2910-89-7127-000 TAX RELEASES HISTORIC PROPERTY DESIGNATION	40121	09/13/2024	C02	11999	59,300 C02 G01	388.93 317.12 ----- 706.05
1821966 BOONE UNITED METHODIST CHURCH, INC 471 NEW MARKET BLVD BOONE, NC 28607	RE 2024 2911-22-3936-000 TAX RELEASES CHURCH PROPERTY IS EXEMPT	40487	09/12/2024	F02	11977	0 F02 G01	.06 .31 ----- .37
1821966 BOONE UNITED METHODIST CHURCH, INC 471 NEW MARKET BLVD BOONE, NC 28607	RE 2024 2911-22-3936-000 TAX RELEASES	40487	09/12/2024	F02	11979	0 F02 G01	109.62 580.99 ----- 690.61
1271089 CLEMENS, LAURA 1092 N PINE RUN RD BOONE, NC 28607	RE 2024 2931-56-4149-000 TAX RELEASES SENIOR EXEMPTION DID NOT CALCULATE	46291	09/12/2024	F10	11985	0 F10 G01	93.65 595.62 ----- 689.27
1500717 COMBS, DANA BURK P. O. BOX 339 VILAS, NC 28692	RE 2024 1982-42-7770-000 TAX RELEASES CONDITION OF THE PROPERTY WAS ALTERED	18897	09/17/2024	F07	12010	16,800 F07 G01	8.40 53.42 ----- 61.82

RELEASES - 09/01/2024 TO 09/30/2024

OWNER NAME AND ADDRESS	CAT YEAR PROPERTY REASON	BILL	EFF DATE	JUR	REF NO	VALUE CHARGE	AMOUNT
1764258 CURTISS, TIMOTHY R CURTISS, DEBRA D 6060 SHORE BLVD SOUTH APT 801 GULFPORT, FL 33707	RE 2024 1940-75-5142-000 TAX RELEASES PERMIT WORK WAS NEVER INITIATED DUE TO HEALTH REASONS	8643	09/10/2024	C05	11961	84,300 G01	268.08
1524322 DAVIS, JERRY DONALD 848 DEWITT BARNETT RD  BANNER ELK, NC 286047936	PP 2024 524322999 TAX RELEASES PROPERTY SOLD	2464	09/18/2024	F01	12012	0 SWF G01 F01 G01L F01L	102.87 3.18 .50 .32 .05 <b>106.92</b>
1500599 DEEP GAP FIRE DEPARTMENT P.O. BOX 516  DEEP GAP, NC 28618	RE 2024 2930-19-2553-000 TAX RELEASES PROPERTY IS EXEMPT	45590	09/25/2024	F10	12031	0 F10 G01	1.00 6.36 ----- 7.36
1855935 DEPARTMENT OF TRANSPORTATION 1546 MAIL SERVICE CENTER  RALEIGH, NC 27611	RE 2024 2920-33-1839-000 TAX RELEASES PROPERTY ACQUIRED BY STATE OF NC AND IS EXEMPT	43190	09/19/2024	F02	12025	0 F02 G01	165.92 879.40 ----- 1,045.32
1855935 DEPARTMENT OF TRANSPORTATION 1546 MAIL SERVICE CENTER  RALEIGH, NC 27611	RE 2024 2920-36-7241-000 TAX RELEASES PROPERTY ACQUIRED BY STATE OF NC AND IS EXEMPT	43265	09/19/2024	F02	12021	0 F02 G01 SWF	30.12 159.64 102.87 ----- 292.63
1855935 DEPARTMENT OF TRANSPORTATION 1546 MAIL SERVICE CENTER  RALEIGH, NC 27611	RE 2024 2920-45-0996-000 TAX RELEASES PROPERTY ACQUIRED BY STATE OF NC AND IS EXEMPT	43391	09/19/2024	F02	12022	0 F02 G01 SWF	46.32 245.50 102.87 ----- 394.69
1747902 DF CNIGAN, LLC PO BOX 1352  BLOWING ROCK, NC 28605	RE 2014 2920-20-5262-000 TAX RELEASES From CAMA Integration	42203		F02	2042203	2,900 F02 G01	1.45 9.08 ----- 10.53
1849770 DILLCO, LLC 133 AMISTAD DR  SAINT AUGUSTINE, FL 32086	RE 2024 2910-27-5090-013 TAX RELEASES COMMERCIAL PROPERTY IN C02	38727	09/25/2024	C02	12032	0 SWF	102.87

RELEASES - 09/01/2024 TO 09/30/2024

OWNER NAME AND ADDRESS	CAT YEAR PROPERTY REASON	BILL	EFF DATE	JUR	REF NO	VALUE	CHARGE	AMOUNT
1519356 DOHERTY, TERENCE DOHERTY, CAROL P O BOX 735  VALLE CRUCIS, NC 28691	RE 2024	17806	09/12/2024			0	FS1	22.50
	1980-47-0550-000			FS1			G01	143.10
	TAX RELEASES				11973			-----
	VET EXEMPTION DIDNT CALCULATE							165.60
1859092 ELLIOTT, DONALD 6271 CORAL LAKE DR  MIAMI, FL 33155	RE 2024	17892	09/13/2024			20,000	FS1	10.00
	1980-62-8569-000			FS1			G01	63.60
	TAX RELEASES				11994			-----
	NO LEGAL ACCESS TO PROPERTY							73.60
1859092 ELLIOTT, DONALD 6271 CORAL LAKE DR  MIAMI, FL 33155	RE 2024	17893	09/13/2024			9,600	FS1	4.80
	1980-62-9437-000			FS1			G01	30.53
	TAX RELEASES				11995			-----
	NO LEGAL ACCESS TO PROPERTY							35.33
1794565 GORANSON, GLENN GORANSON, ERIN 360 ROCKWELL CIRCLE  LAKE MARY, FL 32746	PP 2019	1479	09/12/2024			0	F12	7.50
	3070			F12			G01	60.45
	REFUND RELEASE				11991		SWF	80.00
	DOUBLE BILLED UNDER 2 ACCOUNT NUMBERS						F12L	.75
							G01L	6.05
								154.75
1794565 GORANSON, GLENN GORANSON, ERIN 360 ROCKWELL CIRCLE  LAKE MARY, FL 32746	PP 2019	1479				0	F12	7.50
	3070			F12			F12L	.75
	REFUND RELEASE				251479		G01	60.45
	DOUBLE BILLED UNDER 2 ACCOUNT NUMBERS						G01L	6.05
							SWF	80.00
								154.75
1794565 GORANSON, GLENN GORANSON, ERIN 360 ROCKWELL CIRCLE  LAKE MARY, FL 32746	PP 2020	3486				0	F12	7.28
	3070			F12			F12L	.73
	REFUND RELEASE				253486		G01	58.64
	DOUBLE BILLED UNDER 2 ACCOUNT NUMBERS						G01L	5.86
							SWF	80.00
								152.51
1794565 GORANSON, GLENN GORANSON, ERIN 360 ROCKWELL CIRCLE  LAKE MARY, FL 32746	PP 2020	3486	09/12/2024			0	F12	7.28
	3070			F12			G01	58.64
	REFUND RELEASE				11992		SWF	80.00
	DOUBLE BILLED UNDER 2 ACCOUNT NUMBERS						F12L	.73
							G01L	5.86
								152.51

RELEASES - 09/01/2024 TO 09/30/2024

OWNER NAME AND ADDRESS	CAT YEAR PROPERTY REASON	BILL	EFF DATE	JUR	REF NO	VALUE CHARGE	AMOUNT
1543869 GRAY, CHERIE LYNN 101 WOODPECKER LANE BOONE, NC 28607	RE 2024 2910-37-3743-000 TAX RELEASES SENIOR EXEMPTION DID NOT CALCULATE	39031	09/12/2024	C02	11978	0 C02 G01	356.46 290.65 ----- 647.11
1824578 GUZZO, ROBERT J GUZZO, DANA F 9917 KOUPLEA DR RALEIGH, NC 27614	RE 2024 2807-95-5070-000 TAX RELEASES vet exemption didn't calculate correctly	23957	09/12/2024	C03	11969	0 G01	143.10
1852485 HARADON, RICHARD L. HARADON, SANDRA R. 392 WILL ISAACS RD ZIONVILLE, NC 28698	RE 2024 1993-26-0893-000 TAX RELEASES VET EXEMPTION DIDNT CALCULATE	22367	09/12/2024	F06	11970	0 F06 G01	22.50 143.10 ----- 165.60
1858210 HART, TYLER RICHARD HART, JANA 316 SWEETBRIAR ROSE CT HOLLY SPRINGS, NC 27540	RE 2024 1941-43-1386-000 TAX RELEASES RELEASE AND REBILL TO INCLUDE HOUSE VAL	9867	09/24/2024	C05	12030	0 G01	13.99
1510257 HOLLAR, TERRY D HOLLAR, JOYE P O BOX 2570 HICKORY, NC 28603-2570	RE 2024 2819-74-9714-000 TAX RELEASES PARCEL DUPLICATED BY TRANSPOSING NUMBERS	28338	09/19/2024	F02	12019	0 F02 G01 SWF	227.88 1,207.76 102.87 ----- 1,538.51
1510280 ISAACS, ELIZABETH E 179 ISAACS DRIVE BOONE, NC 28607	RE 2024 2900-64-3841-000 TAX RELEASES PARCEL WAS DEACTIVATED	34750	09/11/2024	C02	11962	0 SWF	102.87
1750584 JONES, SUE BAIRD 306 OWENS DR BOONE, NC 28607	RE 2024 2910-67-6645-000 TAX RELEASES SENIOR EXEMPTION DID NOT CALCULATE	39811	09/12/2024	C02	11983	0 C02 G01	613.08 499.89 ----- 1,112.97
1584116 KIRK, SUSAN D 2335 OBERWOOD DR CHARLOTTE, NC 28270	RE 2024 2932-44-5509-000 TAX RELEASES NUMBER OF BATHROOMS WAS INCORRECT	46632	09/12/2024	F09	11963	8,000 F09 G01	4.00 25.44 ----- 29.44

RELEASES - 09/01/2024 TO 09/30/2024

OWNER NAME AND ADDRESS	CAT YEAR PROPERTY REASON	BILL	EFF DATE JUR	REF NO	VALUE CHARGE	AMOUNT
1848471 LAKS, MERILI METSJOJA, GAURI 508 WINDCREST DR  APEX, NC 27502	RE 2024 1940-83-3455-000 TAX RELEASES TYPO IN THE DATA, WAS ENTERED AS 100% COMPLETE WHEN ONLY 10% COMPLETE	8810	09/19/2024 C05	12014	484,300 G01	1,540.08
1555124 LINO, TERRENCE J LINO, CHARLOTTE M 174 ELLIOTT CIR  BLOWING ROCK, NC 28605-9007	RE 2024 2818-30-3413-000 TAX RELEASES BATHROOM COUNT WAS INCORRECT	26860	09/16/2024 C03	12001	6,200 G01	19.71
1561812 MAIN, SUSIE M 207 JOHN HENRY DR  TODD, NC 28684	RE 2024 2914-20-8595-000 TAX RELEASES SENIOR EXEMPTION DID NOT CALCULATE	42418	09/12/2024 F09	11980	0 F09 G01	36.92 234.85 ----- 271.77
1855461 MANNING, LYNDA G. 513 WILL PERRY RD  VILAS, NC 28692	RE 2024 1982-88-3105-000 TAX RELEASES VET EXEMPTION DIDNT CALCULATE	19170	09/12/2024 F07	11974	0 F07 G01	22.50 143.10 ----- 165.60
1854569 MAY, RUTH M. P.O. BOX 309  VILAS, NC 28692	PP 2024 4485 TAX RELEASES removed utl shed	1475	09/17/2024 F07	12009	2,700 F07 G01	1.35 8.58 ----- 9.93
1748212 MESCHER, KENNETH A. MESCHER, SABINA L. 630 E MAIN ST  VERSAILLES, OH 45380	RE 2024 1991-68-8501-000 TAX RELEASES INCORRECT SQUARE FOOTAGE	21300	09/17/2024 F02	12004	29,700 F02 G01	17.82 94.44 ----- 112.26
1799801 MOORE, GARNETT JR. MOORE, PHYLLIS J. 328 SHULLS MILL RD  BOONE, NC 28607	RE 2024 1899-26-8315-000 TAX RELEASES VET EXEMPTION DIDNT CALCULATE	6794	09/12/2024 F01	11965	0 F01 G01	22.50 143.10 ----- 165.60
1115153 MORETZ, HERBERT C JR 668 STADIUM DR  BOONE, NC 28607	RE 2024 2900-85-4094-000 TAX RELEASES VET EXEMPTION DIDNT CALCULATE	35126	09/12/2024 C02	11972	0 C02 G01	175.50 143.10 ----- 318.60

RELEASES - 09/01/2024 TO 09/30/2024

OWNER NAME AND ADDRESS	CAT YEAR PROPERTY REASON	BILL	EFF DATE	JUR	REF NO	VALUE CHARGE	AMOUNT
1180223 MORETZ, MARY ETTA 1419 DEERFIELD RD. BOONE, NC 28607-8704	RE 2024 2920-11-8953-000 TAX RELEASES PROPERTY ACQUIRED BY STATE OF NC AND IS EXEMPT	42958	09/19/2024	F02	12023	0 F02 G01	13.92 73.78 ----- 87.70
1853913 MOUNT LAWN CEMETERY ASSOCIATION, INC 147 RIVERSIDE PARK CT JEFFERSON, NC 28640	RE 2024 2910-79-7730-000 TAX RELEASES CEMETERY IS EXEMPT	40032	09/17/2024	C02	12008	0 C02 G01	905.19 738.08 ----- 1,643.27
1857360 N C DEPARTMENT OF TRANSPORTATION ATTORNEY GENERAL'S OFFICE 1505 MAIL SERVICE CENTER RALEIGH, NC 27699	RE 2024 2920-27-4113-000 TAX RELEASES PROPERTY ACQUIRED BY STATE OF NC AND IS EXEMPT	43132	09/19/2024	F02	12020	0 F02 G01 SWF	154.98 821.39 102.87 ----- 1,079.24
1857360 N C DEPARTMENT OF TRANSPORTATION ATTORNEY GENERAL'S OFFICE 1505 MAIL SERVICE CENTER RALEIGH, NC 27699	RE 2024 2920-35-9627-000 TAX RELEASES PROPERTY ACQUIRED BY STATE OF NC AND IS EXEMPT	43239	09/19/2024	F02	12026	0 F02 G01 SWF	163.68 867.50 102.87 ----- 1,134.05
1822998 PHILLIPS, HELEN L P O BOX 3397 BOONE, NC 28607	RE 2024 2923-09-5770-000 TAX RELEASES PROPERTY BILLED UNDER 2923099428000	44798	09/18/2024	F09	12013	0 SWF	102.87
1854176 PRINCE, ALICE ELIZABETH 211 SNOW MNT LN BANNER ELK, NC 28604	RE 2024 1869-43-6503-000 TAX RELEASES SENIOR EXEMPTION DID NOT CALCULATE	436	09/12/2024	F08	11981	0 F08 G01	40.87 259.96 ----- 300.83
1851527 REPLOGLE, MICHAEL R, TRUSTEE MICHAEL R. REPLOGLE TRUST P O BOX 3736 BOONE, NC 28607	RE 2024 1994-70-7153-000 TAX RELEASES PROPERTY IS IN DEFERMENT PROGRAM	23031	09/13/2024	F06	11993	1,168,600 F06 G01	584.30 3,716.15 ----- 4,300.45
1760500 ROMINGER, PHILLIP R. 149 ISENHOUR ROAD ZIONVILLE, NC 28698	PP 2024 1620 TAX RELEASES PERMANENT TAG TRAILER WAS SOLD AND TAG TURNED IN TO DMV	412	09/17/2024	F06	12011	0 F06 G01	.25 1.59 ----- 1.84

RELEASES - 09/01/2024 TO 09/30/2024

OWNER NAME AND ADDRESS	CAT YEAR PROPERTY REASON	BILL	EFF DATE	JUR	REF NO	VALUE CHARGE	AMOUNT
1764669 ROSEN, ERIC WAGNER ROSEN, ELIZABETH ANN 821 BROWNS CHAPEL RD BOONE, NC 28607	RE 2021	1000170	09/19/2024			0 F07	141.45
	1961-45-1067-000			F07		G01	1,140.09
	TAX RELEASES				12015		-----
	PAID UNDER ACCOUNT 1852401						1,281.54
1764669 ROSEN, ERIC WAGNER ROSEN, ELIZABETH ANN 821 BROWNS CHAPEL RD BOONE, NC 28607	RE 2021	1000171	09/19/2024			0 F07	141.45
	1961-45-1067-000			F07		G01	1,140.09
	TAX RELEASES				12016		-----
	PAID UNDER ACCOUNT 1852401						1,281.54
1764669 ROSEN, ERIC WAGNER ROSEN, ELIZABETH ANN 821 BROWNS CHAPEL RD BOONE, NC 28607	RE 2021	1000172	09/19/2024			0 F07	141.45
	1961-45-1067-000			F07		G01	1,140.09
	TAX RELEASES				12017		-----
	PAID UNDER ACCOUNT 1852401						1,281.54
1764669 ROSEN, ERIC WAGNER ROSEN, ELIZABETH ANN 821 BROWNS CHAPEL RD BOONE, NC 28607	RE 2021	1000173	09/19/2024			0 F07	141.45
	1961-45-1067-000			F07		G01	998.64
	TAX RELEASES				12018		-----
	PAID UNDER ACCOUNT 1852401						1,140.09
1764669 ROSEN, ERIC WAGNER ROSEN, ELIZABETH ANN 821 BROWNS CHAPEL RD BOONE, NC 28607	RE 2021	1000170				0 F07	141.45
	1961-45-1067-000			F07		G01	1,140.09
	TAX RELEASES				*****		-----
	PAID UNDER ACCOUNT 1852401						1,281.54
1764669 ROSEN, ERIC WAGNER ROSEN, ELIZABETH ANN 821 BROWNS CHAPEL RD BOONE, NC 28607	RE 2021	1000171				0 F07	141.45
	1961-45-1067-000			F07		G01	1,140.09
	TAX RELEASES				*****		-----
	PAID UNDER ACCOUNT 1852401						1,281.54
1764669 ROSEN, ERIC WAGNER ROSEN, ELIZABETH ANN 821 BROWNS CHAPEL RD BOONE, NC 28607	RE 2021	1000172				0 F07	141.45
	1961-45-1067-000			F07		G01	1,140.09
	TAX RELEASES				*****		-----
	PAID UNDER ACCOUNT 1852401						1,281.54
1764669 ROSEN, ERIC WAGNER ROSEN, ELIZABETH ANN 821 BROWNS CHAPEL RD BOONE, NC 28607	RE 2021	1000173				0 F07	141.45
	1961-45-1067-000			F07		G01	998.64
	TAX RELEASES				*****		-----
	PAID UNDER ACCOUNT 1852401						1,140.09



RELEASES - 09/01/2024 TO 09/30/2024

OWNER NAME AND ADDRESS	CAT YEAR PROPERTY REASON	BILL	EFF DATE	JUR	VALUE REF NO	CHARGE	AMOUNT
1767389 SELDOMRIDGE, JOHN 138 OLD MEGS LN BOONE, NC 28607	RE 2024	44194	09/12/2024		27,100	F02	16.26
	2921-71-0741-000			F02		G01	86.18
	TAX RELEASES				11966		-----
	LOT IS UNBUILDABLE, CHANGED TO				RESIDUAL		102.44
1845585 SERRANO, EDDIE WILLIAM 162 TANGLED STONE DR ZIONVILLE, NC 28698	RE 2024	22791	09/12/2024		0	F06	22.50
	1994-30-9176-000			F06		G01	143.10
	TAX RELEASES				11971		-----
	VET EXEMPTION DIDNT CALCULATE						165.60
1856996 SKYLINE TERRACE APARTMENTS II, LLC 1515 W CORNWALLIS DR STE 204 GREENSBORO, NC 27408	RE 2024	41040	09/23/2024		6,570,810	C02	25,626.16
	2911-60-3098-000			C02		G01	20,895.18
	TAX RELEASES				12028		-----
	PERCENTAGE COMPLETE WAS INCORRECT 68% COMPLETE, NOT 100%						46,521.34
1609034 SMITH, GARY F SMITH, MARK DON 1890 WINKLERS CREEK RD BOONE, NC 28607	RE 2024	2367	09/12/2024		0	F08	56.17
	1879-20-8283-000			F08		G01	357.27
	TAX RELEASES				11984		-----
	SENIOR EXEMPTION DID NOT CALCULATE						413.44
1570927 STATE OF NORTH CAROLINA C/O STATE PROPERTY OFFICE 1321 MAIL SERVICE CENTER RALEIGH, NC 27699	RE 2024	1542	09/17/2024		0	F01	63.15
	1878-61-2139-000			F01		G01	401.63
	TAX RELEASES				12005		-----
	PROPERTY ACQUIRED BY STATE OF NC 01/11/2023 AND IS NOW EXEMPT						464.78
1570927 STATE OF NORTH CAROLINA C/O STATE PROPERTY OFFICE 1321 MAIL SERVICE CENTER RALEIGH, NC 27699	RE 2024	37796	09/17/2024		0	FX9	9.30
	2905-85-9706-000			FX9		G01	59.15
	TAX RELEASES				12007		-----
	PROPERTY ACQUIRED BY STATE OF NC 10/25/2023 AND IS NOW EXEMPT						68.45
1570927 STATE OF NORTH CAROLINA C/O STATE PROPERTY OFFICE 1321 MAIL SERVICE CENTER RALEIGH, NC 27699	RE 2024	37804	09/17/2024		0	FX9	15.70
	2905-95-0840-000			FX9		G01	99.85
	TAX RELEASES				12006		-----
	PROPERTY ACQUIRED BY STATE OF NC 10/25/2023 AND IS NOW EXEMPT						115.55
1767783 STEELMAN, BONNIE H PO BOX 73 DEEP GAP, NC 28618	RE 2024	48641	09/12/2024		0	F10	78.17
	2951-76-6017-000			F10		G01	497.19
	TAX RELEASES				11975		-----
	SENIOR EXEMPTION DID NOT CALCULATE						575.36

RELEASES - 09/01/2024 TO 09/30/2024

OWNER NAME AND ADDRESS	CAT YEAR PROPERTY REASON	BILL	EFF DATE	JUR	REF NO	VALUE	CHARGE	AMOUNT
1754199 STEWART, WILLIAM H 17321 PLAYERS RIDGE DR CORNELIUS, NC 28031	RE 2024	28134	09/12/2024			31,300	F02	18.78
	2819-56-6350-000			F02			G01	99.53
	TAX RELEASES				11964			-----
	GRADE WAS INCORRECT ON THE HOME							118.31
1154186 STORIE, GERALD G STORIE, LYNNE S 260 TAYLOR RD BOONE, NC 28607-6820	RE 2024	3741	09/12/2024			0	SWF	102.87
	1888-29-6626-000			F01				
	TAX RELEASES				11990			
	DOUBLE BILLED SWF							
1510276 TARLETON, CULLIE AND SYLVIA PO BOX 1269 BLOWING ROCK, NC 28605	RE 2022	23991				298,600	C03	1,104.82
	2807-94-5507-000			C03			G01	949.55
	REFUND RELEASE				2023991		SWF	.00
	INCORRECT SQ FOOTAGE AND INFLU				FACTOR			-----
								2,054.37
1510276 TARLETON, CULLIE AND SYLVIA PO BOX 1269 BLOWING ROCK, NC 28605	RE 2022	23991	09/05/2024			298,600	G01	949.55
	2807-94-5507-000			C03				
	REFUND RELEASE				11958			
	INCORRECT SQ FOOTAGE AND INFLU				FACTOR			
1510276 TARLETON, CULLIE AND SYLVIA PO BOX 1269 BLOWING ROCK, NC 28605	RE 2023	23923	09/05/2024			298,600	G01	949.55
	2807-94-5507-000			C03				
	REFUND RELEASE				11959			
	INCORRECT SQ FOOTAGE AND INFLU				FACTOR			
1510276 TARLETON, CULLIE AND SYLVIA PO BOX 1269 BLOWING ROCK, NC 28605	RE 2023	23923				298,600	C03	1,164.54
	2807-94-5507-000			C03			G01	949.55
	REFUND RELEASE				2023923		SWF	.00
	INCORRECT SQ FOOTAGE AND INFLU				FACTOR			-----
								2,114.09
1510276 TARLETON, CULLIE AND SYLVIA PO BOX 1269 BLOWING ROCK, NC 28605	RE 2024	23917	09/05/2024			298,600	G01	949.55
	2807-94-5507-000			C03				
	TAX RELEASES				11960			
	INCORRECT SQ FOOTAGE AND INFLU				FACTOR			
1784510 TEAGUE, CHRISTIAN S 18 FAIR DUNES LN ISLE OF PALMS, SC 29451	RE 2024	42964	09/19/2024			0	F02	269.04
	2920-11-9864-000			F02			G01	1,425.91
	TAX RELEASES				12024		SWF	102.87
	PROPERTY ACQUIRED BY STATE OF NC							-----
	AND IS EXEMPT							1,797.82

RELEASES - 09/01/2024 TO 09/30/2024

OWNER NAME AND ADDRESS	CAT YEAR PROPERTY REASON	BILL	EFF DATE	JUR	REF NO	VALUE CHARGE	AMOUNT
1799399 TECH CAPITAL GROUP LLC 1611 N INTERSTATE 35E SUITE 42 CARROLLTON, TX 75006-8616	PP 2024	918	09/16/2024			0 C02	1.56
	3108			C02		G01	2.23
	TAX RELEASES				12002	F10	.16
	DUPLICATE ACCOUNT					F09	.35
							4.30
1762030 TOOMEY, VINCENT P. JR. 345 LOCUST RIDGE RD BEECH MOUNTAIN, NC 28604	RE 2024	13280	09/24/2024			0 G01	58.19
	1951-10-8498-000			C05	12029		
	TAX RELEASES						
	RELEASE AND REBILL, HOUSE 75% COMPLETE						
1576044 TOWN OF BLOWING ROCK PO BOX 47 BLOWING ROCK, NC 28605-0047	RE 2024	25552	09/13/2024			0 G01	957.50
	2817-17-2491-000			C03	11997		
	TAX RELEASES						
	GOVERNMENT OWNED PROPERTY IS EXEMPT						
1576044 TOWN OF BLOWING ROCK PO BOX 47 BLOWING ROCK, NC 28605-0047	RE 2024	25557	09/13/2024			0 G01	684.34
	2817-17-3207-000			C03	11998		
	TAX RELEASES						
	GOVERNMENT OWNED PROPERTY IS EXEMPT						
1859137 TREXLER, JUDY TREXLER, PAULA K. 843 WILSON RIDGE RD BOONE, NC 28607	RE 2024	43234	09/04/2024			8,100 F02	4.86
	2920-35-7296-000			F02		G01	25.76
	TAX RELEASES				11957		
	DOT ACQUIRED PART OF THE ACREAGE FOR NEW ROAD AT WILSON RIDGE						30.62
1859137 TREXLER, JUDY TREXLER, PAULA K. 843 WILSON RIDGE RD BOONE, NC 28607	RE 2024	43238	09/04/2024			14,000 F02	8.40
	2920-35-9308-000			F02		G01	44.52
	TAX RELEASES				11956		
	DOT ACQUIRED PART OF THE ACREAGE FOR NEW ROAD AT WILSON RIDGE						52.92
1821848 US HWY 421 S LLC AND TEAM CHEVROLET 125 FLOYD SMITH OFFICE PARK DR. # 220 CHARLOTTE, NC 28262	PP 2024	1155	09/16/2024			0 F02	24.60
	3775			C02		G01	130.38
	TAX RELEASES				12003		
	BUSINESS SOLD TO KEFFER						154.98
1171255 WATAUGA COUNTY 814 WEST KING ST SUITE 205 BOONE, NC 28607	RE 2024	43144	09/23/2024			0 C02	1,864.98
	2920-29-5940-000			C02		G01	1,520.68
	TAX RELEASES				12027		
	COUNTY ACQUIRED PROPERTY IN MARCH 2023						3,385.66
1177070 WILCOX, JUNIOR STEWART 1268 OLD 421 S PO BOX 2972 BOONE, NC 28607	RE 2024	44120	09/13/2024			0 F02	27.00
	2921-62-9457-000			F02		G01	143.10
	TAX RELEASES				11996		
	VET EXEMPTION DIDNT CALCULATE						170.10

RELEASES - 09/01/2024 TO 09/30/2024

OWNER NAME AND ADDRESS	CAT YEAR PROPERTY REASON	BILL	EFF DATE JUR	REF NO	VALUE CHARGE	AMOUNT
1613442 WILSON, PATRICIA B 359 WILLY AUSTIN RD BLOWING ROCK, NC 28605-9986	RE 2024 2808-69-2710-000 TAX RELEASES vet exemption didn't calculate correctly	24361	09/12/2024 F12	11967	0 F12 G01	22.50 143.10 ----- 165.60
1527372 WINKLER, SUSAN D LIFE ESTATE 902 BROWNS CHAPEL RD BOONE, NC 28607-8110	RE 2024 2930-06-3282-000 TAX RELEASES SENIOR EXEMPTION DID NOT CALCULATE	45394	09/12/2024 F02	11982	0 F02 G01	97.53 516.91 ----- 614.44
1860153 WYNFIELD RIDGE TOWNHOME OWNERS ASSOCIATION, INC. 197 WYNFIELD RDG UNIT 2 BOONE, NC 28607	RE 2024 2920-33-4418-000 TAX RELEASES PARCEL IS COMMON AREA	43197	09/12/2024 F02	11968	0 F02 G01	29.04 153.91 ----- 182.95
1810460 YALE, PATRICIA REESE C/O JOHNNY REESE 1505 JUNALUSKA RD BOONE, NC 28607	RE 2022 2901-63-7629-000 REFUND RELEASE INCORRECT DATA	36615	09/12/2024 F02	2036615	39,400 F02 G01 SWF	23.64 125.30 .00 ----- 148.94
1810460 YALE, PATRICIA REESE C/O JOHNNY REESE 1505 JUNALUSKA RD BOONE, NC 28607	RE 2022 2901-63-7629-000 REFUND RELEASE INCORRECT DATA	36615	09/12/2024 F02	11988	39,400 F02 G01	23.64 125.30 ----- 148.94
1810460 YALE, PATRICIA REESE C/O JOHNNY REESE 1505 JUNALUSKA RD BOONE, NC 28607	RE 2023 2901-63-7629-000 REFUND RELEASE INCORRECT DATA	36541	09/12/2024 F02	11987	39,400 F02 G01	23.64 125.30 ----- 148.94
1810460 YALE, PATRICIA REESE C/O JOHNNY REESE 1505 JUNALUSKA RD BOONE, NC 28607	RE 2023 2901-63-7629-000 REFUND RELEASE INCORRECT DATA	36541	09/12/2024 F02	2036541	39,400 F02 G01 SWF	23.64 125.30 .00 ----- 148.94
1810460 YALE, PATRICIA REESE C/O JOHNNY REESE 1505 JUNALUSKA RD BOONE, NC 28607	RE 2024 2901-63-7629-000 TAX RELEASES INCORRECT DATA	36454	09/12/2024 F02	11986	39,400 F02 G01	23.64 125.30 ----- 148.94
DETAIL SUMMARY	COUNT: 89	RELEASES - TOTAL		10,514,600		99,159.02

RELEASES - 09/01/2024 TO 09/30/2024

RELEASES - CHARGE SUMMARY FOR ALL CLERKS

YEAR	CAT	CHARGE	AMOUNT	
2013	RE	F02	BOONE FIRE RE	18.00
2013	RE	G01	WATAUGA COUNTY RE	112.68
2013 TOTAL			130.68	
2014	RE	F02	BOONE FIRE RE	1.45
2014	RE	G01	WATAUGA COUNTY RE	9.08
2014 TOTAL			10.53	
2019	PP	F12	BLOWING ROCK FIRE PP	15.00
2019	PP	F12L	BLOWING ROCK FIRE LATE LIST	1.50
2019	PP	G01	WATAUGA COUNTY PP	120.90
2019	PP	G01L	WATAUGA COUNTY LATE LIST	12.10
2019	PP	SWF	SANITATION USER FEE	160.00
2019 TOTAL			309.50	
2020	PP	F12	BLOWING ROCK FIRE PP	14.56
2020	PP	F12L	BLOWING ROCK FIRE LATE LIST	1.46
2020	PP	G01	WATAUGA COUNTY PP	117.28
2020	PP	G01L	WATAUGA COUNTY LATE LIST	11.72
2020	PP	SWF	SANITATION USER FEE	160.00
2020 TOTAL			305.02	
2021	RE	F07	COVE CREEK FIRE RE	1,131.60
2021	RE	G01	WATAUGA COUNTY RE	8,837.82
2021 TOTAL			9,969.42	
2022	RE	C03	BLOWING ROCK RE	1,104.82
2022	RE	F02	BOONE FIRE RE	47.28
2022	RE	G01	WATAUGA COUNTY RE	2,149.70
2022	RE	SWF	SANITATION USER FEE	.00
2022 TOTAL			3,301.80	
2023	RE	C03	BLOWING ROCK RE	1,164.54
2023	RE	F02	BOONE FIRE RE	47.28
2023	RE	G01	WATAUGA COUNTY RE	2,149.70
2023	RE	SWF	SANITATION USER FEE	.00
2023 TOTAL			3,361.52	
2024	RE	C02	BOONE RE	31,536.86
2024	RE	F01	FOSCOE FIRE RE	85.65
2024	RE	F02	BOONE FIRE RE	1,599.77
2024	RE	F06	ZIONVILLE FIRE RE	629.30
2024	RE	F07	COVE CREEK FIRE RE	30.90
2024	RE	F08	SHAWNEEHAW FIRE RE	97.04
2024	RE	F09	MEAT CAMP FIRE RE	40.92
2024	RE	F10	DEEP GAP FIRE RE	172.82
2024	RE	F12	BLOWING ROCK FIRE RE	22.50
2024	RE	FS1	FOSCOE SERV DIST RE	37.30
2024	RE	FX9	MEAT CAMP/CRESTON SERV DIST RE	25.00

RELEASES - 09/01/2024 TO 09/30/2024

RELEASES - CHARGE SUMMARY FOR ALL CLERKS

YEAR	CAT	CHARGE	AMOUNT	
2024	RE	G01	WATAUGA COUNTY RE	46,087.62
2024	RE	SWF	SANITATION USER FEE	1,028.70
2024	PP	C02	BOONE PP	55.65
2024	PP	F01	FOSCOE FIRE PP	.50
2024	PP	F01L	FOSCOE FIRE LATE LIST	.05
2024	PP	F02	BOONE FIRE PP	24.60
2024	PP	F06	ZIONVILLE FIRE PP	.25
2024	PP	F07	COVE CREEK FIRE PP	1.35
2024	PP	F09	MEAT CAMP FIRE PP	.35
2024	PP	F10	DEEP GAP FIRE PP	.16
2024	PP	G01	WATAUGA COUNTY PP	190.07
2024	PP	G01L	WATAUGA COUNTY LATE LIST	.32
2024	PP	SWF	SANITATION USER FEE	102.87
2024 TOTAL			81,770.55	
SUMMARY TOTAL			99,159.02	

RELEASES - 09/01/2024 TO 09/30/2024

RELEASES - JURISDICTION SUMMARY FOR ALL CLERKS

JUR	YEAR	CHARGE	AMOUNT	
C02	2024	C02	BOONE RE	31,592.51
C02	2024	F02	BOONE FIRE PP	24.60
C02	2024	F09	MEAT CAMP FIRE PP	.35
C02	2024	F10	DEEP GAP FIRE PP	.16
C02	2024	G01	WATAUGA COUNTY RE	25,891.38
C02	2024	SWF	SANITATION USER FEE	205.74
		C02	TOTAL	57,714.74
C03	2022	C03	BLOWING ROCK RE	1,104.82
C03	2022	G01	WATAUGA COUNTY RE	1,899.10
C03	2022	SWF	SANITATION USER FEE	.00
C03	2023	C03	BLOWING ROCK RE	1,164.54
C03	2023	G01	WATAUGA COUNTY RE	1,899.10
C03	2023	SWF	SANITATION USER FEE	.00
C03	2024	G01	WATAUGA COUNTY RE	2,754.20
		C03	TOTAL	8,821.76
C05	2024	G01	WATAUGA COUNTY RE	1,880.34
		C05	TOTAL	1,880.34
F01	2024	F01	FOSCOE FIRE RE	86.15
F01	2024	F01L	FOSCOE FIRE LATE LIST	.05
F01	2024	G01	WATAUGA COUNTY RE	547.91
F01	2024	G01L	WATAUGA COUNTY LATE LIST	.32
F01	2024	SWF	SANITATION USER FEE	205.74
		F01	TOTAL	840.17
F02	2013	F02	BOONE FIRE RE	18.00
F02	2013	G01	WATAUGA COUNTY RE	112.68
F02	2014	F02	BOONE FIRE RE	1.45
F02	2014	G01	WATAUGA COUNTY RE	9.08
F02	2022	F02	BOONE FIRE RE	47.28
F02	2022	G01	WATAUGA COUNTY RE	250.60
F02	2022	SWF	SANITATION USER FEE	.00
F02	2023	F02	BOONE FIRE RE	47.28
F02	2023	G01	WATAUGA COUNTY RE	250.60
F02	2023	SWF	SANITATION USER FEE	.00
F02	2024	F02	BOONE FIRE RE	1,599.77
F02	2024	G01	WATAUGA COUNTY RE	8,478.80
F02	2024	SWF	SANITATION USER FEE	617.22
		F02	TOTAL	11,432.76
F06	2024	F06	ZIONVILLE FIRE RE	629.55
F06	2024	G01	WATAUGA COUNTY RE	4,003.94
		F06	TOTAL	4,633.49
F07	2021	F07	COVE CREEK FIRE RE	1,131.60
F07	2021	G01	WATAUGA COUNTY RE	8,837.82
F07	2024	F07	COVE CREEK FIRE RE	32.25
F07	2024	G01	WATAUGA COUNTY RE	205.10

RELEASES - 09/01/2024 TO 09/30/2024

RELEASES - JURISDICTION SUMMARY FOR ALL CLERKS

JUR	YEAR	CHARGE	AMOUNT
		F07 TOTAL	10,206.77
F08	2024	F08 SHAWNEEHAW FIRE RE	97.04
F08	2024	G01 WATAUGA COUNTY RE	617.23
		F08 TOTAL	714.27
F09	2024	F09 MEAT CAMP FIRE RE	40.92
F09	2024	G01 WATAUGA COUNTY RE	260.29
F09	2024	SWF SANITATION USER FEE	102.87
		F09 TOTAL	404.08
F10	2024	F10 DEEP GAP FIRE RE	172.82
F10	2024	G01 WATAUGA COUNTY RE	1,099.17
		F10 TOTAL	1,271.99
F12	2019	F12 BLOWING ROCK FIRE PP	15.00
F12	2019	F12L BLOWING ROCK FIRE LATE LIST	1.50
F12	2019	G01 WATAUGA COUNTY PP	120.90
F12	2019	G01L WATAUGA COUNTY LATE LIST	12.10
F12	2019	SWF SANITATION USER FEE	160.00
F12	2020	F12 BLOWING ROCK FIRE PP	14.56
F12	2020	F12L BLOWING ROCK FIRE LATE LIST	1.46
F12	2020	G01 WATAUGA COUNTY PP	117.28
F12	2020	G01L WATAUGA COUNTY LATE LIST	11.72
F12	2020	SWF SANITATION USER FEE	160.00
F12	2024	F12 BLOWING ROCK FIRE RE	22.50
F12	2024	G01 WATAUGA COUNTY RE	143.10
		F12 TOTAL	780.12
FS1	2024	FS1 FOSCOE SERV DIST RE	37.30
FS1	2024	G01 WATAUGA COUNTY RE	237.23
		FS1 TOTAL	274.53
FX9	2024	FX9 MEAT CAMP/CRESTON SERV DIST RE	25.00
FX9	2024	G01 WATAUGA COUNTY RE	159.00
		FX9 TOTAL	184.00
		SUMMARY TOTAL	99,159.02



**AGENDA ITEM 13:**

**REQUEST FOR ACCEPTANCE OF 2024 STRAP AWARD AND CONTRACT**

**MANAGER'S COMMENTS:**

Ms. Mikey Woodie, Soil and Water, will request the Board accept \$200,000 from the North Carolina Department of Agriculture and Consumer Services - Division of Soil & Water Conservation, for the StreamFlow Rehabilitation Program (StRAP) project to restore 672 linear feet of Boone Creek.

Board approval is required to accept the \$200,000 from the North Carolina Department of Agriculture and Consumer Services - Division of Soil & Water Conservation, for the StreamFlow Rehabilitation Program (StRAP) project.



**Watauga County Soil & Water Conservation District**

**971 West King Street  
Boone, NC 28607  
(828) 719 - 3409**

## MEMORANDUM

TO: Deron T. Geouque and the Watauga County Board of Commissioners

CC: Anite Fogle, Clerk to the Board

FROM: Mikey B. Woodie

SUBJECT: Request for Acceptance of 2024 StRAP Award and Contract

DATE: September 26, 2024

On behalf of the Watauga County Soil & Water Conservation District (Watauga SWCD), I ask the Board of Commissioners to consider the acceptance of an award offer and contract for the Streamflow Rehabilitation Assistance Program (StRAP). The North Carolina Department of Agriculture and Consumer Services, Division of Soil & Water Conservation has offered Watauga SWCD an award of \$200,000 to restore 672 linear feet of Boone Creek.

The section proposed for restoration flows from near the corner of West King Street and Poplar Grove Connector Road, through the Temple of the High Country property, to a culvert under the access road for the Agricultural Conference Center at 252 Poplar Grove Road, Boone, NC. The purpose of StRAP is to reduce flooding and restore streams across the state, and this project was deemed as a high priority for restoration under the program. If the award is accepted, Watauga SWCD staff will work with New River Conservancy, who is leading the project, to meet StRAP requirements and installation standards.

Thank you for your consideration of this request.

Sincerely,

Mikey Woodie  
Conservation Technician  
Watauga SWCD

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**Board of Supervisors**

**Bill Moretz  
Chris Hughes**

**Denny Norris, Chair**

**Todd Combs  
Jennie Hanifan**



Steve Troxler  
Commissioner

North Carolina Department of Agriculture  
and Consumer Services

N. David Smith  
Chief Deputy Commissioner

August 16, 2024

Mikey Woodie  
Watauga SWCD  
971 West King Street  
Boone, NC 28607

**NOTIFICATION OF FUNDING OFFER**

Dear Mikey Woodie,

On behalf of Commissioner Steve Troxler and the North Carolina Department of Agriculture and Consumer Services – Division of Soil & Water Conservation, I am pleased to inform you that \$200,000.00 for your project, StreamFlow Rehabilitation Assistance Program (StRAP), was approved.

The original contract packet must be completed and returned to the NCDA&CS, making sure that the contracts and certain forms have been signed, dated, and witnessed. Since this is a witness contract, there are two (2) options to complete the contract. It is acceptable to have everyone sign the contract electronically or submit two (2) original signed contracts. Depending on the method you choose, please return as shown below:

Electronic Signed Contract:  
Matt Safford  
StRAP Manager  
[matt.safford@ncagr.gov](mailto:matt.safford@ncagr.gov)

Original signatures mail:  
Matt Safford, StRAP Manager  
N.C. Department of Agriculture & Consumer Services, Division Name  
1614 Mail Service Center  
Raleigh, NC 27699-1614

By completing these documents, you are agreeing to the specific stipulations, the general terms and conditions and specific reporting requirements. All authorized representative signatures must be in **blue or black** ink. Please use the Contract Check Off List to ensure all attachments are included and are in the correct order for each contract packet. **Failure to complete and return the contract packets within 60 days of this letter or the deadline of any written extension provided will result in funding cancellation for the project.**

One fully-executed, an original contract will be returned to you for your records. If you have any questions about your contract or any of the forms contained in your offer packet, please call Matt Safford at 919-707-3784, or feel free to send an email to [matt.safford@ncagr.gov](mailto:matt.safford@ncagr.gov).

I would like to take this opportunity to thank you for participating in the StreamFlow Rehabilitation Program (StRAP).

Sincerely,

N. David Smith  
Chief Deputy Commissioner

Email: [David.Smith@ncagr.gov](mailto:David.Smith@ncagr.gov)  
1001 Mail Service Center, Raleigh, North Carolina, 27699-1001  
(919) 707-3033 • Fax (919) 715-0026  
An Equal Opportunity Affirmative Action Employer



**NORTH CAROLINA DEPARTMENT OF AGRICULTURE AND CONSUMER SERVICES**

Steven W. Troxler, Commissioner

**Contract Check Off List for Grantee (Government State Funds)**

**INSTRUCTIONS:** Check the "Yes" boxes in the left column for the document titles that are being returned with the two signed, dated and witnessed copies of the contract, with signatures in blue ink. Be sure to include all the other documents specified in your contract package. If "No" has been checked off for you, that document is not required for this grant program or project.

**GRANTEE ORGANIZATION NAME:** Watauga SWCD

**PROJECT TITLE/NAME:** Streamflow Rehab Assistance Program

**CONTRACT #:** 25-008-4038

<i>GO Entities Only Check One Box</i>			<i>Document Title</i>	<i>Department Use – Documents Attached or On File</i>			<i>Grants and Contracts- Documents Attached or On File</i>				
Yes		No		Yes		No	Yes		No		
			Contractual "Check Off List for Grantee								
			Contract Cover (To be signed, dated & witnessed)								
			Attachment A – General Terms and Conditions – Government/University								
			Attachment B – Scope of Work (includes Timeline and Line Item Budget)								
			Attachment C – Certifications and Assurances Section								
			Attachment D – NC OpenBook Supplemental Information								
			Attachment E – Signature Card								
	X	No	Attachment F – StreamFlow Assistance 50% Progress Report***		X	No		X	No		
	X	No	Attachment G – Streamflow Rehabilitation Assistance Program (StRAP) Quarterly Progress Report***		X	No		X	No		
	X	No	Attachment H- Request for Payment form***		X	No		X	No		
	X	No	Attachment I – Request of payment Summary***		X	No		X	No		
	X	No	Attachment J- Equipment Log***		X	No		X	No		
	X	No	Attachment K – Time Log***		X	No		X	No		
			** NC Substitute W-9 and VEP forms are already on file and won't be required to be collected with contract.								

**STATE OF NORTH CAROLINA  
COUNTY OF WAKE**



Department Use Only  
 FUNDING CODE:  
 1000-203788-56400067-1004802  
 AMOUNT: \$200,000  
 TIME PERIOD: July 1, 2024 to December  
 31, 2026

**North Carolina Department of Agriculture and Consumer Services  
Division of Soil & Water Conservation**

**Streamflow Rehabilitation Assistance Program – Government**

**Contract Number:** 25-008-4038

This Contract is hereby entered into by and between the North Carolina Department of Agriculture and Consumer Services, **Division of Soil & Water Conservation** (the "Agency") and Watauga SWCD, ("Grantee"), and referred to collectively as the "Parties." The Grantee’s federal tax identification number is 56-6001816 and is physically located in Watauga and is further located at 971 West King Street Boone, NC 28607.

The purpose of this Contract is to assist in protecting and restoring the integrity of drainage infrastructure through routine maintenance to existing streams and drainage ways. The Grantee’s project title is Streamflow Rehabilitation Assistance Program. This Contract is funded by State appropriations provided through Session Law 2023-134, House Bill 259 Section -5.6(d). Funds awarded under this Contract must be used for the purposes for which they are intended and provided in Session Law 2023-134, House Bill 259 Section -5.6(d).

The Grantee’s fiscal year ends June 30.

**Contract Documents:**

This Contract consists of the Grant Contract and its attachments, all of which are identified by name as follows:

1. This Contract
2. General Terms and Conditions (Attachment A)
3. Scope of Work providing details on the stream debris removal and watershed structure projects and timelines (Attachment C)
4. NC Openbook Supplemental Information (Attachment D)
5. Signature Card (Attachment E)
6. Streamflow Rehabilitation Assistance Program (StRAP) Quarterly Progress Report (Attachment F)
7. Streamflow Assistance 50% Progress Report (Attachment G)

8. Request for payment form (Attachment H)
9. Equipment log

These documents constitute the entire agreement between the Parties and supersede all prior oral or written statements or agreements.

### **I. Precedence Among Contract Documents:**

In the event of a conflict between or among the terms of the Contract Documents, the terms in the Contract Document with the highest relative precedence shall prevail. The order of precedence shall be the order of documents as listed in Paragraph 1, above, with the first-listed document having the highest precedence and the last-listed document having the lowest precedence. If there are multiple Contract Amendments, the most recent amendment shall have the highest precedence and the oldest amendment shall have the lowest precedence.

### **II. Effective Period:**

This Contract shall be effective on July 1, 2024, and shall terminate on December 31, 2026, with the option to extend, if mutually agreed upon, through a written amendment as provided for in the General Terms and Conditions as described in Attachment A.

### **III. Grantee's Duties:**

1. The Grantee will attend a virtual Pre-award meeting within 45 days for receiving the contract offer.
2. The Grantee has 60 days to return the original contract from the offer letter. If more than 60 days is needed, a written explanation providing a detailed explanation for the extension need is required. The letter will also need to include a date that the contract will be signed and returned. The letter will be subject to approval. Failure to return the contract within 60 days or a written explanation will result in funding cancellation for the project.
3. The Grantee shall provide the planned repairs to the named stream/drainage channels and watershed projects listed in Attachment B, Scope of Work. The Grantee shall be responsible for obtaining the necessary landowner authorization for site access and all permits needed to complete the planned work.
4. The Grantee will need to have Fifty percent (50%) of award funds committed (i.e., encumbered) with vendors by August 28, 2025. If by August 28, 2025, this condition hasn't been met, the grantee's remaining unencumbered funds are subject to reversion and reallocation by the Commission.

### **IV. Agency's Duties:**

The Agency shall pay the Grantee in the manner and in the amounts specified in the Contract Documents. The total amount paid by the Agency to the Grantee under this Contract shall not exceed \$200,000.00. The funds are provided from State appropriations allocated through Session Law 2023-134, House Bill 259 Section -5.6(d). By August 28, 2025, fifty percent (50%) of the award amount should be encumbered by contracts.

There are no matching requirements from the Grantee.

## **V. Conflict of Interest Policy:**

The Agency has determined that the Grantee is a government agency and is not subject to N.C.G.S. § 143C-6-23(b). Therefore, the Grantee is not required to file a Conflict-of-Interest Policy with the Agency prior to disbursement of funds.

## **VI. Statement of No Overdue Tax Debts:**

The Agency has determined that Grantee is a government agency and is not subject to N.C.G.S. § 143C-6-23(c). Therefore, the Grantee is not required to file a Statement of No Overdue Tax Debts with the Agency prior to disbursement of funds.

## **VII. Reversion of Unexpended Funds:**

Any unexpended grant funds shall revert to the Agency upon termination of this Contract.

## **VIII. Reporting Requirements:**

State Reporting Requirements [N.C.G.S. 143C-6-23]:

1. The Agency has determined that the Grantee is a governmental entity and is not subject to the State reporting requirements mandated by N.C.G.S. § 143C-6-23. Therefore, the Grantee does not have to file annual electronic reports with the NC Office of State Budget & Management.

Agency Reporting Requirements:

1. The Grantee shall submit quarterly progress reports, with each report due on or before the last day of January, April, July, and October, continuing until the project is complete and final project report is approved.
2. First report will be due on or before the last day of October 30, 2024.
3. The quarterly report will be submitted using the form shown as Attachment FJ- Streamflow Rehabilitation Assistance Program (StRAP) Progress Report. This report will be used for the quarterly and final report. Each report shall include a narrative summary of the work accomplished that quarter and for the project to date, as well as progress toward completing the Project Scope of Work, and a separate budget report that is a summary of cash and in-kind expenditures for the quarter and total project.
4. The quarterly progress report and budget report is required even if no activity has occurred for the quarter and no reimbursement is requested for the quarter.

5. Grantee shall submit a Streamflow Assistance 50% Progress Report by end of business hours on August 28, 2025. The report will provide detailed information on the contracts that have been approved and executed for the project. This report requires the grantee to show 50% of contract funds being encumbered by contracts. The report is also subject to the review and approval of the Soil & Water Commission.
6. Grantee shall submit a Final Financial report and Final Invoice no later than 60 days after the expiration or termination of this Contract.
7. Failure to submit timely and accurate reports will delay action on submitted invoices. Repeated reporting issues can also result in further discussion with the Soil & Water Commission to determine continued participation in the program.
8. All reports, including 50% Progress Report needs to be submitted to StRAP.Report@ncagr.gov.

### **IX. Payment Provisions:**

All Request for Payment forms should be received no more than monthly. Payment requests will be submitted using **Attachment H- StreamFlow Rehabilitation Assistance Program Project Invoice Form**, along with an appropriate certified invoice showing expenditures and matching funds, if applicable, for the current period and cumulatively for the entire project. Upon approval by the Agency payment shall be made within 60 days. All payments are subject to the availability of funds and verification that the Grantee is current on all reporting requirements.

A portion of the funds awarded to the Grantee may be used to reimburse actual documented technical assistance, and administrative expenses for the project reimbursement of technical assistance, and administrative expenses shall be limited to 15% of total reimbursed expenditures.

The Grantee shall expend funds in accordance with G.S. 143C-6-23 (f1)(f2)(j). The Grantee shall account for any income earned, which may result from any funds awarded under this Contract, on the Agency "Program Project Invoice" form. Eligible uses of income earned are:

1. Expanding the project or program.
2. Continuing the project or program after grant ends; or
3. Supporting other projects or programs that further the broad objectives of the grant program.

This contract can be terminated prior to the original end date once the Grantee completes a virtual close out meeting and submit a final report. If this Contract is terminated prior to the original end date, the Grantee may submit a final Request for Payment form within 60 days of the date of the final report. All unexpended funds shall remain with the Agency. The Agency shall have no obligation to honor requests for payment based on expenditure reports submitted later than 60 days after termination or expiration of the Contract period.

Reimbursement requests shall be completed on a "Request for Reimbursement" form furnished to the Grantee by the Agency. All reimbursement forms must include support documentation, including but not limited to copies of invoices, individual time sheets and travel logs that have been signed by the employee and supervisor, salary registers or payrolls that include fringe benefits, hourly rates of pay, and signature of the Grantee's responsible financial person, canceled checks and lease agreements.



Eligible expenditures for payment must be within the effective period noted in the Contract. Reimbursement may not be considered prior to the submission and final execution of the Contract.

All travel reimbursement shall be made in accordance with the current State rates, at the time of the expenditure, and shall be made in accordance with the "State Budget Manual" <https://www.osbm.nc.gov/budget/budget-manual>

All matching funds, including in-kind and cash, must be spent concurrently with funds provided by the Contract. Both types of matching funds expended shall be accounted for on the monthly certified invoices.

All request for payments shall be emailed to [StRAP.Reimbursement@ncagr.gov](mailto:StRAP.Reimbursement@ncagr.gov)

**Indirect costs are not allowable expenditures under this Contract**

**X. Fraud, Waste and Abuse:**

The grantee, including its employees, contractors, agents, interns, or any subrecipients, shall report suspected fraud, waste and abuse activities related to any state employee, vendor or sub recipient of state funds or state resources.

There are three methods for reporting suspected fraud, waste or abuse (FWA). Grantee can report suspected FWA directly to the Agency's Audit Services Division, to any member of the Agency's management team or through the FWA reporting website below.

N.C.G.S. 143-748 permits Audit Services to treat all information as confidential. However, if an individual wishes to remain anonymous, reports can be submitted through the FWA reporting website: <https://www.ncagr.gov/internalaudit/ReportForm.htm>

Under no circumstances should an individual attempt to personally conduct investigations or interviews /interrogations related to any suspected FWA act.

Investigation results will not be disclosed or discussed with anyone other than those who have a legitimate need to know. This is important to avoid damaging the reputations of persons suspected but subsequently found innocent of wrongful conduct.

It is the Agency's policy that employees/contractors/sub recipients/interns will not suffer retaliation or harassment for reporting in good faith any FWA concerns. The Agency encourages openness and will support anyone who raises genuine concerns in good faith under this policy, even if they turn out to be unsubstantiated.

**XI. Contract Administrators:**

All notices permitted or required to be given by one Party to the other and all questions about the Contract from one Party to the other shall be addressed and delivered to the other Party's Contract Administrator. The name, post office address, street address, telephone number, fax number, and email address of the Parties' respective initial Contract Administrator are set out below. Either Party may change the name, post office address, street address, telephone number, fax number, or email address of its Contract Administrator by giving timely written notice to the other Party.

**For the Agency:**

IF DELIVERED BY US POSTAL SERVICE	IF DELIVERED BY ANY OTHER MEANS
<b>Matt Safford</b> <b>1614 Mail Service Center</b> <b>Raleigh, NC 27699-1614</b> Telephone: 919-707-3784 Email: <a href="mailto:matt.safford@ncagr.gov">matt.safford@ncagr.gov</a> Grants & Contracts General Email: <a href="mailto:agr.grants@ncagr.gov">agr.grants@ncagr.gov</a>	Matt Safford Division of Soil & Water Conservation 216 West Jones Street Raleigh, NC 27603

**For the Grantee:**

Grantee Contract Administrator- Mailing Address	Grantee Principal Investigator or Key Personnel
Mikey Woodie Watauga SWCD 971 West King Street Boone, NC 28607 8287193385 <a href="mailto:mikey.woodie@watgov.org">mikey.woodie@watgov.org</a>	<b>Same</b>

**XII. Supplementation of Expenditure of Public Funds:**

The Grantee assures that funds received pursuant to this Contract shall be used only to supplement, not to supplant, the total amount of federal, State and local public funds that the Grantee otherwise expends for activities involved with specialty services and related programs. Funds received under this Contract shall be used to provide additional public funding for such services. The funds shall not be used to reduce the Grantee's total expenditure of other public funds for such services.

**XIII. Disbursements:**

As a condition of this Contract, the Grantee acknowledges and agrees to make disbursements in accordance with the following requirements:

1. Will implement or already have implemented adequate internal controls over disbursements.
2. Pre-audit all invoices presented for payment to determine:
3. Validity and accuracy of payment
4. Payment due date
5. Adequacy of documentation supporting payment
6. Legality of disbursement

7. Assure adequate control of signature stamps/plates.
8. Assure adequate control of negotiable instruments; and
9. Have procedures in place to ensure that account balance is solvent and to reconcile the account monthly.

**XIV. Outsourcing:**

The Grantee certifies that it has identified to the Agency all jobs related to the Contract that have been outsourced to other countries, if any. Grantee further agrees that it will not outsource any such jobs during the term of this Contract without providing prior notice to the Agency.

**XV. N.C.G.S. § 133-32 and Executive Order 24:**

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State employee of any gift from anyone with a Contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement or Contract, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employee of your organization.

**Signature Warranty:**

The undersigned represent and warrant that they are authorized to bind their principals to the terms of this Contract.

IN WITNESS WHEREOF, the Grantee and the Agency execute this Contract in **two (2)** originals, one (1) of which is retained by the Grantee and one (1) which is retained by the Agency. **OR** the Grantee and the Agency execute this Contract as an electronic original, each party will be provided a fully executed copy via electronic mail. It is required that each party retain a fully executed copy of this contract.

**GRANTEE:**

Signature of Authorized Representative	Date
----------------------------------------	------

Printed Name	Title
--------------	-------

**WITNESS:**

Signature	Date
-----------	------

Printed Name	Title
--------------	-------



**North Carolina Department of Agriculture and Consumer Services**

Signature of Authorized Representative	Date
----------------------------------------	------

N. David Smith, Chief Deputy Commissioner

**PUBLIC SECTOR CONTRACTS (Including Local Governments)****General Terms and Conditions****DEFINITIONS**

Unless indicated otherwise from the context, the following terms shall have the following meanings in this Contract. All definitions are from 9 NCAC 3M.0102 unless otherwise noted. If the rule or statute that is the source of the definition is changed by the adopting authority, the change shall be incorporated herein:

- (1) "Agency" (as used in the context of the definitions below) shall mean and include every public office, public officer or official (State or local, elected or appointed), institution, board, commission, bureau, council, department, authority or other unit of government of the State or of any county, unit, special district or other political subagency of government. For other purposes in this Contract, "Agency" shall mean the entity identified as one of the parties hereto.
- (2) "Audit" means an examination of records or financial accounts to verify their accuracy.
- (3) "Certification of Compliance" means a report provided by the Agency to the Office of the State Auditor that states that the Grantee has met the reporting requirements established by this Subchapter and included a statement of certification by the Agency and copies of the submitted grantee reporting package.
- (4) "Compliance Supplement" refers to the North Carolina State Compliance Supplement, maintained by the State and Local Government Finance Agency within the North Carolina Department of State Treasurer that has been developed in cooperation with agencies to assist the local auditor in identifying program compliance requirements and audit procedures for testing those requirements.
- (5) "Contract" means a legal instrument that is used to reflect a relationship between the agency, grantee, and subgrantee.
- (6) "Fiscal Year" means the annual operating year of the non-State entity.
- (7) "Financial Assistance" means assistance that non-State entities receive or administer in the form of grants, loans, loan guarantees, property (including donated surplus property), cooperative agreements, interest subsidies, insurance, food commodities, direct appropriations, and other assistance. Financial assistance does not include amounts received as reimbursement for services rendered to individuals for Medicare and Medicaid patient services.
- (8) "Financial Statement" means a report providing financial statistics relative to a given part of an organization's operations or status.
- (9) "Grant" means financial assistance provided by an agency, grantee, or subgrantee to carry out activities whereby the grantor anticipates no programmatic involvement with the grantee or subgrantee during the performance of the grant.
- (10) "Grantee" has the meaning in G.S. 143C-6-23(a)(2): a non-State entity that receives a grant of State funds from a State agency, department, or institution but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission. For other purposes in this Contract, "Grantee" shall mean the entity identified as one of the parties hereto.
- (11) "Grantor" means an entity that provides resources, generally financial, to another entity in order to achieve a specified goal or objective.
- (12) "Non-State Entity" has the meaning in N.C.G.S. 143C-1-1(d)(18): Any of the following that is not a State agency: an individual, a firm, a partnership, an association, a county, a corporation, or any other organization acting as a unit. The term includes a unit of local government and public authority.
- (13) "Public Authority" has the meaning in N.C.G.S. 143C-1-1(d)(22): A municipal corporation that is not a unit of local government or a local governmental authority, board, commission, council, or agency that (i) is not a municipal corporation and (ii) operates on an area, regional, or multiunit basis, and the budgeting and accounting systems of which are not fully a part of the budgeting and accounting systems of a unit of local government.
- (14) "Single Audit" means an audit that includes an examination of an organization's financial statements, internal controls, and compliance with the requirements of federal or State awards.
- (15) "Special Appropriation" means a legislative act authorizing the expenditure of a designated amount of public funds for a specific purpose.
- (16) "State Funds" means any funds appropriated by the North Carolina General Assembly or collected by the State of North Carolina. State funds include federal

**PUBLIC SECTOR CONTRACTS (Including Local Governments)**

financial assistance received by the State and transferred or disbursed to non-State entities. Both federal and State funds maintain their identity as they are subgranted to other organizations. Pursuant to N.C.G.S. 143C-6-23(a)(1), the terms "State grant funds" and "State grants" do not include any payment made by the Medicaid program, the Teachers' and State Employees' Comprehensive Major Medical Plan, or other similar medical programs.

- (17) "Subgrantee" has the meaning in G.S. 143C-6-23(a)(3): a non-State entity that receives a grant of State funds from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.
- (18) "Unit of Local Government" has the meaning in G.S. 143C-1-1(d)(29): A municipal corporation that has the power to levy taxes, including a consolidated city-county as defined by G.S. 160B-2(1), and all boards, agencies, commissions, authorities, and institutions thereof that are not municipal corporations.

### Relationships of the Parties

**Independent Contractor:** The Grantee is and shall be deemed to be an independent Contractor in the performance of this Contract and as such shall be wholly responsible for the work to be performed and for the supervision of its employees. The Grantee represents that it has, or shall secure at its own expense, all personnel required in performing the services under this agreement. Such employees shall not be employees of, or have any individual contractual relationship with, the Agency.

**Subcontracting:** The Grantee shall not subcontract any of the work contemplated under this Contract without prior written approval from the Agency. Any approved subcontract shall be subject to all conditions of this Contract. Only the subcontractors or subgrantees specified in the Contract documents are to be considered approved upon award of the Contract. The Agency shall not be obligated to pay for any work performed by any unapproved subcontractor or subgrantee. The Grantee shall be responsible for the performance of all of its subgrantees and shall not be relieved of any of the duties and responsibilities of this Contract.

**Subgrantees:** The Grantee has the responsibility to ensure that all subgrantees, if any, provide all information necessary to permit the Grantee to comply with the standards set forth in this Contract.

**Assignment:** No assignment of the Grantee's obligations or the Grantee's right to receive payment hereunder shall be permitted. However, upon written request approved by the issuing purchasing authority, the State may:

- (a) Forward the Grantee's payment check(s) directly to any person or entity designated by the Grantee, or
- (b) Include any person or entity designated by Grantee as a joint payee on the Grantee's payment check(s).

In no event shall such approval and action obligate the State to anyone other than the Grantee and the Grantee shall remain responsible for fulfillment of all Contract obligations.

**Beneficiaries:** Except as herein specifically provided otherwise, this Contract shall inure to the benefit of and be binding upon the parties hereto and their respective successors. It is expressly understood and agreed that the enforcement of the terms and conditions of this Contract, and all rights of action relating to such enforcement, shall be strictly reserved to the Agency and the named Grantee. Nothing contained in this document shall give or allow any claim or right of action whatsoever by any other third person. It is the express intention of the Agency and Grantee that any such person or entity, other than the Agency or the Grantee, receiving services or benefits under this Contract shall be deemed an incidental beneficiary only.

### Indemnity

**Indemnification:** The Grantee agrees to indemnify and hold harmless the Agency, including any of its Divisions, and any of its officers, agents and employees, from liability of any kind, and from any claims of third parties arising out of any act or omission of the Contractor in connection with the performance of this Contract to the extent permitted by law.

### Default and Termination

**Termination by Mutual Consent:** The Parties may terminate this Contract by mutual consent with 60 days notice to the other party, or as otherwise provided by law.

**Termination for Cause:** If, through any cause, the Grantee shall fail to fulfill its obligations under this Contract in a timely and proper manner, the Agency shall have the right to terminate this Contract by giving written notice to the Grantee and specifying the effective date thereof.

In that event, all finished or unfinished deliverable items prepared by the Grantee under this Contract

## PUBLIC SECTOR CONTRACTS (Including Local Governments)

shall, at the option of the Agency, become its property and the Grantee shall be entitled to receive just and equitable compensation for any satisfactory work completed on such materials, minus any payment or compensation previously made.

Notwithstanding the foregoing provision, the Grantee shall not be relieved of liability to the Agency for damages sustained by the Agency by virtue of the Grantee's breach of this agreement, and the Agency may withhold any payment due the Grantee for the purpose of setoff until such time as the exact amount of damages due the Agency from such breach can be determined. The filing of a petition for bankruptcy by the Grantee shall be an act of default under this Contract.

**Waiver of Default:** Waiver by the Agency of any default or breach in compliance with the terms of this Contract by the Grantee shall not be deemed a waiver of any subsequent default or breach and shall not be construed to be modification of the terms of this Contract unless stated to be such in writing, signed by an authorized representative of the Agency and the Grantee and attached to the Contract.

**Availability of Funds:** The parties to this Contract agree and understand that the payment of the sums specified in this Contract is dependent and contingent upon and subject to the appropriation, allocation, and availability of funds for this purpose to the Agency.

**Force Majeure:** Neither party shall be deemed to be in default of its obligations hereunder if and so long as it is prevented from performing such obligations by any act of war, hostile foreign action, nuclear explosion, riot, strikes, civil insurrection, earthquake, hurricane, tornado, or other catastrophic natural event or act of God.

**Survival of Promises:** All promises, requirements, terms, conditions, provisions, representations, guarantees, and warranties contained herein shall survive the Contract expiration or termination date unless specifically provided otherwise herein, or unless superseded by applicable federal or State statutes of limitation.

### Intellectual Property Rights

**Copyrights and Ownership of Deliverables:** All deliverable items produced pursuant to this Contract are the exclusive property of the Agency. The Grantee shall not assert a claim of copyright or other property interest in such deliverables.

### Compliance with Applicable Laws

**Compliance with Laws:** The Grantee shall comply with all laws, ordinances, codes, rules, regulations,

and licensing requirements that are applicable to the conduct of its business, including those of federal, State, and local agencies having jurisdiction and/or authority.

**Equal Employment Opportunity:** The Grantee shall take affirmative action in complying with all federal and State statutes and all applicable requirements concerning fair employment of people with disabilities, and concerning the treatment of all employees without regard to discrimination by reason of race, color, religion, sex, national origin or disability. For additional information see Title VI of the Civil Rights Act of 1964 (42 U.S.C., 2000d, 2000e-16), Title XI of the Education amendments of 1972, as amended (20 U.S.C. 1681-1683 and 1685-1686), and section 504 of the Rehabilitation Act of 1973 as amended (29 U.S.C. 794).

**Executive Order 24:** In accordance with Executive Order 24, issued by Governor Perdue, and N.C.G.S. § 133-32, a vendor or contractor (i.e. architect, bidder, contractor, construction manager, design professional, engineer, landlord, offeror, seller, subcontractor, supplier, vendor, or grantee), is prohibited from making gifts or giving favors to any employee of the Agency of Agriculture and Consumer Services. This prohibition covers those vendors, contractors, and/or grantees who:

- (a) have a Contract with a governmental Agency; or
- (b) have performed under such a Contract within the past year; or
- (c) anticipate bidding on such a Contract in the future.

For additional information regarding the specific requirements and exemptions, vendors, contractors, and/or grantees are encouraged to review Executive Order 24 and N.C.G.S. § 133-32.

### Confidentiality

**Confidentiality:** Any information, data, instruments, documents, studies or reports given to or prepared or assembled by the Grantee under this agreement shall be kept as confidential and not divulged or made available to any individual or organization without the prior written approval of the Agency. The Grantee acknowledges that in receiving, storing, processing or otherwise dealing with any confidential information it will safeguard and not further disclose the information except as otherwise provided in this Contract.

### Oversight

**Access to Persons and Records:** The State Auditor and the using agency's internal auditors shall have

**PUBLIC SECTOR CONTRACTS (Including Local Governments)**

access to persons and records as a result of all Contracts or grants entered into by State agencies or political subdivisions in accordance with General Statute 147-64.7 and Session Law 2010-194, Section 21 (i.e., the State Auditors and internal auditors may audit the records of the contractor during the term of the Contract to verify accounts and data affecting fees or performance).

**Record Retention:** Records shall not be destroyed, purged or disposed of without the express written consent of the Agency. State basic records retention policy requires all grant records to be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the Contract is subject to federal policy and regulations, record retention may be longer than five years since records must be retained for a period of three years following submission of the final Federal Financial Status Report, if applicable, or three years following the submission of a revised final Federal Financial Status Report. Also, if any litigation, claim, negotiation, audit, disallowance action, or other action involving this Contract has been started before expiration of the five-year retention period described above, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular five-year period described above, whichever is later.

### Miscellaneous

**Choice of Law:** The validity of this Contract and any of its terms or provisions, as well as the rights and duties of the parties to this Contract, are governed by the laws of North Carolina. The Grantee, by signing this Contract, agrees and submits, solely for matters concerning this Contract, to the exclusive jurisdiction of the courts of North Carolina and agrees, solely for such purpose, that the exclusive venue for any legal proceedings shall be Wake County, North Carolina. The place of this Contract and all transactions and agreements relating to it, and their situs and forum, shall be Wake County, North Carolina, where all matters whether sounding in Contract or tort, relating to the validity, construction, interpretation, and enforcement shall be determined.

**Headings:** The Section and Paragraph headings in these General Terms and Conditions are not material parts of the agreement and should not be used to construe the meaning thereof.

**Time of the Essence:** Time is of the essence in the performance of this Contract.

**Care of Property:** The Grantee agrees that it shall be responsible for the proper custody and care of any property furnished to it for use in connection with the performance of this Contract and will reimburse the

Agency for loss of, or damage to, such property. At the termination of this Contract, the Grantee shall contact the Agency for instructions as to the disposition of such property and shall comply with these instructions.

**Amendment:** This Contract may not be amended orally or by performance. Any amendment must be made in written form and executed by duly authorized representatives of the Agency and the Grantee.

**Severability:** In the event that a court of competent jurisdiction holds that a provision or requirement of this Contract violates any applicable law, each such provision or requirement shall continue to be enforced to the extent it is not in violation of law or is not otherwise unenforceable and all other provisions and requirements of this Contract shall remain in full force and effect.

**Travel Expenses:** Reimbursement to the Grantee for travel mileage, meals, lodging and other travel expenses incurred in the performance of this Contract shall be reasonable and supported by documentation. State rates shall be used. International travel shall not be reimbursed under this Contract.

**Sales/Use Tax Refunds:** If eligible, the Grantee and all subgrantees shall: (a) ask the North Carolina Department of Revenue for a refund of all sales and use taxes paid by them in the performance of this Contract, pursuant to G.S. 105-164.14; and (b) exclude all refundable sales and use taxes from all reportable expenditures before the expenses are entered in their reimbursement reports.

**Advertising:** The Grantee shall not use the award of this Contract as a part of any news release or commercial advertising.

**Indirect Costs Policy:** The Agency has adopted a "Zero" policy that indirect costs are unallowable expenditures in all State funded grant applications and/or grant guidance, informational or directional documents.

**Allowable Uses of State Funds:** Expenditures of State funds by any grantee shall be in accordance with the Cost Principles outlined in the Office of Management and Budget (OMB) CFR Title 2, Part 200 Uniform Administrative Requirements, as applicable. If the grant funding includes federal sources, the grantee shall ensure adherence to the cost principles established by the Federal Office of Management and Budget. [09 NCAC 03M.020]



StRAP Revised Scope of Work							
Applicant Name:		Watauga SWCD					
SCOPE OF WORK							
	Stream/Drainage Channel Name	Project Type	Linear Feet of Channel Proposed for Repair	Description of Damage	Planned Repair and Removal from Floodplain	Number of Known Beaver Dams	Estimated Cost to Repair
A	Boone Creek	Stream Restoration	672	<p>Boone Creek has numerous issues as it flows 672 linear feet from a culvert under West King Street to the culvert under the driveway to the Agricultural Conference Center from Poplar Grove Road in Boone. The stream is disconnected from the floodplain and has severely eroded streambanks. Erosion is undercutting several trees along the banks, and trees have fallen in over the last year. An existing culvert used to convey the stream across an access road to the Temple of the High Country is undersized and failing, which is exacerbating erosion issues, causing upstream flooding in the area, and resulting in an unsafe crossing. A concrete wall installed decades ago as part of a bridge crossing causes bottleneaking of water and subsequent upstream flooding during periods of high rainfall, which affects two buildings, road access to parking areas, and sewer line crossings. Start: 36.2207, -81.6913 Stop: 36.2204, -81.6894</p>	<p>Planned work would include re-sloping banks to reconnect the stream to its floodplain, riparian buffer planting with native vegetation to stabilize banks, relocation of the stream back to its historic channel in two sections, replacement of the undersized culvert with a larger culvert and repairing the crossing, installation of in-stream structures to direct water flow to the center of the channel and dissipate energy, removal of the concrete wall to reconnect the stream to its floodplain and eliminate its bottleneck effect on streamflow, and removal of vegetative debris where it is causing blockages of normal streamflow.</p>	0	\$200,000
<b>TOTALS:</b>			672			0	\$200,000

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**CERTIFICATIONS REGARDING LOBBYING, NONPROCUREMENT, DEBARMENT,  
SUSPENSION AND DRUG-FREE WORKPLACE**

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Signature of this form provides for compliance with certification requirements under 2 CFR, Subtitle B, Chapter IV, Part 417, "Nonprocurement Debarment and Suspension," Part 418, "New Restrictions on Lobbying," and Part 421, "Requirements for Drug-Free Workplace (Financial Assistance)," and 2 CFR Part 180. The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Agriculture & Consumer Services determines to award the covered transaction, grant, or cooperative agreement.

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**1. LOBBYING**

As required by authority: 31 U.S.C. 1352 and U.S.C. 301 and implemented at 2 CFR Part 180, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 2 CFR Section 418.110, the applicant certifies that to the best of their knowledge and belief, that:

- 1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal contract, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- 2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form -LLL, "Disclosure Form to Report Lobbying" in accordance with its instructions.
- 3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

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**2. NONPROCUREMENT DEBARMENT AND SUSPENSION**

As required by Executive Order 12549, Debarment and Suspension, and implemented at 2 CFR Part 180 and 2CFR Part 417, for prospective participants in primary covered transactions, as defined at 2 CFR 180.435 and Subpart C, 417.332, the applicant certifies that it and its principals:

- a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

- c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph 2. (a) (b) of this certification.
- d) Have not within a three-year period preceding this application had one or more public transaction (Federal, State, or local) terminated for cause or default.
- e) Agree to include a term or condition in lower tier covered transactions requiring lower tier participants to comply with subpart C of the OMB guidance in 2 CFR part 180, as supplemented by subpart C of Part 417.

Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this certification.

**3. DRUG-FREE WORKPLACE (GRANTEES OTHER THAN INDIVIDUALS)**

As required by the Drug-Free Workplace Act of 1988, and implemented at 2 CFR Part 182, Subparts B, and C, for grantees:

The applicant certifies that it will:

- a) Make a good faith effort, on a continuing basis, to maintain a drug-free workplace. You must agree to do so as a condition for receiving any award covered by this part.
- b) Publish a drug-free workplace statement and establish a drug-free awareness program for your employees (see Sections 182.205 through 182.220); and
- c) Take actions concerning employees who are convicted of violating drug statutes in the workplace (see Section 182.225), including notification to any Federal agency on whose award the convicted employee was working and within 30 days take appropriate personnel action against the employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973 (29 U.S.C. 794), as amended; or require the employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for these purposes by a Federal, State or local health, law enforcement, or other appropriate agency.
- d) You must identify all known workplaces under your Federal awards (see Section 182.230).

The grantee must provide the location site(s) for the performance of work done in connection with the specific grant.

Place(s) of Performance (Street address, city, county, state, zip code)

971 West King Street

Boone, NC 28607

**DRUG-FREE WORKPLACE (GRANTEES WHO ARE INDIVIDUALS)**

As required by the Drug-Free Workplace Act of 1988, and implemented at 2 CFR Part 182:

- A. As a condition of the grant, I certify that I will comply with drug-free workplace requirements in Subpart B (or Subpart C, if the recipient is an individual) of part 421, which adopts the Government-wide implementation (2 CFR part 182) of sec. 5152-5158 of the Drug Free Workplace Act of 1988 (Pub.L100-690, Title V, Subtitle D; 41 U.S.C. 701-707).

B. I agree to notify the agency as required by 2 CFR 182.300(b) of any conviction for a criminal drug offense within ten days.

Notice shall include the identification number(s) of each affected grant.

**As the duly authorized representative of the Grantee, I hereby certify and state to the best of my knowledge and belief, that the Grantee will comply with the above certifications.**

## Watauga County Soil & Water Conservation District

Grantee Organization Name

Signature of Authorized Representative

Date

Printed Name of Authorized Representative

Title

**Instructions:** Complete the information below and return it to the Contract Administrator identified in your original contract. This information must be submitted as part of your contract. If you have questions, please contact the Contract Administrator or the Alternate Contact as reflected in your contract.

### GRANTEE INFORMATION:

Grantee Name: Watauga County Soil & Water Conservation District

County of Residence: Watauga

District Number *(MUST BE FILLED IN)*: 5

Tax Id Number: 56-6001816

UEI Number\*\*: X7B4LX1QQMX6

I have started the UEI process but not received a UEI number.

\*Due to the SAM.gov migration from DU& Bradstreet, obtaining a UEI number has created unforeseen issues with the SAM.gov website search engines and portals. Due to the massive backlog in validation ticket issues, we are not requiring the UEI numbers at this time; however, if you have started the process, please let us know OR if you UEI number please provide it.

Fiscal Year End: 06/30

Grantee's Website: www.wataugacounty.org

(MM/DD) Format

### PROJECT INFORMATION:

**Brief Description and Background/History of your Organization:** Be sure to include the number of years in existence, number of employees, mission and goals of your organization. MAX CHARACTERS: 250

The Watauga County Soil & Water Conservation District was established in 1949 to protect and preserve the soil, water, and related resources in Watauga County through locally led educational, technical, and financial assistance to all citizens.

Current project timeline: Begin date 07/01/24

End Date 12/31/24

**Expected outcomes and specific deliverables:** Expected outcome is intended result of your grant program. The specific deliverables are the accomplishments that will be achieved with the grant. *EXAMPLE: Funding for the Farmers Produce Box program will allow an additional 150 boxes to be created this year. This will allow approximately 37 additional families to receive these the boxes 4 times a week to help resolve their food insecurity.* MAX CHARACTERS: 300

Funding for the restoration of 672 feet of Boone Creek will result in improved streamflow, a reduction of streambank erosion and sedimentation, and reconnection to a natural floodplain. Two structures, two utility crossings, and two road crossings will benefit from the work.

**Project Location Benefit Information:** *(Location(s) in which funding will be spent and/or food commodities will be received.)*

Single County

Regional\*\*

\*\*If your answer is Regional, list all Counties that are receiving benefit:

Mountains

Piedmont

Inner Coastal Plain

Tidewater

Statewide



**CONTRACT & FINANCIAL DOCUMENTS**

INSTRUCTIONS: Please read and fill in the required information to the right of each field where applicable. Signatures must match the Contract signatures. In the event the affixed signature(s) are no longer valid, a revised form must be submitted prior to processing any contractual documents or submitting "Request for Payments" or any other financial documents. If more than two people will sign for the organization, this form may be duplicated.

**SECTION I.**

Date:	
Legal Applicant Organization/Agency Name:	Watauga County Soil & Water Conservation District
Federal Tax Identification Number:	56-6001816

**SECTION II.**

**Certification:**

By affixing my signature below, I certify that person(s) identified are designated having legal authorization to sign on behalf of the organization named in Section I., above, for purposes of executing contractual documents and preparing, approving and executing all financial documents; including "Requests for Payments." I understand the legal implications of any and all misrepresentation, which include but are not limited to defrauding the State of North Carolina, and certify that the person signing below has full authority to execute this Agreement on behalf of the named organization.

**NON-GOVERNMENTAL ORGANIZATIONS ONLY (Must match Contract signature)**

<b>Board Chair, Executive Director, etc.</b>	<b>Financial Representative, Treasurer, etc.</b>
Print Name & Title:	Print Name & Title:
Signature:	Signature:

**GOVERNMENTAL ENTITIES (Must match Contract signature)**

<b>Authorized Governmental Official</b>	<b>Chief Fiscal Officer</b>
Print Name & Title:	Print Name & Title:
Signature:	Signature:



# 50% Progress Report

This report should be completed and submitted to document each grantee’s progress towards having 50% of awarded funds encumbered by the deadline of **August 29, 2025**. Encumbered funds includes all funds reimbursed to date or allocated to a contract with a contractor, engineering firm, or other entity hired to assist in completing the StRAP project (even if no payments have been made to the contractor yet).

Submit completed report to: [strap.report@ncagr.gov](mailto:strap.report@ncagr.gov)

**Due NO LATER than August 29, 2025**

<b>Grantee</b>	
<b>Contract Number</b>	
<b>Date of Report</b>	
<b>Form Completed by (Name &amp; Title)</b>	
<b>Email Address</b>	

## SECTION 1: FINANCIAL REPORTING

Amount of StRAP Contract: <i>(Between grantee and NC Division of Soil &amp; Water Conservation)</i>	
Amount of funds encumbered: <i>(under contract with contractors, engineering firms, etc.)</i>	
<b>Does this amount equal at least 50% of StRAP Contract</b>	<input type="checkbox"/> <b>YES</b> <input type="checkbox"/> <b>NO</b>

- If you answered **YES**, complete section 2 and 3 of this report.
- If you answered **NO**, completed section 2 and 4 of this report.

## SECTION 2: CONTRACT INFORMATION

For **each** contract provide the following information (Attach additional pages as necessary):

- Name and address of contractor
- Amount of contract
- Their involvement in the StRAP project (what stream sections they will complete, what engineering designs they will complete, etc.)
- Date contract was signed
- When is work expected to begin



**SECTION 3: PROGRESS REPORT**  
**(If 50% goal has been met)**

*Provide a detailed update on all work that has been completed since the contract with NC Division of Soil & Water Conservation was signed. This should be a detailed report that provides an update on both on-the-ground work, as well as all other tasks completed on the project (contacting landowners, bidding projects, drafting engineering designs, etc.). Attach additional pages as necessary.*

By signing the report below, I certify that to the best of my knowledge and belief the report is true, complete and all expenditures reported are for appropriate purposes.

\_\_\_\_\_  
Printed Name and Title

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

**SECTION 4: Progressing toward 50% goal  
(If 50% goal has NOT been met)**

*If you do not have 50% of project funds under contract, please provide a detailed explanation of why this has occurred and what is being done to move the project forward. Provide details on the next steps and information on when you expect to have 50% of the award under contract. Attach additional pages as necessary*

Work Completed on Project to Date:

Reasons 50% Goal was not reached:

Details of project timeline moving forward:

By signing the report below, I certify that to the best of my knowledge and belief the report is true, complete and all expenditures reported are for appropriate purposes.

\_\_\_\_\_  
Printed Name and Title Date

\_\_\_\_\_  
Signature



# Quarterly Report Form

Completed reports are due by the following dates: April 30 (Quarter 1 covering January-March), July 31 (Quarter 2 covering April-June), October 31 (Quarter 3 covering July-September), January 31 (Quarter 4 covering October-December). When all work is completed, submit a Final Report.

Submit completed report AND accompanying budget report to: [strap.report@ncagr.gov](mailto:strap.report@ncagr.gov)

**Quarterly**

**Final**

Grantee	
Contract Number:	
Date of Report:	
Reporting Period: <i>(EX: Quarter 3, 2024)</i>	
Form Completed by (Name & Title):	
Email Address:	
Effective Date of Contract:	
End Data of contract:	

### State Expenditures

Total State Contract Amount <i>(amount listed in StRAP contract and/or amendments)</i>	
Total Reimbursed by State this Reporting Period:	
Total Reimbursements from State:	
Balance of State Funds:	

### Recipient Share (Match)- if applicable

Match is not required for StRAP projects. However, if you have matching funds used in addition to the State StRAP funding, you can list them below:

Source of Recipient Share (Match):	
Total Planned Recipient Share (Match) Amount:	
Recipient Share (Match) Expended to Date:	

### Encumbered Amount

Encumbered amount is any portion of funds already paid out or under contract to complete work. Include any amount covered by all contracts you have made associated with StRAP project (EX: hiring contractors, engineering firms, or other entities contracted to work on StRAP project, even if payments to these entities have not been made yet).

Amount of Contract Encumbered:	
Amount of Contract Unencumbered:	

*Encumbered plus unencumbered amounts should match total state contract amount.*

### Performance Report

Provide a description of work done this quarter. If no work was done this quarter, please explain.

**On-the-Ground Work** (stream debris removal, bank stabilization, etc.):

**Planning Work** (evaluating sites, contacting landowners, bidding projects, drafting engineering designs, etc.)

## Scope of Work

Please list all segments on Scope of Work. Include: who the segment is contracted with, contractor's address, how much contract is for (linear feet and dollar amount), date work began/ended. If segment is not contracted with anyone yet, please explain why.

*Please include all sites on your SOW, include those where work has already been completed*

## Timeline

Please provide a current timeline for the work that will be completed each quarter of your project. Please note any changes in this timeline since the last quarterly report was submitted. Mark any changes in **red ink** or **highlighter**

Time Period	Planned Work
Q3 (July-Sept) 2024	
Q4 (Oct-Dec) 2024	
Q1 (Jan-Mar) 2025	
Q2 (Apr-Jun) 2025	
Q3 (July-Sept) 2025	
Q4 (Oct-Dec) 2025	
Q1 (Jan-Mar) 2026	
Q2 (Apr-Jun) 2026	
Q3 (July-Sept) 2026	
Q4 (Oct-Dec) 2026	

If any delays or problems, please explain:

Project cost Status (If there are cost changes, please explain):

## Signature

Authorized Signer \_\_\_\_\_

Date \_\_\_\_\_

**Attach additional pages as necessary.**

<b>Streamflow Rehabilitation Assistance Program Project Invoice Form (ver. 2, 1/2024)</b>					
Please fill in Parts 1-7 in yellow and send with invoices and RFP summary to: <a href="mailto:strap.reimbursement@ncagr.gov">strap.reimbursement@ncagr.gov</a>			<b>NOG NOT on SFL</b>		
<b>Project Name:</b> StRAP		Invoice #			
<b>Grantee:</b>		Payment Authorization			
<b>Contract Number:</b>		Tax ID #			
<b>Contract Expiration Date:</b>		Supplier #			
<b>Request No.:</b>		Supplier Site			
<b>Date:</b>		Distribution Code			
		Payment Amount			
<b>Progress on Stream Segments:</b>					
Segment Name (Use same site name as on Scope of Work) <i>Please add rows as needed and attach all invoices</i>	Feet Planned for Treatment	Feet of Stream Completed this Invoice	Total Feet of Stream Completed	Beaver Dams Removed	Description of Completed Work (EX: debris removal, bank stabilization)
<b>Totals</b>	0	0	0	0	
<b>Total Streamwork Rehabilitation Reimbursement Requested this Invoice</b>					
<b>Progress on PL-566 Watershed Structure Improvement:</b>					
Structure Name (Use same site name as on Scope of Work) <i>Please add rows as needed and attach all invoices</i>	Description of Completed Action				Extent of Completed Action (e.g., cu. yd. of sediment removed)
<b>Totals</b>					0
<b>Total PL-566 Reimbursement Requested this Invoice</b>					
<b>Administration/Technical Assistance</b> <i>(Not to exceed 15% of total contract funds expended to date, not including matching funds)</i>	Admin allowed (up to 15% of total reimbursements to date):	Admin Previously Invoiced :	Total Admin Invoiced to Date		
	\$ -		\$ -		
<i>Detailed Admin Expenses Claimed this Invoice (Must be related to implementation, must attach supporting documentation).</i>					
<b>Total Admin Reimbursement Requested this Invoice</b>					



# Request for Payment Summary

101524 BCC Meeting  
 Submit with RFP to:  
[strap.reimbursement@ncagr.gov](mailto:strap.reimbursement@ncagr.gov)

## Checklist

- Request for Payment Form
  - Signed by Inspector
  - Signed by Grantee staff submitting form
- Cover Sheet
- Invoice from Contractor: The following needs to be included on each invoice submitted:
  - Stream Segment(s)- segment name on invoice should match name on Scope of Work
  - How many linear feet of work were completed
  - Contractor name and contact information
  - Date
  - Organization being billed by Contractor

Receipts/invoices/or other documents for administrative costs

Please list all attached documents below

### Construction/Engineering Invoices

Invoice Number	Contractor Name	Linear Feet	Invoice Total
<b>Total Construction Cost</b>			

### Administrative Expenses Receipts/Invoices/Documents

Date	Document Name	Taxes	Amount
<b>Total Administrative Reimbursement</b>			
<b>Total Reimbursement Request</b>			







**AGENDA ITEM 14:**

**PARKS AND RECREATION OUT-OF-STATE TRAVEL REQUEST**

**MANAGER'S COMMENTS:**

Mr. Craig Lands, Assistant Parks and Recreation Director, will request Board approval for he and Ms. Amber Worley to travel out-of-state to Hilton Head, SC for the 2024 Special Olympics North America Tennis & Pickleball Championships. No County funds will be spent with the exception of employees' time and fuel for the County vehicle.

Board action is required to approve the out-of-state travel.



# WATAUGA COUNTY PARKS & RECREATION

101524 BCC Meeting

231 Complex Drive • Boone, NC 28607  
Phone : (828) 264-9511  
Fax : (828) 264-9523



[www.wataugacounty.org](http://www.wataugacounty.org)

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To: Mr. Deron Geouque, *County Manager*  
Watauga County Board of Commissioners

From: Craig Lands, *Assistant Director*  
Subject: Out-of-State Travel  
Date: September 19, 2024

I am requesting approval for Amber Worley and myself to travel to Hilton Head, SC for the 2024 Special Olympics North America Tennis & Pickleball Championships. The travel dates are Wednesday, October 16, 2024 through Saturday, October 19, 2024.

We will be coaching four athletes from Watauga County during the event, which will be held at two separate locations in Hilton Head, Shipyard Plantation and Palmetto Dunes.


Special Olympics Watauga County will cover our housing, food, and registration fees. We are simply requesting approval for staff time, and usage of the parks and recreation van for transportation.

Thank you in advance for your consideration.

Respectfully,

Craig Lands, *Assistant Director*  
Watauga County Parks & Recreation



Presented by  PTR.

### Tuesday, Oct 1

5:00 PM Coaches Call Zoom Link

### Tuesday, Oct 15

5:45 – 7 PM Volunteer Meeting, Shirt distribution Sonesta Ballroom

### Wednesday, Oct 16

1:00 PM– 5:00 PM Set up Van Der Meer Shipyard Courts

### Thursday, Oct 17

9 - 11 AM Practice Courts available Van Der Meer Shipyard Courts

9 - 11 AM Athlete and Coach Registration Porch

11 AM – 1 PM Lunch Delegation Tents

1 PM PTR Coaches Welcome Sonesta Ballroom

2 – 5 PM Preliminary Rounds Courts 1,2,3,4,7,8,13,14,15,17

5:45 PM Dinner Port Royal Golf and Racquet Club

7:00 PM Opening Ceremony and Dance Port Royal Golf and Racquet Club

### Friday Oct 18

8 AM – 3 PM Singles Play- Level 4, 5, 6 Van Der Meer Shipyard Courts  
1,2,3,4,7,8,13,14,15,17

1 PM – 6 PM Unified Doubles Courts 1,2,3,4,7,8,13,14,15,17

11 AM - 1 PM Lunch Delegation Tents

6:30 PM Dinner Palmetto Dunes

7:30 PM Pro Exhibition Palmetto Dunes

### Saturday Oct 19

8 AM - 1PM Singles Van Der Meer Shipyard Courts  
1,2,3,4,7,8,13,14,15,17

12PM – 4:30 PM Unified Doubles - Levels 4, 5, 6 Courts 1,2,3,4,7,8,13,14,15,17

11 AM - 1 PM Lunch Delegation Tents

12 Noon PTR Equipment Distribution Pro Shop Deck

3 PM – 4 PM Ice Cream Social Van Der Meer Shipyard

5 PM Awards Van Der Meer Shipyard Courts



**SPECIAL OLYMPICS**  
**NORTH AMERICA**  
**PICKLEBALL CHAMPIONSHIP**  
**SOUTH CAROLINA 2024**



**Wednesday, Oct 2**

5:00 PM Coaches Call Zoom Link

**Thursday, Oct 17**

7 AM Set – UP Palmetto Dunes  
 10:30 AM Registration Palmetto Dunes  
 11:30 AM – 12:30 PM Lunch Palmetto Dunes  
 1 -3 PM Pro Clinics – Athlete Palmetto Dunes PP Courts  
 3:30 – 4:45 PM Unified Experience Palmetto Dunes PP Courts  
 5:45 PM Dinner Port Royal Golf and Racquet Club  
 7:00 PM Opening Ceremony and Dance Port Royal Golf and Racquet Club

**Friday, Oct 18**

11 AM- 12 PM Lunch Palmetto Dunes  
 12:30 PM Check-in at PD Palmetto Dunes  
 1 PM – 3:00 PM Divisioning Round Robin Unified Doubles Palmetto Dunes PP Courts  
 3:00 PM – 4:30 PM Divisioning Round Robin Doubles Palmetto Dunes PP Courts  
 6:30 PM Dinner Palmetto Dunes PP Courts  
 7:30 pm Pro Exhibition Palmetto Dunes PP Courts

**Saturday, Oct 19**

11 AM- 12 PM Lunch Palmetto Dunes  
 1 PM – 3:15 PM Competition Round Robin Doubles Play Palmetto Dunes PP Courts  
 1 PM – 4:15 PM Competition Round Robin Unified Doubles Palmetto Dunes PP Courts  
 4:00 PM Ice Cream Social Palmetto Dunes  
 4:30 PM Awards Palmetto Dunes

WATAUGA COUNTY TRAVEL EXPENSE REPORT

101524 BCP Meeting

DATE Sep 19, 2024

BUDGET CODE

NAME Craig Lands

TITLE Assistant Director

DEPARTMENT Parks & Recreation

DESTINATION Hilton Head Island

DEPARTURE DATE: 10/16/24

RETURN DATE: 10/19/24

TIME: 10:00  AM  PM

TIME: 11:00  AM  PM

PURPOSE: Special Olympics North America Tennis & Pickleball Championships

APPROVAL DATE:

TRANSPORTATION:	DATE	FROM	TO	BEGIN	END	MILES	\$/MILE	TOTAL
PERSONAL VEHICLE MILEAGE							.50	.00
							.50	.00
OTHER: AIR, BUS, RAIL								
<b>MEALS:</b>	SUN	MON	TUES	WED	THURS	FRI	SAT	
BREAKFAST								
LUNCH								
DINNER								
* LODGING								
* REGISTRATION								
* TAXI/CAR FARE								
* GAS-COUNTY CAR								
* TELEPHONE								
<b>TOTALS</b>								.00

Remarks:

Requesting usage of staff time and county van usage for trip.

LESS: Travel Advance

LESS: Paid by County

Balance Due to (choose one) County

.00

Mathematically correct

Approved as corrected

*C. Lands 9/19/24*  
EMPLOYEE/DATE  
I certify that the above expenses were incurred for County business.

\* Receipts Required for Reimbursement

FINANCE STAFF/DATE

Receipt Number

DEPARTMENT HEAD/COUNTY MANAGER DATE

WATAUGA COUNTY TRAVEL EXPENSE REPORT

101524 BCC Meeting

DATE 9/19/24

BUDGET CODE

NAME Amber Worley

TITLE Recreation Specialist I

DEPARTMENT Parks and Recreation

DESTINATION Hilton Head, SC

DEPARTURE DATE: 10/16/24

RETURN DATE: 10/19/24

TIME: 10:00  AM  PM

TIME: 11:00  AM  PM

PURPOSE: Special Olympics North America Tennis and Pickleball Invitational

APPROVAL DATE:

TRANSPORTATION:	DATE	FROM	TO	BEGIN	END	MILES	\$/MILE	TOTAL
PERSONAL VEHICLE							.50	.00
MILEAGE							.50	.00
OTHER: AIR, BUS, RAIL								

MEALS:	SUN	MON	TUES	WED	THURS	FRI	SAT	
BREAKFAST				0	0	0	0	
LUNCH				0	0	0	0	
DINNER				0	0	0	0	
* LODGING				0	0	0	0	
* REGISTRATION				0	0	0	0	
* TAXI/CAR FARE				0	0	0	0	
* GAS-COUNTY CAR								
* TELEPHONE				0	0	0	0	
<b>TOTALS</b>								.00

Remarks:

Use of County Van and Employee Time

LESS: Travel Advance	
LESS: Paid by County	.00

Balance Due to (choose one) Traveler .00

Mathematically correct  Approved as corrected

*Amber Worley* 9/19/24  
 EMPLOYEE/DATE  
 I certify that the above expenses were incurred for County business.

\* Receipts Required for Reimbursement  
 \_\_\_\_\_  
 FINANCE STAFF/DATE

Receipt Number \_\_\_\_\_  
 \_\_\_\_\_  
 DEPARTMENT HEAD/COUNTY MANAGER  
 DATE



**AGENDA ITEM 15:**

**MISCELLANEOUS ADMINISTRATIVE MATTERS**

*A. Hurricane Helene Disaster Relief Grant Project*

**MANAGER’S COMMENTS:**

Finance Director Geouque will request the Board adopt the Grant Project Ordinance for Hurricane Helene Disaster Relief. The adoption is required by North Carolina General Statutes. The amount of the ordinance recognizes \$10,000,000. The ordinance may be amended in the future to recognize additional funding, if forthcoming.

Board approval is required to adopt the Grant Project Ordinance in the amount of \$10,000,000 for Hurricane Helene expenses.

STATE OF NORTH CAROLINA

WATAUGA COUNTY

**Watauga County Grant Project Ordinance  
HURRICANE HELENE DISASTER RELIEF  
GRANT PROJECT ORDINANCE**

**BE IT ORDAINED** by the Watauga County that, pursuant to Section 13.2 of Chapter 159 of the General Statutes of North Carolina, the following grant project ordinance is hereby adopted:

Section I. The project authorized includes Watauga County’s FEMA Public Assistance Disaster Relief Project known as “Hurricane Helene Disaster Relief”.

Section II. The officers of Watauga County are hereby directed to proceed with the federal assistance project within the terms of the rules and regulations of the FEMA Public Assistance grants and the budget contained herein.

Section III. The following revenues are anticipated to be available to complete the project:

FEMA Public Assistance \$10,000,000

Section IV. The following amounts are appropriated for the project:

Disaster Relief \$10,000,000

Section V. The finance officer is hereby directed to maintain sufficient specific detailed accounting records to provide the accounting to the grantor agency required by the grant agreement, Federal and State regulations.

Section VI. Funds may be advanced from other Watauga County fund accounts for the purpose of making payments as due. Reimbursement requests should be made to the grantor agency following the regulations and rules of the grant.

Section VII. The finance officer is directed to report as requested on the financial status of the project element in Section IV and on the total revenues received or claimed.

Section VIII. The budget officer is directed to include an analysis of costs and revenues on this project as requested by the Commissioners.

Section IX. Copies of this Project Budget Ordinance shall be made available to the budget officer for direction in carrying out this project.

**ADOPTED** this 15th day of October, 2024.

\_\_\_\_\_  
Larry Turnbow, Chairman  
Watauga County Board of Commissioners

ATTEST:

\_\_\_\_\_  
Anita J. Fogle, Clerk to the Board

**AGENDA ITEM 15:****MISCELLANEOUS ADMINISTRATIVE MATTERS*****B. Recommended Contract Award for Employee Medical, Dental, Vision, and Life Insurance*****MANAGER'S COMMENTS:**

Renewal rates were received for medical and dental insurance benefits. The initial renewal rate received from CIGNA for medical insurance was a 21.2% increase. Further negotiations and analysis of additional claims reduced the increase to 5.5%.

Watauga County is currently in a two (2) year rate lock with Delta Dental, with the contract to renew 01/01/2026. However, continued negotiations with Cigna to reduce the medical renewal rate included a proposal for Cigna to also provide dental coverage to the County for the 2025 plan year. The final negotiated rate of 5.5% increase for medical insurance benefits includes using Cigna PPO as the dental provider for the County, continuing at the current rate with no increase in dental insurance premiums. The dental insurance renewal with Cigna will include a comparable plan with negligible differences and a one (1) year rate lock.

Benefits and premiums will remain unchanged with Community Eye Care for vision insurance and The Standard Insurance Company for life insurance.

Staff recommends CIGNA's Level Funding plan for the County's medical insurance with a 5.5% increase and for the County to fund \$1,000 into each eligible employee's HSA account. Staff further recommends Cigna PPO as the new dental insurance provider with no rate increase for the 2025 plan year. The Standard life insurance benefits and Community Eye Care vision insurance are proposed to continue with current carriers and no change in plan benefits or premiums.

Adequate funds have been budgeted to cover the renewal rates. Board action is required.



# PLAN UPDATE

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Medical Plan Experience and 2025 Renewal



September 30, 2024

# 2025 Renewal Options



101524 BCC Meeting

		2024 - CIGNA		CIGNA 2025 Revised Renewal w/ Dental		
Plan Design		HSA		HSA		
		In-Network		In-Network		
Primary Care Physician		Deductible/0%		Deductible/0%		
Specialist Physician		Deductible/0%		Deductible/0%		
Well Baby Care		100%		100%		
Immunizations/Injections		100%		100%		
Physical Exams		100%		100%		
Pap Smears/Mammograms		100%		100%		
Deductible		\$2,500		\$2,500		
Deductible - Family Maximum		\$5,000		\$5,000		
Coinsurance Maximum - Individual		100%		100%		
Coinsurance Maximum - Family		100%		100%		
Out of Pocket Maximum - Individual		\$2,500		\$2,500		
Out of Pocket Maximum - Family		\$5,000		\$5,000		
In-patient Hospital Services		Deductible/100%		Deductible/100%		
Out-patient Hospital Services		Deductible/100%		Deductible/100%		
Urgent Care		Deductible/100%		Deductible/100%		
Emergency Room		Deductible/100%		Deductible/100%		
Pharmacy		Deductible/100%		Deductible/100%		
Lifetime Maximum		Unlimited		Unlimited		
Health Savings Account - County Funded		\$1,000		\$1,000		
<b>MUST MEET WELLNESS CRITERIA</b>						
<b>Active Monthly Rates</b>						
Employee Only	256	\$900.29	\$230,474.24	\$949.84	\$243,159.04	105.5%
Employee/Spouse	3	\$1,955.49	\$5,866.47	\$2,063.12	\$6,189.36	105.5%
Employee/Child(ren)	19	\$1,339.66	\$25,453.54	\$1,413.39	\$26,854.41	105.5%
Employee/Family	1	\$2,763.96	\$2,763.96	\$2,916.08	\$2,916.08	105.5%
<b>Monthly Cost</b>	279		<b>\$264,558.21</b>		<b>\$279,118.89</b>	<b>105.5%</b>
<b>Annual Cost</b>		Includes Consulting Fee	<b>\$3,200,198.52</b>		<b>\$3,374,926.68</b>	
					<b>105.5%</b>	

# 2025 Renewal Options



101524 BCC Meeting

		<b>Delta Dental</b>		<b>Cigna</b>	
		<b>Passive PPO</b>		<b>Passive PPO</b>	
		<b>Current/Renewal</b>			
		<b>Preventive</b>		<b>Preventive</b>	
Exams		100%		100%	
Cleanings		100%		100%	
X-Rays		100%		100%	
Fluoride Treatment		100%		100%	
Space Maintainers		100%		100%	
Sealants		100%		100%	
		<b>Basic</b>		<b>Basic</b>	
Fillings - Amalgams & Composites		80%		80%	
Simple Extractions		80%		80%	
Surgical Extractions		80%		80%	
Endodontics		80%		80%	
Periodontics		80%		80%	
Anesthesia		80%		80%	
		<b>Major</b>		<b>Major</b>	
Crowns/Inlays/Onlays		50%		50%	
Dentures		50%		50%	
Fixed Bridges		50%		50%	
Implants		50%		50%	
		<b>\$50 - Individual</b>		<b>\$50 - Individual</b>	
Annual Deductible (Does not apply to preventive services)		<b>\$150 - Family</b>		<b>\$150 - Family</b>	
Annual Maximum		<b>\$1,500</b>		<b>\$1,500</b>	
		<b>PPO/Premier - Negotiated Fee</b>		<b>In - Negotiated Fee</b>	
Reimbursement Allowance		<b>Out - 90th UCR</b>		<b>Out - 90th UCR</b>	
<b>Monthly Premium</b>					
Employee Only	224	\$32.38	\$7,253.12	\$32.38	\$7,253.12
Employee + 1 Dependent	34	\$87.04	\$2,959.36	\$87.04	\$2,959.36
Employee + 2 or more Dep.	30	\$144.74	\$4,342.20	\$144.74	\$4,342.20
Total Monthly Premium			\$14,554.68		\$14,554.68
<b>Increase in Premium</b>					<b>100.0%</b>
Rate Guarantee		<b>Until 1/1/26</b>		<b>1 year</b>	

- While no two contracts are exact word for word, Cigna's intent is to match all current provisions in the current contract to the best of their ability per state filed language. Dental must be packaged with the Cigna medical plan.

**AGENDA ITEM 15:**

**MISCELLANEOUS ADMINISTRATIVE MATTERS**

*C. November Meeting Schedule*

**MANAGER'S COMMENTS:**

The Commissioners Board Room will be used as a polling site for Election Day on November 7, 2024. Staff recommends cancelling the November 5, 2024 meeting. The second meeting is scheduled for Tuesday, November 19, 2024. A special meeting could be called if necessary.

Board action is required to cancel the November 5, 2024 meeting and select the date for the November meeting.

**AGENDA ITEM 15:**

**MISCELLANEOUS ADMINISTRATIVE MATTERS**

*D. Announcements*

**MANAGER'S COMMENTS:**

**AGENDA ITEM 16:**

**BREAK**



**AGENDA ITEM 17:**

**CLOSED SESSION**

Attorney/Client Matters – G. S. 143-318.11(a)(3)

**AGENDA ITEM 18:**

**POSSIBLE ACTION AFTER CLOSED SESSION**